

**PineBridge India Equity Fund**

(An open ended equity scheme)

This product is suitable for investors who are seeking #:

- long term capital growth
- long term capital appreciation by investing in a diversified portfolio of equity and equity related securities
- high risk

 (BROWN)

**PineBridge Infrastructure & Economic Reform Fund**

(An open ended equity scheme)

This product is suitable for investors who are seeking #:

- long term capital growth
- long term capital appreciation by investing in equity and equity related instruments of companies contributing to infrastructure and economic development of India
- high risk

 (BROWN)

**PineBridge World Gold Fund**

(An open ended fund of funds scheme)

This product is suitable for investors who are seeking #:

- long term capital growth
- long term capital appreciation by investing in units of Falcon Gold Equity Fund which in turn invests in international securities of gold production, processing and marketing companies
- high risk

 (BROWN)

**PineBridge India Short Term Fund**

(An open ended income scheme)

This product is suitable for investors who are seeking #:

- regular income over the short term
- income by investing in a portfolio of short to medium term debt and money market securities
- medium risk

 (YELLOW)

**PineBridge India Total Return Bond Fund**

(An open ended income scheme)

This product is suitable for investors who are seeking #:

- regular income over the short term
- income by investing in fixed income securities of varying maturities and credits
- medium risk

 (YELLOW)

**PineBridge India Liquid Fund**

(An open ended liquid scheme)

This product is suitable for investors who are seeking #:

- regular income over the short term
- reasonable returns by investing in money market and short term debt securities
- low risk

 (BLUE)

**PineBridge Quarterly Interval Fund - Series I ^**

(An interval income scheme)

This product is suitable for investors who are seeking #:

- regular income over the short term
- returns while endeavoring to manage interest rate volatility over the interval period by investing in portfolio of fixed income securities
- low risk


 (BLUE)

**PineBridge Quarterly Interval Fund - Series II ^**

(an interval income scheme)


This product is suitable for investors who are seeking #:


- regular income over the short term
- returns while endeavoring to manage interest rate volatility over the interval period by investing in portfolio of fixed income securities
- low risk


 (BLUE)

# Investors should consult their financial advisers if in doubt about whether the product is suitable for them

**Note:** Risk may be represented as:

 (BLUE) Investors understand that their principal will be at low risk

 (YELLOW) Investors understand that their principal will be at medium risk

 (BROWN) Investors understand that their principal will be at high risk

Continuous offer of units at NAV based prices

^ Offer of units at NAV based prices during the Specified Transaction Period, since the schemes are Interval Income schemes

**Presented by PineBridge Mutual Fund**

 This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/ Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & service, risk factors, penalties and pending litigations etc., investors should, before investing, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website [www.pinebridge.in](http://www.pinebridge.in)**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI nor has SEBI certified the accuracy or adequacy of this KIM.

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This KIM is dated as of June 28, 2013.

**Sponsor**
**PineBridge Investments Japan Co., Ltd.**  
 JA Building, 3-1,  
 Otemachi 1-chome,  
 Chiyoda-ku,  
 Tokyo 100-6813  
 Japan

**Trustee**
**PineBridge Investments Trustee Company (India) Private Limited**  
 Registered Office:  
 203, 2<sup>nd</sup> Floor, Peninsula Tower,  
 Peninsula Corporate Park,  
 Ganpatrao Kadam Marg,  
 Lower Parel, Mumbai - 400 013

**Asset Management Company**
**PineBridge Investments Asset Management Company (India) Private Limited**  
 Registered Office:  
 203, 2<sup>nd</sup> Floor, Peninsula Tower,  
 Peninsula Corporate Park,  
 Ganpatrao Kadam Marg,  
 Lower Parel, Mumbai - 400 013

**Registrar and Transfer Agent**
**Computer Age Management Services Private Limited**  
 Registered Office:  
 New No. 10, Old No. 178,  
 MGR Salai, Nungambakkam,  
 Chennai - 600 034

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<b>Distributor Care</b>	(City Code) 60000344	Email: <a href="mailto:india.distributorcare@pinebridge.com">india.distributorcare@pinebridge.com</a>	<b>SMS</b>	TRUST to 56767

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# EQUITY SCHEMES

	PineBridge India Equity Fund, an open ended equity scheme	PineBridge Infrastructure & Economic Reform Fund, an open ended equity scheme																																																
Investment Objective	<p>The investment objective of the Scheme is to generate long-term capital appreciation from a diversified portfolio of predominantly equity and equity-related securities including equity derivatives.</p> <p>However, there can be no assurance that the investment objective of the scheme will be realised as actual market movements may be at variance with anticipated trends.</p>	<p>The investment objective of the Scheme is to generate long-term capital appreciation from a diversified portfolio of predominantly (at least 65%) equity and equity-related securities of companies involved in economic development of India as a result of potential investments in infrastructure and unfolding economic reforms.</p> <p>However, there can be no assurance that the investment objective of the scheme will be realised as actual market movements may be at variance with anticipated trends.</p>																																																
Asset Allocation Pattern of the scheme	<table><tr><th>Types of Instruments</th><th>Normal Allocation (% of net assets)</th><th>Risk Profile</th></tr><tr><td>Equity and equity related securities</td><td>80% to 100%</td><td>High</td></tr><tr><td>Debt &amp; money market securities / instruments/funds</td><td>0% to 20%</td><td>Low to Medium</td></tr></table>	Types of Instruments	Normal Allocation (% of net assets)	Risk Profile	Equity and equity related securities	80% to 100%	High	Debt & money market securities / instruments/funds	0% to 20%	Low to Medium	<table><tr><th>Types of Instruments</th><th>Normal Allocation (% of net assets)</th><th>Risk Profile</th></tr><tr><td>Equity and equity related securities</td><td>80% to 100%</td><td>High</td></tr><tr><td>Debt &amp; money market securities / instruments/funds</td><td>0% to 20%</td><td>Low to Medium</td></tr></table>	Types of Instruments	Normal Allocation (% of net assets)	Risk Profile	Equity and equity related securities	80% to 100%	High	Debt & money market securities / instruments/funds	0% to 20%	Low to Medium																														
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Plans	<ul style="list-style-type: none"><li>Standard Plan</li><li>Direct Plan</li></ul>	<ul style="list-style-type: none"><li>Standard Plan</li><li>Direct Plan</li></ul> <p>Institutional Plan – Fresh subscriptions will not be accepted in the Institutional Plan. This plan will remain in existence only till the last investor redeems his / her investment from the plan.</p>																																																
Options	<p>The Scheme offers Growth option and Dividend option. The Dividend option offers Dividend Payout and Dividend Reinvestment facilities.</p> <p>Investors who have invested without distributor code and have opted for dividend reinvestment under Standard Plan may note that the dividend will continue to be reinvested in the Standard Plan only.</p>	<p>The Scheme offers Growth option and Dividend option. The Dividend option offers Dividend Payout and Dividend Reinvestment facilities.</p> <p>Investors, who have invested in the dividend reinvestment option of institutional plan, shall be allotted units in the Standard Plan in lieu of the dividend declared at applicable NAV of the corresponding option of the Standard Plan.</p> <p>Investors who have invested without distributor code and have opted for dividend reinvestment under Standard Plan may note that the dividend will continue to be reinvested in the Standard Plan only.</p>																																																
Minimum Application / Redemption Amount	<table><tr><th>First Time Purchase</th><th>Additional Purchase</th></tr><tr><td>₹ 5,000</td><td>₹ 1,000 and in multiples of ₹ 1 thereafter</td></tr></table>	First Time Purchase	Additional Purchase	₹ 5,000	₹ 1,000 and in multiples of ₹ 1 thereafter	<table><tr><th>Purchases through SIP/STP</th><th>Redemption</th></tr><tr><td>₹ 1,000</td><td>₹ 1000 or account balance whichever is less</td></tr></table>	Purchases through SIP/STP	Redemption	₹ 1,000	₹ 1000 or account balance whichever is less																																								
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Benchmark Index	S&P BSE-100																																																	
Name of the Fund Manager	Mr. Huzaifa Husain																																																	
Performance of the Schemes (as on May 31, 2013)  NAVs of Growth Option are used for calculation of returns.  As per SEBI standards for performance reporting, the “since inception” returns are calculated on ₹ 10/- invested at inception.  Past performance may or may not be sustained in future.	<table><tr><th rowspan="2"></th><th colspan="4">CAGR Returns (%)</th></tr><tr><th>1 Year</th><th>3 Years</th><th>5 Years</th><th>Since Inception</th></tr><tr><td>PineBridge India Equity Fund - Standard</td><td>17.06%</td><td>4.59%</td><td>5.64%</td><td>4.98%</td></tr><tr><td>PineBridge India Equity Fund-Direct*</td><td>17.29%</td><td>4.66%</td><td>5.68%</td><td>5.01%</td></tr><tr><td>S&amp;P BSE 100</td><td>21.16%</td><td>4.53%</td><td>3.53%</td><td>5.55%</td></tr></table> <p><b>Date of Allotment: June 22, 2007</b></p> <p>*Direct Plan has been introduced w.e.f. January 1, 2013. Hence, the returns calculated are absolute returns from the day of first NAV till May 31, 2013.</p> <p>Absolute Returns (%)</p>		CAGR Returns (%)				1 Year	3 Years	5 Years	Since Inception	PineBridge India Equity Fund - Standard	17.06%	4.59%	5.64%	4.98%	PineBridge India Equity Fund-Direct*	17.29%	4.66%	5.68%	5.01%	S&P BSE 100	21.16%	4.53%	3.53%	5.55%	<table><tr><th rowspan="2"></th><th colspan="4">CAGR Returns (%)</th></tr><tr><th>1 Year</th><th>3 Years</th><th>5 Years</th><th>Since Inception</th></tr><tr><td>PineBridge Infrastructure &amp; Economic Reform Fund - Standard</td><td>8.56%</td><td>-2.75%</td><td>0.11%</td><td>-3.64%</td></tr><tr><td>PineBridge Infrastructure &amp; Economic Reform Fund- Direct*</td><td>8.77%</td><td>-2.69%</td><td>0.15%</td><td>-3.61%</td></tr><tr><td>S&amp;P BSE 100</td><td>21.16%</td><td>4.53%</td><td>3.53%</td><td>2.14%</td></tr></table> <p><b>Date of Allotment: February 25, 2008</b></p> <p>*Direct Plan has been introduced w.e.f. January 1, 2013. Hence, the returns calculated are absolute returns from the day of first NAV till May 31, 2013.</p> <p>Absolute Returns (%)</p>		CAGR Returns (%)				1 Year	3 Years	5 Years	Since Inception	PineBridge Infrastructure & Economic Reform Fund - Standard	8.56%	-2.75%	0.11%	-3.64%	PineBridge Infrastructure & Economic Reform Fund- Direct*	8.77%	-2.69%	0.15%	-3.61%	S&P BSE 100	21.16%	4.53%	3.53%	2.14%
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Expenses of the Scheme	(i) Load Structure														
	a) Entry Load : N.A.														
	“In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors’ assessment of various factors including the service rendered by the distributor”.														
	b) Exit Load : 1.00% of the applicable NAV if redeemed within 1 year from the date of allotment.														
	No exit load shall be charged on units allotted on account of reinvestment of dividend.														
	(i) Switch of investments from Standard Plan, where the transaction (purchase including systematic transactions or switch-in) is / has been received with distributor code (whether the investments were made before or after January 1, 2013) to Direct Plan shall be subject to applicable exit load of the respective scheme.														
	(ii) No exit load shall be levied:														
	• In case of switch of investments from Standard Plan, where the transaction (purchase including systematic transactions or switch-in) is / has been received without distributor code (whether the investments were made before or after January 1, 2013) to Direct Plan.														
	• in case of switches from Direct Plan to Standard Plan.														
	(iii) Switch of investments from Institutional Plan to Direct / Standard Plan – Nil (Applicable only to PineBridge Infrastructure & Economic Reform Fund)														
(ii) Recurring expenses															
<table><tr><td>Average daily net assets</td><td>First ₹ 100 Crores</td><td>Next ₹ 300 Crores</td><td>Next ₹ 300 Crores</td><td>Over ₹ 700 crores</td></tr><tr><td>Maximum (as a % of average daily net assets)</td><td>2.50%</td><td>2.25%</td><td>2.00%</td><td>1.75%</td></tr></table>						Average daily net assets	First ₹ 100 Crores	Next ₹ 300 Crores	Next ₹ 300 Crores	Over ₹ 700 crores	Maximum (as a % of average daily net assets)	2.50%	2.25%	2.00%	1.75%
Average daily net assets	First ₹ 100 Crores	Next ₹ 300 Crores	Next ₹ 300 Crores	Over ₹ 700 crores											
Maximum (as a % of average daily net assets)	2.50%	2.25%	2.00%	1.75%											
In addition to the above limits specified, the following costs or expenses shall be charged to the scheme:															
• Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.															
Investors may note that the brokerage and transaction costs incurred in excess of the aforesaid limit will be charged to the TER or borne by the AMC or Trustee or Sponsor.															
• An additional Total Expense Ratio (TER) will be charged up to 30 basis points on daily net assets of the scheme, if the new inflows from beyond top 15 cities are at least (a) 30% of gross new inflows in the scheme or (b) 15% of the average assets under management (year to date) of the scheme, whichever is higher.															
Provided that if inflows from such cities is less than the higher of sub-clause (a) or sub-clause (b), such expenses on daily net assets of the scheme shall be charged on proportionate basis;															
Provided further that expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities;															
Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.															
• Additional expenses not exceeding 0.20 per cent of daily net assets of the scheme will be charged by the Mutual Fund															
Service Tax on investment and advisory fees:															
The Mutual Fund / AMC will charge service tax on investment and advisory fees to the scheme in addition to the maximum limit of TER as prescribed in regulation 52 of the SEBI (Mutual Funds) Regulations, 1996.															
However, Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of units will be paid / charged under Direct Plan.															
Actual expenses for the previous financial year (F.Y. 2012-2013)															
<table><tr><td>PineBridge India Equity Fund</td><td>PineBridge Infrastructure &amp; Economic Reform Fund</td></tr><tr><td>Standard Plan: 2.61%</td><td>Standard Plan: 2.66%</td></tr><tr><td>Direct Plan : 2.41%</td><td>Direct Plan : 2.35%</td></tr><tr><td></td><td>Institutional Plan: 1.87%</td></tr></table>						PineBridge India Equity Fund	PineBridge Infrastructure & Economic Reform Fund	Standard Plan: 2.61%	Standard Plan: 2.66%	Direct Plan : 2.41%	Direct Plan : 2.35%		Institutional Plan: 1.87%		
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Standard Plan: 2.61%	Standard Plan: 2.66%														
Direct Plan : 2.41%	Direct Plan : 2.35%														
	Institutional Plan: 1.87%														
Number of Folios	24,943 (as on June 25, 2013)		20,286 (as on June 25, 2013)												
Asset Under Management	₹ 130.89 crs. (as on June 25, 2013)		₹ 68.88 crs. (as on June 25, 2013)												

#### FUND OF FUNDS SCHEME

	PineBridge World Gold Fund, an open ended fund of funds scheme			
Investment Objective	The primary investment objective of the Scheme is to provide long term capital appreciation by investing predominantly in units of Falcon Gold Equity Fund. The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes. The Scheme may also invest a certain portion of its corpus in debt and money market securities and/or units of debt/liquid schemes of Mutual Funds, in order to meet liquidity requirements from time to time.  However, there is no assurance that the investment objective of the Scheme will be realized.			
Asset Allocation Pattern of the scheme	Type of Instruments	Normal Allocation (% of net assets)		Risk Profile
		Minimum	Maximum	
	Units of Falcon Gold Equity Fund # &/or other similar overseas mutual fund scheme(s)	80%	100%	High
	Debt and money market securities and/or units of debt/liquid schemes of Domestic Mutual Funds.	0%	20%	Low to medium
	#Falcon Gold Equity Fund is a mutual fund subject to Swiss law in the “securities funds” category as defined by the Investment Funds Act dated March 18, 1994.			
Plans	<ul style="list-style-type: none"><li>• Standard Plan</li><li>• Direct Plan</li></ul>			

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\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

Options	The Scheme offers Growth option and Dividend option. The Dividend option offers Dividend Payout and Dividend Reinvestment facilities. Investors who have invested without distributor code and have opted for dividend reinvestment under Standard Plan may note that the dividend will continue to be re-invested in the Standard Plan only.																						
Minimum Application / Redemption Amount	First Time Purchases	Additional Purchase	Purchases through SIP/STP	Redemption																			
	₹ 5,000	₹ 1,000 and in multiples of ₹ 1 thereafter	₹ 1,000	₹ 1,000 or account balance whichever is less																			
	The minimum amount in case of inter/ intra scheme (inter plan/inter option) switches shall be the minimum amount required in the respective transferee scheme/plan.																						
Benchmark Index	Financial Times Gold Mines Total - Price Index, in USD is the benchmark of Falcon Gold Equity Fund. The above translated to INR using the RBI Reference Rate will be the benchmark of PineBridge World Gold Fund.																						
Name of the Fund Manager	Mr. Vikrant Mehta																						
Performance of the Scheme (as on May 31, 2013)	<table><thead><tr><th rowspan="2"></th><th colspan="3">CAGR Returns (%)</th></tr><tr><th>1 Year</th><th>3 Years</th><th>Since Inception</th></tr></thead><tbody><tr><td>PineBridge World Gold Fund - Standard</td><td>-26.76%</td><td>-8.24%</td><td>-0.65%</td></tr><tr><td>PineBridge World Gold Fund - Direct*</td><td>-26.63%</td><td>-8.18%</td><td>-0.61%</td></tr><tr><td>Financial Times Gold Mines Total - Price Index (in INR)</td><td>-33.97%</td><td>-11.99%</td><td>-3.76%</td></tr></tbody></table> <p><b>Date of Allotment: June 6, 2008</b></p> <p>*Direct Plan has been introduced w.e.f. January 1, 2013. Hence, the returns calculated are absolute returns from the day of first NAV till May 31, 2013.</p>				CAGR Returns (%)			1 Year	3 Years	Since Inception	PineBridge World Gold Fund - Standard	-26.76%	-8.24%	-0.65%	PineBridge World Gold Fund - Direct*	-26.63%	-8.18%	-0.61%	Financial Times Gold Mines Total - Price Index (in INR)	-33.97%	-11.99%	-3.76%	<p>Absolute Returns (%)</p> <p>* From Inception : June 6, 2008</p>
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Expenses of the Scheme	<p>(i) <b>Load Structure</b></p> <p>a) <b>Entry Load</b> : N.A.</p> <p>"In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor".</p> <p>b) <b>Exit Load</b> : 1.00% of the applicable NAV if redeemed within 1 year from the date of allotment.</p> <p>No exit load shall be charged on units allotted on account of reinvestment of dividend.</p> <p>(i) Switch of investments from Standard Plan, where the transaction (purchase including systematic transactions or switch-in) is / has been received with distributor code (whether the investments were made before or after January 1, 2013) to Direct Plan shall be subject to applicable exit load.</p> <p>(ii) No exit load shall be levied:</p> <ul style="list-style-type: none"><li>In case of switch of investments from Standard Plan, where the transaction (purchase including systematic transactions or switch-in) is / has been received without distributor code (whether the investments were made before or after January 1, 2013) to Direct Plan.</li><li>in case of switches from Direct Plan to Standard Plan.</li></ul> <p>(ii) <b>Recurring expenses</b></p> <p>2.50% of the daily average net assets.</p> <p>(The total expenses of the scheme including the management fee, other expenses and charges levied by the underlying scheme(s) shall not exceed 2.50% of the daily average net assets.)</p> <p>In addition to the above limits specified, the following costs or expenses shall be charged to the scheme;</p> <ul style="list-style-type: none"><li>Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.</li></ul> <p>Investors may note that the brokerage and transaction costs incurred in excess of the aforesaid limit will be charged to the TER or borne by the AMC or Trustee or Sponsor.</p> <ul style="list-style-type: none"><li>An additional Total Expense Ratio (TER) will be charged up to 30 basis points on daily net assets of the scheme, if the new inflows from beyond top 15 cities are at least (a) 30% of gross new inflows in the scheme or (b) 15% of the average assets under management (year to date) of the scheme, whichever is higher.</li></ul> <p>Provided that if inflows from such cities is less than the higher of sub-clause (a) or sub-clause (b), such expenses on daily net assets of the scheme shall be charged on proportionate basis;</p> <p>Provided further that expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities;</p> <p>Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.</p> <ul style="list-style-type: none"><li>Additional expenses not exceeding 0.20 per cent of daily net assets of the scheme will be charged by the Mutual Fund</li></ul> <p><b>Service Tax on investment and advisory fees:</b></p> <p>The Mutual Fund / AMC will charge service tax on investment and advisory fees to the scheme in addition to the maximum limit of TER as prescribed in regulation 52 of the SEBI (Mutual Funds) Regulations, 1996.</p> <p>However, Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of units will be paid / charged under Direct Plan.</p> <p><b>Actual expenses for the previous financial year (F.Y. 2012-2013)</b></p> <p><b>PineBridge World Gold Fund:</b></p> <p>Standard Plan: 1.75%</p> <p>Direct Plan : 1.54%</p>																						
Number of Folios	26,943 (as on June 25, 2013)																						
Asset under Management	₹ 81.33 crs. (as on June 25, 2013)																						

<b>Investor Care</b>	1800-200-3444	Email: india.investorcare@pinebridge.com	<b>Website</b>	www.pinebridge.in
<b>Distributor Care</b>	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

## DEBT SCHEMES

	PineBridge India Liquid Fund, an open ended liquid scheme	PineBridge India Total Return Bond Fund, an open ended income scheme																								
Investment Objective	<p>The primary investment objective of the Scheme is to seek to generate a reasonable return commensurate with low risk and a high degree of liquidity, from a portfolio constituted of money market securities and short term debt securities.</p> <p>However, there is no assurance that the objective of the Scheme will be realised and the Scheme does not assure or guarantee any returns.</p>	<p>PineBridge India Total Return Bond Fund*endeavors to maximize total return by investing in a range of fixed income securities of varying maturities and credits through an approach that emphasizes safety, diversification and flexibility.</p> <p>However, there is no assurance that the objective of the scheme will be realized and the scheme does not assure or guarantee any returns.</p> <p>#The Scheme is an open ended income scheme. Investors in the Scheme are not being offered any guaranteed/assured returns.</p>																								
Asset Allocation Pattern of the scheme	<table><tr><th rowspan="2">Types of Instruments</th><th colspan="2">Normal Allocation (% of net assets)</th><th rowspan="2">Risk Profile</th></tr><tr><th>Minimum</th><th>Maximum</th></tr><tr><td>Money Market Instruments with maturity# of upto 60 days</td><td>0%</td><td>100%</td><td>Low to Medium</td></tr><tr><td>Debt instruments* with maturity# of upto 60 days</td><td>0%</td><td>100%</td><td>Low to Medium</td></tr></table> <p>*Debt securities may include securitized debts upto 50% of the net assets</p> <p># Explanation:</p> <p>a) In case of securities where the principal is to be re-paid in single payout the maturity of the securities shall mean residual maturity. In case the principal is to be repaid in more than one payout then the maturity of the securities shall be calculated on the basis of the weighted average maturity of security.</p> <p>b) in case of securities with put and call options (daily or otherwise) the residual maturity of the security shall not be greater than 60 days.</p> <p>c) In case the maturity of a security falls on a non-business day, then settlement of securities will take place on the next business day.</p>	Types of Instruments	Normal Allocation (% of net assets)		Risk Profile	Minimum	Maximum	Money Market Instruments with maturity# of upto 60 days	0%	100%	Low to Medium	Debt instruments* with maturity# of upto 60 days	0%	100%	Low to Medium	<table><tr><th rowspan="2">Types of Instruments</th><th colspan="2">Normal Allocation (% of net assets)</th><th rowspan="2">Risk Profile</th></tr><tr><th>Minimum</th><th>Maximum</th></tr><tr><td>Debt* Instruments including Government Securities, Corporate Debt and Money Market Instruments</td><td>0%</td><td>100%</td><td>Low to Medium</td></tr></table> <p>*Debt securities may include securitized debts upto 50% of the net assets</p>	Types of Instruments	Normal Allocation (% of net assets)		Risk Profile	Minimum	Maximum	Debt* Instruments including Government Securities, Corporate Debt and Money Market Instruments	0%	100%	Low to Medium
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Types of Instruments	Normal Allocation (% of net assets)		Risk Profile																							
	Minimum	Maximum																								
Debt* Instruments including Government Securities, Corporate Debt and Money Market Instruments	0%	100%	Low to Medium																							
Plans	<ul style="list-style-type: none"><li>Standard Plan</li><li>Direct Plan</li></ul> <p>Retail Plan – Fresh subscriptions will not be accepted in the Retail Plan. This plan will remain in existence only till the last investor redeems his / her investment from the plan.</p>	<ul style="list-style-type: none"><li>Standard Plan</li><li>Direct Plan</li></ul> <p>Retail and Institutional Plans – Fresh subscriptions will not be accepted in these Plans. These plans will remain in existence only till the last investor redeems his / her investment from these plans.</p>																								
Options	<p>➤ <b>Growth Option</b> ➤ <b>Bonus Option</b> ➤ <b>Dividend Option</b></p> <ul style="list-style-type: none"><li>Dividend Payout Option<ul style="list-style-type: none"><li>Monthly - 25<sup>th</sup> of every month</li><li>Quarterly - 25<sup>th</sup> of every calendar quarter end</li></ul></li><li>Dividend Reinvestment Option<ul style="list-style-type: none"><li>Daily</li><li>Weekly - Every Monday</li><li>Monthly - 25<sup>th</sup> of every month</li><li>Quarterly - 25<sup>th</sup> of every calendar quarter end</li></ul></li></ul> <p>In case of Weekly, Monthly and Quarterly dividend options, if any of the day(s) mentioned is a non Business Day, the dividend will be declared on the next Business Day. Dividend (if any) will be declared subject to availability and adequacy of distributable surplus as on the record date.</p> <p>Investors, who have invested in the dividend reinvestment option of retail plan, shall be allotted units in the Standard Plan in lieu of the dividend declared at applicable NAV of the corresponding option of the Standard Plan.</p> <p>Investors who have invested without distributor code and have opted for dividend reinvestment under Standard Plan may note that the dividend will continue to be reinvested in the Standard Plan only.</p>	<p>➤ <b>Growth Option</b> ➤ <b>Bonus Option</b> ➤ <b>Dividend Option</b></p> <ul style="list-style-type: none"><li>Dividend Payout Option<ul style="list-style-type: none"><li>Monthly - 25<sup>th</sup> of every month</li><li>Quarterly - 25<sup>th</sup> of every calendar quarter end</li></ul></li><li>Dividend Reinvestment Option<ul style="list-style-type: none"><li>Monthly - 25<sup>th</sup> of every month</li><li>Quarterly - 25<sup>th</sup> of every calendar quarter end</li></ul></li></ul> <p>In case of dividend options, if any of the day(s) mentioned is a non Business Day, the dividend will be declared on the next Business Day. Dividend (if any) will be declared subject to availability and adequacy of distributable surplus as on the record date.</p> <p>Investors, who have invested in the dividend reinvestment option of retail plan, shall be allotted units in the Standard Plan in lieu of the dividend declared at applicable NAV of the corresponding option of the Standard Plan.</p> <p>Investors who have invested without distributor code and have opted for dividend reinvestment under Standard Plan may note that the dividend will continue to be reinvested in the Standard Plan only.</p> <p>Fresh subscriptions shall not be allowed in the Daily, Weekly and Annual Dividend Options (these options have been discontinued) of the Scheme from March 1, 2013 for daily and weekly dividend options and May 1, 2013 for annual dividend option. The Daily, Weekly and Annual Dividend Options will remain in existence till the existing investors remain invested in these options under the scheme.</p> <p>Further any application received for subscriptions in the daily and weekly dividend options, and valid in all other aspects, shall by default be processed under the Monthly Dividend Reinvestment Option of the respective plan and in case of annual dividend option under monthly dividend option / sub-option of the respective plan. In case of Dividend Reinvestment under the discontinued options, units shall be allotted in the Monthly Dividend Reinvestment option of the respective plan. In case of Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP), etc. registered prior to the discontinued dates i.e. March 1, 2013 in the Daily or Weekly Dividend Options, installments falling on or after that date will automatically be processed under the Monthly Dividend Reinvestment Option of the respective plan and in case of application received under Annual Dividend Option , the same shall be processed under the monthly dividend option / sub-option of the respective plan. Where units are held under different options, the redemption / switch request should be submitted separately for the different options, failing which the transactions will be processed from the Daily / Weekly / Annual options (as applicable).</p>																								

### Investor Care

1800-200-3444

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### Distributor Care

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### SMS

TRUST to 56767

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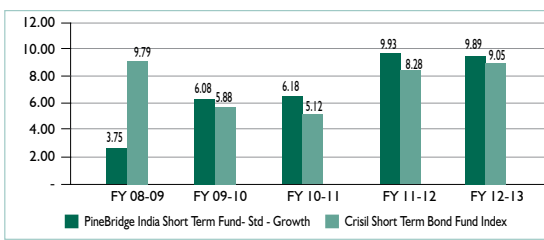


Minimum Application / Redemption Amount	First Time Purchases	Additional Purchase	Purchases through STP	Redemption
	₹ 5,000	₹ 1,000 and in multiple of ₹ 1 thereafter	₹ 1,000	₹ 1,000 or account balance whichever is less
	Purchases through SIP – Not Applicable		Purchases through SIP - ₹ 1,000	
	The minimum amount in case of inter/ intra scheme (inter plan/inter option) switches shall be the minimum amount required in the respective transferee scheme/plan.			
Benchmark Index	Crisil Liquid Fund Index		Crisil Composite Bond Fund Index	
Name of the Fund Manager	Mr. Vikrant Mehta			
Performance of the Schemes (as on May 31, 2013 for PineBridge India Liquid Fund and PineBridge India Total Return Bond Fund)  NAVs of Growth Option are used for calculation of returns.  As per SEBI standards for performance reporting, the “since inception” returns are calculated on ₹ 1000/- invested at inception.  Past performance may or may not be sustained in future.				

## DEBT SCHEME

Investor Care	1800-200-3444	Email: india.investorcare@pinebridge.com	Website	www.pinebridge.in
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PineBridge India Short Term Fund, an open ended income scheme																							
Investment Objective	The primary investment objective of the Scheme is to seek to generate income from a portfolio constituted of short to medium term debt and money market securities. There is no assurance that the objective of the Scheme will be realised and the Scheme does not assure or guarantee any returns.																						
Asset Allocation Pattern of the scheme	Type of Instruments		Normal Allocation (% of net assets)	Risk Profile																			
	Debt* and money market securities with average maturity less than equal to 370 days or have put options within a period not exceeding 370 days		40%-100%	Low																			
	Debt* Instruments including Government Securities, Corporate Debt and other debt Instruments with average maturity greater than 370 days		0%-60%	Low to Medium																			
	*Debt securities may include securitized debts upto 75% of the net assets																						
Plans	<ul style="list-style-type: none"><li>Standard Plan</li><li>Direct Plan</li></ul> Retail Plan – Fresh subscriptions will not be accepted in the Retail Plan. This plan will remain in existence only till the last investor redeems his / her investment from the plan.																						
Options	<p>➤ Growth Option ➤ Bonus Option ➤ Dividend Option</p> <ul style="list-style-type: none"><li>Dividend Payout Option<ul style="list-style-type: none"><li>Monthly - 25th of every month</li></ul></li><li>Dividend Reinvestment Option<ul style="list-style-type: none"><li>Weekly - Every Monday</li><li>Monthly - 25th of every month</li></ul></li></ul> <p>In case of dividend options, if any of the day(s) mentioned is a non Business Day, the dividend will be declared on the next Business Day. The dividend will be declared subject to availability and adequacy of distributable surplus.</p> <p>Investors, who have invested in the dividend reinvestment option of retail plan, shall be allotted units in the Standard Plan in lieu of the dividend declared at applicable NAV of the corresponding option of the Standard Plan.</p> <p>Investors who have invested without distributor code and have opted for dividend reinvestment under Standard Plan may note that the dividend will continue to be reinvested in the Standard Plan only.</p>																						
Minimum Application / Redemption Amount	First Time Purchases	Additional Purchase	Purchases through SIP	Purchases through STP	Redemption																		
	₹ 5,000	₹ 1,000 and in multiple of ₹ 1 thereafter	₹ 1,000 (SIP facility is not available under the weekly dividend option)	₹ 1,000	₹ 1,000 or account balance whichever is less																		
The minimum amount in case of inter/ intra scheme (inter plan/inter option) switches shall be the minimum amount required in the respective transferee scheme/plan.																							
Benchmark Index	Crisil Short Term Bond Fund Index																						
Name of the Fund Manager	Mr. Vikrant Mehta																						
Performance of the Scheme (as on May 31, 2013)  NAVs of Growth Option are used for calculation of returns.  As per SEBI standards for performance reporting, the “since inception” returns are calculated on ₹ 1000/- invested at inception.  Past performance may or may not be sustained in future.		CAGR Returns (%)			Absolute Returns (%)   <table><tr><th>Financial Year</th><th>PineBridge India Short Term Fund- Std - Growth</th><th>Crisil Short Term Bond Fund Index</th></tr><tr><td>FY 08-09</td><td>3.75</td><td>9.79</td></tr><tr><td>FY 09-10</td><td>6.08</td><td>5.88</td></tr><tr><td>FY 10-11</td><td>6.18</td><td>5.12</td></tr><tr><td>FY 11-12</td><td>9.93</td><td>8.28</td></tr><tr><td>FY 12-13</td><td>9.89</td><td>9.05</td></tr></table>	Financial Year	PineBridge India Short Term Fund- Std - Growth	Crisil Short Term Bond Fund Index	FY 08-09	3.75	9.79	FY 09-10	6.08	5.88	FY 10-11	6.18	5.12	FY 11-12	9.93	8.28	FY 12-13	9.89	9.05
	Financial Year	PineBridge India Short Term Fund- Std - Growth	Crisil Short Term Bond Fund Index																				
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FY 12-13	9.89	9.05																					
	1 Year	3 Years	5 Years	Since Inception																			
PineBridge India Short Term Fund- Standard	9.89%	8.91%	N.A.	7.31%																			
PineBridge India Short Term Fund- Direct*	10.08%	8.97%	7.23%	7.34%																			
CRISIL Short Term Bond Fund Index	9.62%	7.85%	7.76%	7.78%																			
Date of Allotment: March 6, 2008																							
*Direct Plan has been introduced w.e.f. January 1, 2013. Hence, the returns calculated are absolute returns from the day of first NAV till May 31, 2013.																							

Expenses of the Scheme	(i) <b>Load Structure</b> <b>Entry Load</b> : N.A. “In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors’ assessment of various factors including the service rendered by the distributor”. <b>Exit Load</b> : Nil				
	(ii) <b>Recurring expenses</b>				
	<b>Average daily net assets</b>	First ₹ 100 Crores	Next ₹ 300 Crores	Next ₹ 300 Crores	Over ₹ 700 Crores
	<b>Maximum (as a % of average daily net assets)</b>	2.25%	2.00%	1.75%	1.50%
	In addition to the above limits specified, the following costs or expenses can be charged to the scheme;				
	<ul style="list-style-type: none"><li>• Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions; Investors may note that the brokerage and transaction costs incurred in excess of the aforesaid limit will be charged to the TER or borne by the AMC or Trustee or Sponsor.</li><li>• An additional Total Expense Ratio (TER) will be charged up to 30 basis points on daily net assets of the scheme, if the new inflows from beyond top 15 cities are at least (a) 30% of gross new inflows in the scheme or (b) 15% of the average assets under management (year to date) of the scheme, whichever is higher. Provided that if inflows from such cities is less than the higher of sub-clause (a) or sub- clause (b), such expenses on daily net assets of the scheme shall be charged on proportionate basis; Provided further that expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities; Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.</li><li>• Additional expenses not exceeding 0.20 per cent of daily net assets of the scheme can be charged by the Mutual Fund</li></ul>				
	<b>Service Tax on investment and advisory fees:</b> The Mutual Fund / AMC will charge service tax on investment and advisory fees to the scheme in addition to the maximum limit of TER as prescribed in regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. However, Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of units will be paid / charged under Direct Plan.				
	<b>Actual expenses for the previous financial year (FY. 2012-2013)</b> <b>PineBridge India Short Term Fund</b> Standard Plan: 0.68% Direct Plan: 0.47% Retail Plan: 0.91%				
	<b>Number of Folios</b>	1,704 (as on June 25, 2013)			
	<b>Asset Under Management</b>	₹ 747.38 crs. (as on June 25, 2013)			

### INTERVAL INCOME SCHEMES

	PineBridge Quarterly Interval Fund Series – I, an interval income scheme	PineBridge Quarterly Interval Fund Series – II, an interval income scheme
<b>Investment Objective</b>	PineBridge Quarterly Interval Fund - Series I / Series II seeks to generate returns while endeavoring to manage interest rate volatility over the interval period through a portfolio of fixed income securities. There is no assurance that the objective of the scheme will be realized and the scheme does not assure or guarantee any returns.	
<b>Asset Allocation Pattern of the scheme</b>	<b>Types of instruments</b> Debt and money market securities *	<b>Normal allocation (% of net assets)</b> 0%-100%
	<b>Risk Profile</b> Low to medium	
	* Debt securities may include securitized debts upto 75% of the net assets In accordance with the SEBI Circular dated November 26, 2010, investments by PineBridge Quarterly Interval Fund – Series I / Series II will be made only in such securities which mature on or before the opening of the immediate following Specified Transaction Period.	
<b>Plans</b>	<ul style="list-style-type: none"> <li>Standard Plan</li> <li>Direct Plan</li> </ul>	<ul style="list-style-type: none"> <li>Standard Plan</li> <li>Direct Plan</li> </ul>
<b>Options</b>	> <b>Growth Option</b> > <b>Dividend Option</b> The Dividend Option offers only Dividend Payout facility. There is no Dividend Re-investment option. All existing investors who held units under Dividend Re-investment option as on March 22, 2011 have been automatically converted into 'Dividend Payout' option, since the Schemes are listed on NSE.	
<b>Minimum Application Amount / Redemption Amount</b>	<b>First time purchases</b> ₹ 10,000/- and in multiples of ₹ 1 thereafter	<b>Additional Purchase</b> ₹ 1,000/- and in multiples of ₹ 1 thereafter
	<b>Redemption</b> ₹ 1,000/- or account balance whichever is less	
	On the Exchange(s), units can be transacted in round lot of 1 (one) and above. There is no minimum amount for such investment.	
<b>Benchmark Index</b>	Crisil Liquid Fund Index	
<b>Name of the Fund Manager</b>	Mr. Vikrant Mehta	

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<b>Performance of the Schemes (as on May 31, 2013)</b>  NAVs of Growth Option are used for calculation of returns.  As per SEBI standards for performance reporting, the "since inception" returns are calculated on ₹ 1000/- invested at inception.  Past performance may or may not be sustained in future.	<b>CAGR Returns (%)</b>																				
	<b>1 Year</b>	<b>3 Years</b>	<b>Since Inception</b>																		
	PineBridge Quarterly Interval Fund - Series I - Standard	7.25%	9.72%	7.76%																	
	Crisil Liquid Fund Index	8.12%	7.90%	7.06%																	
<b>Date of Allotment: August 21, 2008</b>																					
<b>Absolute Returns (%)</b>																					
<table border="1"><thead><tr><th>Fiscal Year</th><th>PineBridge Quarterly Interval Fund - Series I - Std - Growth</th><th>Crisil Liquid Fund Index</th></tr></thead><tbody><tr><td>FY 08-09*</td><td>5.85%</td><td>5.67%</td></tr><tr><td>FY 09-10</td><td>1.82%</td><td>3.69%</td></tr><tr><td>FY 10-11</td><td>13.17%</td><td>6.21%</td></tr><tr><td>FY 11-12</td><td>7.86%</td><td>8.44%</td></tr><tr><td>FY 12-13</td><td>7.34%</td><td>8.17%</td></tr></tbody></table>				Fiscal Year	PineBridge Quarterly Interval Fund - Series I - Std - Growth	Crisil Liquid Fund Index	FY 08-09*	5.85%	5.67%	FY 09-10	1.82%	3.69%	FY 10-11	13.17%	6.21%	FY 11-12	7.86%	8.44%	FY 12-13	7.34%	8.17%
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* From Inception : August 21, 2008																					

<b>Expenses of the Scheme</b>	<b>CAGR Returns (%)</b>																				
	<b>1 Year</b>	<b>3 Years</b>	<b>Since Inception</b>																		
	PineBridge Quarterly Interval Fund - Series II - Standard	7.40%	7.53%	6.59%																	
	Crisil Liquid Fund Index	8.12%	7.90%	7.05%																	
<b>Date of Allotment: August 28, 2008</b>																					
<b>Absolute Returns (%)</b>																					
<table border="1"><thead><tr><th>Fiscal Year</th><th>PineBridge Quarterly Interval Fund - Series II - Std - Growth</th><th>Crisil Liquid Fund Index</th></tr></thead><tbody><tr><td>FY 08-09*</td><td>6.41%</td><td>5.52%</td></tr><tr><td>FY 09-10</td><td>1.96%</td><td>3.69%</td></tr><tr><td>FY 10-11</td><td>6.37%</td><td>6.21%</td></tr><tr><td>FY 11-12</td><td>7.92%</td><td>8.44%</td></tr><tr><td>FY 12-13</td><td>7.55%</td><td>8.17%</td></tr></tbody></table>				Fiscal Year	PineBridge Quarterly Interval Fund - Series II - Std - Growth	Crisil Liquid Fund Index	FY 08-09*	6.41%	5.52%	FY 09-10	1.96%	3.69%	FY 10-11	6.37%	6.21%	FY 11-12	7.92%	8.44%	FY 12-13	7.55%	8.17%
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FY 12-13	7.55%	8.17%																			
* From Inception : August 28, 2008																					

<b>Ongoing / Specified Transaction Period</b>										
<b>(i) Load Structure</b>										
<b>Entry Load : Nil</b>										
"In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor".										
<b>Exit Load : Nil</b>										
Application for purchase of units/switch-in and redemption/switch-out will be accepted on/during the defined "Specified Transaction Period". In addition, the units of PineBridge Quarterly Interval Fund – Series I / Series II are currently listed on the National Stock Exchange of India Limited (NSE). The investor can trade on the exchange on the trading days like any other stock listed at the prevalent market prices. Thus, there will be no exit load, however, investors may have to bear the cost attached to secondary market such as brokerage, service tax, etc.										
<b>(ii) Recurring expenses</b>										
<table><tr><td><b>Average daily net assets</b></td><td><b>First ₹ 100 Crores</b></td><td><b>Next ₹ 300 Crores</b></td><td><b>Next ₹ 300 Crores</b></td><td><b>Over ₹ 700 Crores</b></td></tr><tr><td><b>Maximum (as a % of average daily net assets)</b></td><td>2.25%</td><td>2.00%</td><td>1.75%</td><td>1.50%</td></tr></table>	<b>Average daily net assets</b>	<b>First ₹ 100 Crores</b>	<b>Next ₹ 300 Crores</b>	<b>Next ₹ 300 Crores</b>	<b>Over ₹ 700 Crores</b>	<b>Maximum (as a % of average daily net assets)</b>	2.25%	2.00%	1.75%	1.50%
<b>Average daily net assets</b>	<b>First ₹ 100 Crores</b>	<b>Next ₹ 300 Crores</b>	<b>Next ₹ 300 Crores</b>	<b>Over ₹ 700 Crores</b>						
<b>Maximum (as a % of average daily net assets)</b>	2.25%	2.00%	1.75%	1.50%						
In addition to the above limits specified, the following costs or expenses can be charged to the scheme;										
<ul style="list-style-type: none"><li>Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions;  Investors may note that the brokerage and transaction costs incurred in excess of the aforesaid limit will be charged to the TER or borne by the AMC or Trustee or Sponsor.</li><li>An additional Total Expense Ratio (TER) will be charged up to 30 basis points on daily net assets of the scheme, if the new inflows from beyond top 15 cities are at least (a) 30% of gross new inflows in the scheme or (b) 15% of the average assets under management (year to date) of the scheme, whichever is higher.  Provided that if inflows from such cities is less than the higher of sub-clause (a) or sub-clause (b), such expenses on daily net assets of the scheme shall be charged on proportionate basis;  Provided further that expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities;  Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.</li><li>Additional expenses not exceeding 0.20 per cent of daily net assets of the scheme can be charged by the Mutual Fund</li></ul>										
<b>Service Tax on investment and advisory fees:</b>										
The Mutual Fund / AMC will charge service tax on investment and advisory fees to the scheme in addition to the maximum limit of TER as prescribed in regulation 52 of the SEBI (Mutual Funds) Regulations, 1996.										
However, Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of units will be paid / charged under Direct Plan.										
<b>Actual Expenses for the previous financial year (F.Y. 2012-2013)</b>										
<table><tr><td><b>PineBridge Quarterly Interval Fund - Series I</b></td><td><b>PineBridge Quarterly Interval Fund - Series II</b></td></tr><tr><td>Standard Plan: 0.40%</td><td>Standard Plan: 0.40%</td></tr><tr><td></td><td>Institutional Plan: 0.37%</td></tr></table>	<b>PineBridge Quarterly Interval Fund - Series I</b>	<b>PineBridge Quarterly Interval Fund - Series II</b>	Standard Plan: 0.40%	Standard Plan: 0.40%		Institutional Plan: 0.37%				
<b>PineBridge Quarterly Interval Fund - Series I</b>	<b>PineBridge Quarterly Interval Fund - Series II</b>									
Standard Plan: 0.40%	Standard Plan: 0.40%									
	Institutional Plan: 0.37%									
<b>Number of Folios</b>	PineBridge Quarterly Interval Fund - Series I : 21 (as on June 25, 2013)	PineBridge Quarterly Interval Fund - Series II : 30 (as on June 25, 2013)								
<b>Asset Under Management</b>	PineBridge Quarterly Interval Fund - Series I: ₹ 0.13 crs. (as on June 25, 2013)	PineBridge Quarterly Interval Fund - Series II: ₹ 0.12 crs. (as on June 25, 2013)								

<b>Specified Transaction Period</b>	<p>"Specified Transaction Period" is the period during which units of the Schemes are available for Subscription / Redemption / Switch-in / Switch-out through physical application mode. Investors holding units in demat mode can also submit a request for subscription / redemption / switches through their respective DP (Depository Participant) during the Specified Transaction Period.</p> <p>The specified transaction period (STP) will be on the 95th day and 96th day from the previous specified transaction period. In case such days are non-business days, then the immediately following business days shall be considered as STP.</p> <p>Application for subscription/switch-in and redemption/switch-out of units will be accepted only during the STP. However, investors can buy / sell units like any other stock listed on NSE at prevalent market prices for units held in Demat mode.</p> <p>Investors subscribing for units on the first day of the STP would be able to redeem their units during the subsequent STP or during STPs thereafter. However, investors subscribing for units under demat mode may sell their units on the stock exchange once the book closure is revoked.</p> <p>The AMC reserves the right to change / alter the "Specified Transaction Period", depending on the prevailing market conditions and to protect the interest of the investors.</p>
<b>Listing</b>	The Units of the Schemes are currently listed on the NSE. The Trustee may at its sole discretion list the Units of the Schemes on any other recognized Stock Exchange at a later date.

#### COMPARISON BETWEEN THE SCHEMES

Name of Schemes	Investment Objective	Asset Allocation Pattern			Product Differentiation	AUM as on June 25, 2013 (₹ in crs.)	No of Folios as on June 25, 2013	
PineBridge India Equity Fund (An open ended equity scheme)	The investment objective of the Scheme is to generate long-term capital appreciation from a diversified portfolio of predominantly equity and equity-related securities including equity derivatives.	Instruments	Normal allocation (% of total assets)		Risk Profile	PineBridge India Equity Fund is an open-ended diversified equity scheme that seeks to generate long-term capital appreciation by investing in stocks across all market capitalization ranges i.e. large, mid and small cap stocks, without having any bias to any sector or a particular style of investing	130.89	24,943
			Minimum	Maximum	High/Medium/Low			
		Equity and equity related securities(1)	80	100	High			
		Debt & money market securities/instruments/funds (2)	0	20	Low to Medium			
		(1) & (2) – For the explanation, please refer to Section III, sub-section D. WHERE WILL THE SCHEME INVEST? in the SID.						
PineBridge Infrastructure & Economic Reform Fund (An open ended equity scheme)	The investment objective of the Scheme is to generate long-term capital appreciation from a diversified portfolio of predominantly (at least 65%) equity and equity-related securities of companies involved in economic development of India as a result of potential investments in infrastructure and unfolding economic reforms.	Instruments	Normal allocation (% of total assets)		Risk Profile	PineBridge Infrastructure & Economic Reform Fund is an open-ended equity scheme that seeks to generate long-term capital appreciation by investing in companies that may benefit from potential investments in infrastructure and unfolding economic reforms without having any bias towards any sector or market capitalization range	68.88	20,286
			Minimum	Maximum	High/Medium/Low			
		Equity and equity related securities(1)	80	100	High			
		Debt & money market securities/instruments/funds (2)	0	20	Low to Medium			
		(1) & (2) – For the explanation, please refer to Section III, sub-section D. WHERE WILL THE SCHEME INVEST? in the SID.						
PineBridge World Gold Fund (An open ended fund of funds scheme)	The primary investment objective of the Scheme is to provide long term capital appreciation by investing predominantly in units of Falcon Gold Equity Fund.  The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes. The Scheme may also invest a certain portion of its corpus in debt and money market securities and/or units of debt/liquid schemes of Mutual Funds, in order to meet liquidity requirements from time to time.	Instruments	Normal allocation (% of total assets)		Risk Profile	A Fund of Funds scheme that invests in international securities of Gold production, processing & marketing Companies through an international fund - Falcon Gold Equity Fund	81.33	26,943
			Minimum	Maximum	High/Medium/Low			
		Units of Falcon Gold Equity Fund # &/or other similar overseas mutual fund scheme(s)	80	100	High			
		Debt and money market securities and/ or units of debt/liquid schemes of Domestic Mutual Funds.	0	20	Low to Medium			
		# Falcon Gold Equity Fund is a mutual fund subject to Swiss law in the “securities funds” category as defined by the Investment Funds Act dated March 18, 1994.						

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**COMPARISON BETWEEN THE SCHEMES**

Name of Schemes	Investment Objective	Asset Allocation Pattern			Product Differentiation	AUM as on June 25, 2013 (₹ in crs.)	No of Folios as on June 25, 2013
<b>PineBridge India Liquid Fund</b> (An open ended liquid scheme)	The primary investment objective of the Scheme is to seek to generate a reasonable return commensurate with low risk and a high degree of liquidity, from a portfolio constituted of money market securities and short term debt securities.	<b>Instruments</b>	<b>Normal allocation (% of total assets)</b>		<b>Risk Profile</b>	3.54	211
			<b>Minimum</b>	<b>Maximum</b>	<b>High/ Medium/ Low</b>		
		Money Market Instruments with maturity# of upto 60 days	0	100	Low to Medium		
		Debt Instruments* with maturity# of upto 60 days	0	100	Low to Medium		
		* Debt securities may include securitized debts upto 50% of the net assets. # Explanation: a) In case of securities where the principal is to be re-paid in single payout the maturity of the securities shall mean residual maturity. In case the principal is to be repaid in more than one payout then the maturity of the securities shall be calculated on the basis of the weighted average maturity of security. b) In case of securities with put and call options (daily or otherwise) the residual maturity of the security shall not be greater than 60 days. c) In case the maturity of a security falls on a non-business day, then settlement of securities will take place on the next business day.			This scheme is meant for investors to deploy their funds for a very short period of time. As per SEBI Guidelines, the residual / average maturity of the fund cannot exceed 60 days.		
<b>PineBridge India Total Return Bond Fund</b> (An open ended income scheme)	PineBridge India Total Return Bond Fund# endeavors to maximize total return by investing in a range of fixed income securities of varying maturities and credits through an approach that emphasizes safety, diversification and flexibility. # The Scheme is an open ended income scheme. Investors in the Scheme are not being offered any guaranteed/ assured returns.	<b>Instruments</b>	<b>Normal allocation (% of total assets)</b>		<b>Risk Profile</b>	136.64	920
			<b>Minimum</b>	<b>Maximum</b>	<b>High/ Medium/ Low</b>		
		Debt* Instruments including Government Securities, Corporate Debt and Money Market Instruments	0	100	Low to Medium		
		* Debt securities may include securitized debts upto 50% of the net assets.			The "total return" sought by the Fund will consist of income earned on the Fund's investments, plus capital appreciation, if any, which generally arises from decreases in interest rates, or improving credit fundamentals for a particular sector or security. The scheme will follow an active investment strategy taking defensive or aggressive stance depending on opportunities available in the market place at various points in time by investing in a diversified portfolio of Fixed Income securities across varying maturities and credits. Further this scheme would also endeavor to exploit inefficiencies of rates and/ or credit spreads, if any, prevailing in the market place.		
<b>PineBridge India Short Term Fund</b> (An open ended income scheme)	The primary investment objective of the Scheme is to seek to generate income from a portfolio constituted of short to medium term debt and money market securities.	<b>Instruments</b>	<b>Normal allocation (% of total assets)</b>		<b>Risk Profile</b>	747.38	1,704
			<b>Minimum</b>	<b>Maximum</b>	<b>High/ Medium/ Low</b>		
		Debt* and money market securities with average maturity less than equal to 370 days or have put options within a period not exceeding 370 days	40	100	Low		
		Debt Instruments* including Government Securities, Corporate Debt and other debt Instruments with average maturity greater than 370 days	0	60	Low to Medium		
		* Debt securities may include securitized debts upto 75% of the net assets.			This scheme is meant for investors having a medium-term investment horizon and higher risk appetite as compared to PineBridge India Liquid Fund. This fund would take interest rate calls and has the ability to invest not only in mark to market securities, but can also take certain percentage of exposure to instruments having a residual maturity of more than a one year.		

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Name of Schemes	Investment Objective	Asset Allocation Pattern			Product Differentiation	AUM as on June 25, 2013 (₹ in crs.)	No of Folios as on June 25, 2013
PineBridge Quarterly Interval Fund – Series I (An Interval Income Scheme)	PineBridge Quarterly Interval Fund seeks to generate returns while endeavoring to manage interest rate volatility over the interval period through a portfolio of fixed income securities.	Instruments	Normal allocation (% of total assets)		Risk Profile	0.13	21
			Minimum	Maximum			
		Debt and money market securities *	0	100	Low to Medium		
		* Debt securities may include securitized debts upto 75% of the net assets.					
PineBridge Quarterly Interval Fund – Series II (An Interval Income Scheme)					<p>This scheme seeks to generate returns while endeavoring to manage interest rate volatility over the interval period through a portfolio of fixed income securities. This scheme is primarily meant for investors looking at avenues to deploy their surplus funds over the interval period. The units of these schemes shall be available for subscription / switch-in and redemptions / switch-out during specified transaction period.</p> <p>“Specified Transaction Period” is the period during which units of the Series/ Plan under the Scheme are available for Subscription / Redemption / Switch-in / Switch-out.</p> <p>The specified transaction period (STP) will be on the 95th day and 96th day from the previous specified transaction period. In case such days are non-business days, then the immediately following business days shall be considered as STP.</p>	0.12	30

INVESTMENT STRATEGIES	
PineBridge India Equity Fund	<p>The Scheme would invest predominantly in a diversified portfolio constituting equity and equity related instruments of companies that the fund manager believes have sustainable business models, and potential for capital appreciation.</p> <p>The Scheme would follow an actively managed approach allowing it the flexibility to pursue opportunities across the entire market capitalization spectrum, from smaller companies to well-established large-cap companies, without having any bias in favour of sectoral allocations or market capitalization. The investment environment, valuation parameters and other investment criteria will determine the allocation and the investment style.</p>
PineBridge India Liquid Fund	<p>This scheme is meant for investors to deploy their funds for a short period of time. The fund will be managed according to the investment objective, thereby seek to generate reasonable returns commensurate with low risk. The scheme will invest in money market and other short term debt securities and shall maintain high liquidity for the purpose of meeting the liquidity requirements of the investors.</p> <p>The credit quality of the portfolio will be maintained and monitored using in-house research capabilities as well as inputs from external sources such as independent credit rating agencies. The investment team will primarily use a bottom up approach to assess the quality of the security / instrument (including the financial health of the issuer) as well as the liquidity of the security.</p> <p>Investments in debt instruments carry various risks such as interest rate risk, liquidity risk, default risk, reinvestment risk etc. Whilst such risks cannot be eliminated, they may be minimized through diversification and effective use of hedging techniques.</p>
PineBridge India Total Return Bond Fund	<p>The “total return” sought by the Fund consists of income earned on the Fund’s investments, plus capital appreciation, if any, which generally arises from decreases in interest rates, or improving credit fundamentals for a particular sector or security.</p> <p>The scheme will follow an active investment strategy taking a defensive or aggressive stance depending on opportunities available in the market place at various points in time by investing in a diversified portfolio of Fixed Income/Debt securities across varying maturities and credits. Thus the portfolio duration will be managed according to the expected movement in the interest rates, liquidity conditions and other macro economic factors. Therefore, the scheme would not restrict itself to any specific maturity bucket. The scheme would also endeavor to exploit inefficiencies of rates and/or credit spreads, if any, prevailing in the market place.</p> <p>Duration is a measure used to determine the sensitivity of a security’s price to changes in interest rates. The longer a security’s duration, the more sensitive it will be to changes in interest rates.</p>

INVESTMENT STRATEGIES	
<b>PineBridge Infrastructure &amp; Economic Reform Fund</b>	<p>India's rapid economic development and urbanization has led to an ever-increasing need to provide basic infrastructure - particularly power, telecom, water, housing, sanitation, solid waste management, roads and urban transport including airports, ports, waterways etc. Urban roads are inadequate to meet growing traffic requirements. The number of vehicles in India has increased 80-fold over the last 40 years but road length has increased by only 5%#. Efficient roadway and urban transit networks are integral to the country's continued economic development. The housing shortage in India is estimated to be in the range of nearly 40 million dwelling units. India faces chronic power shortages due to underdeveloped generation capacity as well as a porous and inefficient transmission and distribution network. Teledensity in spite of recent strides in increasing subscriber population still is low compared to the developed world.</p> <p><i># According to Mr M Rajamani, Joint Secretary to the government of India, Ministry of Urban Development, at the 2nd Conference on financing municipalities and sub-national governments, Washington DC, September 2004.</i></p> <p>India has embarked upon an ambitious economic reform program aimed at correcting these imbalances and ensuring a balanced growth for all sections of the population on a sustained basis. Economic reform has also led to increased requirements of various goods and services essential for the sustained growth envisaged by various estimates of GDP growth.</p> <p>The financial resources required to expand these basic amenities are enormous, resulting in a significant resource gap that cannot be met from traditional central and state government grants and loans. Recognition of this funding gap has resulted in a near-universal acceptance that the private sector can and should play a larger role in the financing of infrastructure in partnership with the public sector.</p> <p>This growing area will potentially throw up a vast array of opportunities for investors. The PineBridge Infrastructure - &amp; Economic Reform Fund will invest primarily in equity and equity related instruments either through primary or secondary purchases of companies involved in this development as a result of such potential investments in infrastructure and unfolding economic reform to take advantage of this opportunity as it appears on the economic landscape of India.</p> <p>Under normal market conditions and depending on the fund manager's views, the assets of the Scheme would be invested across stocks that represent a broad range of sectors of the economy as mentioned below in order to ensure adequate portfolio diversification:</p> <ul style="list-style-type: none"> <li>(i) Infrastructure: Infrastructure companies operating in but not limited to power, oil and gas, telecom, water, housing, real estate, construction, roads, ports, airports, shipping &amp; ship building, logistics, etc. and sectors that will benefit from the development in infrastructure such as but not limited to cement, metals, capital goods and banking and financial services.</li> <li>(ii) Economic reform oriented: Companies in sectors that will benefit from the on-going liberalization in the Indian economy including relaxation in foreign exchange controls, FDI in banking and financial services and any other industry or sector where there is a trend to moving toward a freer market based model like retail, media and entertainment, mining, etc.</li> </ul> <p>The fund manager may use selective derivative strategies with a view to optimize the overall performance of the Scheme.</p>
<b>PineBridge India Short Term Fund</b>	<p>This scheme is meant for investors looking at avenues to deploy their surplus funds in primarily debt securities and money market instruments with a short to medium term investment horizon. The fund will be managed according to the investment objective, thereby seek to generate income from a portfolio constituted of short to medium term debt and money market securities.</p> <p>The investment team of the AMC will take an active view on the key drivers affecting short term interest rate scenario. These will include various parameters of the Indian economy, as well as developments in global markets. Investment views / decisions will be a combination of credit analysis of individual exposures, analysis of macroeconomic factors and other relevant factors to estimate the direction of interest rates and level of liquidity, in an attempt to optimize the risk adjusted returns on the portfolio.</p> <p>The credit quality of the portfolio will be maintained and monitored using in-house research capabilities as well as inputs from external sources such as independent credit rating agencies. The investment team will primarily use a bottom up approach to assess the quality of the security / instrument (including the financial health of the issuer) as well as the liquidity of the security.</p> <p>Investments in debt instruments carry various risks such as interest rate risk, liquidity risk, default risk, reinvestment risk etc. Whilst such risks cannot be eliminated, they may be minimized through diversification and effective use of hedging techniques.</p>
<b>PineBridge World Gold Fund</b>	<p>The primary investment objective of the Scheme is to provide long term capital appreciation by investing predominantly in units of Falcon Gold Equity Fund. The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes. The Scheme may also invest a certain portion of its corpus in debt and money market securities and/or units of debt/liquid schemes of Mutual Funds, in order to meet liquidity requirements from time to time. However, there is no assurance that the investment objective of the Scheme will be realized.</p> <p>The Falcon Gold Equity Fund is a sector fund. It is suitable for investors who want to utilize interesting investment opportunities in the gold mining sector. Due to its focus, the fund is seen as an ideal supplement in the share part of a mixed portfolio. The low correlation of gold mine shares to other securities means that the fund can also be used as an ideal diversification instrument.</p>
<b>PineBridge Quarterly Interval Fund - Series I / Series II</b>	<p>This scheme is primarily meant for investors looking at avenues to deploy their surplus funds over the interval period. The fund will be managed according to the investment objective, thereby seeking to give investors returns while endeavoring to manage interest rate volatility over the interval period.</p> <p>The Fund Manager will take a view on the key drivers affecting short term interest rates in the country as well as liquidity in the fixed income markets. This will include various parameters of the Indian economy, as well as developments in global markets.</p> <p>Investment views / decisions will be a combination of credit analysis of individual exposures, analysis of macroeconomic factors and other relevant factors to estimate the direction of interest rates and level of liquidity, in an attempt to optimize the risk adjusted returns on the portfolio.</p> <p>The credit quality of the portfolio will be maintained and monitored using in-house research capabilities as well as inputs from external sources such as independent credit rating agencies.</p> <p>The Scheme may invest in various derivatives instruments including interest rate swaps and forward contracts which are available for investment in Indian Markets from time to time and which are permissible under the Regulations. Investment in such instruments will be made in accordance with the investment objective and the strategy of the Scheme. The investments shall also be subject to the internal limits as may be laid down from time to time and such limits and restrictions as may be prescribed by the Regulations or any other regulatory body.</p>



## INFORMATION COMMON TO ALL SCHEMES

### RISK PROFILE OF THE SCHEMES

Mutual Fund units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific risk factors are summarised below:

#### PineBridge India Equity Fund and PineBridge Infrastructure & Economic Reform Fund:

- The value of the Scheme's investments may be affected by factors affecting the securities markets such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws and political, economic or other developments which may have an adverse bearing on individual securities, a specific sector or all sectors. Consequently, the NAV of the Units of the Scheme may be affected.
- Equity securities and equity related securities are volatile and prone to price fluctuations on a daily basis. The liquidity of investments made in the Scheme may be restricted by trading volumes and settlement periods. Settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell securities held in the Scheme's portfolio may result, at times, in potential losses to the Scheme, should there be a subsequent decline in the value of securities held in the Scheme's portfolio.
- Investments in equity and equity related securities involve a degree of risk and investors should not invest in the Scheme unless they can afford to take the risk of losing their investment.
- The liquidity and valuation of the Scheme's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to the target date of disinvestment.
- Investments in money market instruments involve a moderate credit risk i.e. risk of an issuer's ability to meet the principal payments. Additionally, money market securities, while fairly liquid, lack a well-developed secondary market, which may restrict the selling ability of the Scheme and may lead to the Scheme incurring losses till the security is finally sold.
- Money market instruments may also be subject to price volatility due to factors such as changes in interest rates, general level of market liquidity and market perception of credit worthiness of the issuer of such instruments.
- The NAV of the Scheme's Units, to the extent that the Scheme is invested in fixed income securities, will be affected by changes in the general level of interest rates. When interest rates decline, the value of a portfolio of fixed income securities can be expected to rise. Conversely, when interest rates rise, the value of a portfolio of fixed income securities can be expected to decline.
- The tax benefits described in the SID of the scheme are as available under the prevailing taxation laws. Investors / Unit Holders should be aware that the relevant fiscal rules or their interpretation may change. As is the case with any investment, there can be no guarantee that the tax position or the proposed tax position prevailing at the time of an investment in the Scheme will endure indefinitely. In view of the individual nature of tax consequences, each Unit Holder is advised to consult his / her / their own professional tax advisor.
- The liquidity of the scheme is inherently restricted by trading volumes in securities in which it invest.
- Securities which are not quoted on the stock exchanges are inherently illiquid in nature and carry a larger liquidity risk in comparison with securities that are listed on the exchanges or offer other exit options to the investors, including put options. The AMC may choose to invest in unlisted securities that offer attractive yields within the regulatory limit. This may however increase the risk of the portfolio.
- Investment decisions made by the Investment Manager may not always be profitable.

#### Only applicable for PineBridge Infrastructure & Economic Reform Fund

- The Scheme will focus on companies which will benefit from structural changes brought about by continuing liberalization in economic policies by the Government and/or from continuing investments in infrastructure, both by the public and private sector. It is possible that such policies may not fructify or crystallize in a manner commensurate with expectations thereby affecting the prospects of companies held in the portfolio of the Scheme. Since, the NAV of the scheme is linked to the share price performance of such companies, they may outperform or underperform the benchmark index (S&P BSE 100) and/or the constituents of the said benchmark index.

#### PineBridge World Gold Fund

- The value of the Scheme's investments may be affected by factors affecting the securities markets such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws and political, economic or other developments which may have an adverse bearing on individual securities, a specific sector or all sectors. Consequently, the NAV of the Units of the Scheme may be affected.
- The Scheme intends to predominantly invest in Falcon Gold Equity Fund, which invests worldwide mainly in stocks issued by companies engaged primarily in the extraction, processing and marketing of gold. Furthermore, up to a maximum of 25% of the assets can be invested in companies engaged in extracting, processing or marketing other precious metals, gems and colored metals. Falcon Gold Equity Fund may invest

a maximum of one third of its assets into other financial instruments as defined in its prospectus. The investment objective of the Falcon Gold Equity Fund consists chiefly in achieving an appropriate level of growth.

The Falcon Gold Equity Fund is a sector fund. It is suitable for investors who want to utilize interesting investment opportunities in the gold mining sector. Due to its focus, the fund is seen as an ideal supplement in the share part of a mixed portfolio. The low correlation of gold mine shares to other securities means that the fund can also be used as an ideal diversification instrument.

The Scheme may also invest, at the discretion of the Investment Manager, a certain portion of its corpus in the debt/liquid schemes of PineBridge Mutual Fund. Hence scheme specific risk factors of such underlying schemes will be applicable. All risks associated with such schemes, including performance of their underlying stocks, derivative instruments, stock-lending, off-shore investments etc., will therefore be applicable in the case of the Scheme. Investments in the Scheme will be subject to risk factors associated with investment in the Underlying Scheme and other underlying schemes in which the Scheme invests. All risks associated with such schemes, including performance of their underlying stocks, derivative instruments, stock-lending, off-shore investments etc., will therefore be applicable in the case of the Scheme. Investors who intend to invest in the Scheme are required to and deemed to have understood the risk factors of such underlying schemes.

- Investors in the Scheme may incur load charges on two occasions. First, on their redemptions / switches in the options under the relevant scheme(s) (including the Underlying Scheme) and second, on the Scheme's redemption / switches in the options under the underlying scheme(s) (including the Underlying Scheme).
- The winding up of the Underlying Scheme may result in winding up of the Scheme itself.
- To the extent that the underlying schemes invest in corporate debt securities, they are subject to the risk of an issuer's inability to meet interest and principal payments on its debt obligations (credit risk). Debt securities may also be subject to price volatility due to factors such as changes in credit rating, general level of market liquidity and market perception of the creditworthiness of the issuer, among others (market risk).
- To the extent that the underlying schemes are invested in fixed income securities, the NAV of the Units issued under the Scheme is likely to be affected by changes in the general level of interest rates. When interest rates decline, the value of a portfolio of fixed income securities can be expected to rise. Conversely, when interest rates rise, the value of a portfolio of fixed income securities can be expected to decline.
- The liquidity of the Scheme's investments may be inherently restricted by the liquidity of the underlying schemes in which it has invested.
- The Investors shall bear the recurring expenses of the Scheme in addition to those of the underlying schemes. Therefore, the returns that they may receive may be materially impacted or may, at times, be lower than the returns that the investors directly investing in the underlying schemes could obtain.
- The disclosures of portfolio for the Scheme will be limited to the particulars of the underlying schemes and money market securities where the Scheme has invested. Investors may, therefore, not be able to obtain specific details of the investments of the underlying schemes.
- Any change in the investment policies or fundamental attributes of any underlying scheme is likely to affect the performance of the Scheme.
- Currency Risk – Investments in Falcon Gold Equity Fund are subject to currency risk. Returns to investors are the result of a combination of returns from investments and from movements in exchange rates. For example, if the Rupee appreciates vis-à-vis the US\$, the extent of appreciation will lead to reduction in the yield to the investor. However, if the Rupee depreciates against the US Dollar by an amount in excess of the interest earned on the investment, the returns can even be negative. Again, in case the Rupee depreciates vis-à-vis the US\$, the extent of depreciation will lead to a corresponding increase in the yield to the investor. Going forward, the Rupee may depreciate (lose value) or appreciate (increase value) against the currencies of the countries where the Scheme will invest.
- Country Risk – Country risk arises from the inability of a country to meet its financial obligations. It is the risk encompassing economic, social and political conditions in a foreign country which might adversely affect the interests of the Scheme.

#### Special Risk Considerations related to Falcon Gold Equity Fund:

- Investors must read these Special Risk Considerations. This section contains explanations of some of the risks that apply to Falcon Gold Equity Fund.

Risks: Investments in securities always involve risks as well as opportunities for capital growth and income. These risks can arise from fluctuations in the price of securities, and - in the case of investments not denominated in the unit of account - fluctuations in foreign exchange rates.

Investments in equities involve greater risk than those in fixed-interest instruments of highly rated issuers. Changes in the macroeconomic situation or the climate on the stock market may result in substantial price fluctuations.

In the case of fixed-interest securities and rights, any price fluctuations also depend on the maturities of the fixed-interest investments held for the fund. Fixed-interest investments with shorter maturities generally exhibit lower price risk than fixed-interest securities with longer maturities.

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A rise in the general level of interest-rate risk may lead to falling prices in the case of fixed-interest investments, while reductions in interest rates may result in price increases.

The credit risk associated with an investment, i.e. the risk that borrowers will default, cannot be entirely ruled out even if investments are carefully selected.

Investing in the subfund of an umbrella fund may involve additional risk if the umbrella fund can be held responsible for the liabilities of each subfund. This additional risk increases when investments are made in units of different subfunds of a single umbrella fund.

**PineBridge India Liquid Fund, PineBridge India Total Return Bond Fund, PineBridge India Short Term Fund, PineBridge Quarterly Interval Fund – Series I & PineBridge Quarterly Interval Fund – Series II**

**Risk Associated with Fixed Income and Money Market Instruments:**

The performance of the Scheme may be affected by changes in Government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems.

**Interest rate risk:**

As with all debt securities, changes in interest rates may affect the NAV of the Scheme since the price of a fixed income instrument falls when the interest rates move up and vice versa. The effect is more prominent when the duration of the instrument is higher. Hence the NAV movement of the Scheme consisting of predominantly fixed income securities is likely to have inverse correlation with the movement in interest rates. In case of a floating rate instrument, this risk is lower as a result of periodic reset of the coupon.

**Spread risk:**

Though the sovereign yield curve might remain constant, investments in corporate bonds are exposed to the risk of spread widening between corporate bonds and gilts. Typically, if this spread widens, the prices of the corporate bonds tend to fall and so could the NAV of the Scheme. Similar risk prevails for the investments in the floating rate bonds, where the benchmark might remain unchanged, but the spread over the benchmark might vary. In such an event, if the spread widens, the price and the NAV could fall.

**Credit or default risk:**

This refers to inability of the issuer of the debt security to make timely payments of principal and / or interest due. It is reflected in the credit rating of the issuer. Hence if the credit rating of the issuer is downgraded, the price of the security will suffer a loss and the NAV will fall. Credit risk factors pertaining to lower rated securities also apply to lower rated zero coupon and deferred interest kind bonds. Lower rated zero coupon and deferred interest kind bonds carry an additional risk in that, unlike bonds that pay interest through the period of maturity, the Scheme by investing in these bonds will realize no cash till the cash payment date and if the issuer defaults, the Scheme may obtain no return on its investment. Separately, underlying assets in securitised debt may assume different forms and the general types of receivables include auto finance, credit cards, home loans or any such receipts. Credit risks relating to such receivables depend upon various factors, including macro-economic factors of these industries and economies. Specific factors like nature and adequacy of property mortgaged against these borrowings, nature of loan agreement / mortgage deed in case of home loans, adequacy of documentation in case of auto finance and home loans, capacity of a borrower to meet his obligations on borrowings in case of credit cards and intentions of the borrower influence the risks relating to asset borrowings underlying securitised debt.

**Liquidity risk:**

This represents the possibility that the realised price from selling the security might be lesser than the valuation price as a result of illiquid market. If a large outflow from the Scheme is funded by selling some of the illiquid securities, the NAV could fall even if there is no change in interest rates. Illiquid securities are typically quoted at a higher yield than the liquid securities and have higher bid offer spreads.

Investment in illiquid securities results in higher current yield for the portfolio. Liquidity risk is a characteristic of the Indian fixed income market today. In addition, money market securities, while fairly liquid, lack a well-developed secondary market, which may restrict the selling ability of the Scheme and may lead to the Scheme incurring losses till the security is finally sold.

**Reinvestment risk:**

This is associated with the fact that the intermediate cash flows (coupons, prepayment of principal in case of securitised transactions or principal payment in case a security gets called or repurchased) may not be reinvested at the same yield as assumed in the original calculations. In case of securitised debt, changes in market interest rates and prepayments may not change the absolute amount of receivables for the investors but may have an impact on the re-investment of the periodic cash flows that an investor receives on securitised papers.

**Settlement risk:**

Different segments of Indian financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances. Delays or other problems in settlement of transactions could result in temporary periods when the assets of the Scheme are uninvested and no return is earned thereon. The inability of the Scheme to make intended securities purchases, due to settlement problems, could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell securities held in the Schemes portfolio, due to the absence of a well developed and liquid secondary market for debt securities, may result at times in potential losses to the Scheme in the event of a subsequent decline in the value of securities held in the Scheme's portfolio.

**Market risk:**

Lower rated or unrated securities are more likely to react to developments affecting the market and the credit risk than the highly rated securities which react primarily to movements in the general level of interest rates. Lower rated or unrated securities also tend to be more sensitive to economic conditions than higher rated securities.

**Pre-payment risk:**

This is most relevant to securitized debt and is further also highlighted in risk factors associated with investing in securitized debt in the following pages. In the event of pre-payment of the underlying debt, investors may be exposed to changes in tenor and yield.

- In addition to the factors that affect the values of securities, the NAV of Units of the Scheme will fluctuate with the movement in the broader fixed income, money market and derivatives market and may be influenced by factors influencing such markets in general including but not limited to economic conditions, changes in interest rates, price and volume volatility in the bond markets, changes in taxation, currency exchange rates, foreign investments, political, economic or other developments and closure of the stock exchanges.
- Investments in different types of securities are subject to different levels and kinds of risk. Accordingly, the Schemes' risk may increase or decrease depending upon its investment pattern. For example, investments in corporate bonds carry a higher level of risk than investments in Government securities. Further, even among corporate bonds, bonds which have a higher rating are comparatively less risky than bonds which have a lower rating

**Applicable to ALL Schemes except PineBridge World Gold Fund:**

**Risk Associated with Derivatives**

Derivatives product are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. Some other risks associated with Derivatives include but are not limited to the risk of mispricing or improper valuation; the inability of derivatives to correlate perfectly with underlying assets, rates and indices; credit risk; liquidity risk.

**Risk Associated with Short Selling and Securities lending**

The risks in lending portfolio securities, as with other extensions of credit, consist of the failure of another party, in this case the approved intermediary, to comply with the terms of agreement entered into between the lender of securities i.e. the Scheme and the approved intermediary. Such failure to comply can result in the possible loss of rights in the collateral put up by the borrower of the securities, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The Mutual Fund may not be able to sell such lent securities and this can lead to temporary illiquidity.

**Applicable to PineBridge India Liquid Fund, PineBridge India Total Return Bond Fund, PineBridge India Short Term Fund, PineBridge Quarterly Interval Fund – Series I & PineBridge Quarterly Interval Fund – Series II**

**Risk associated with investing in securitised debt:**

Some Risk associated with securitised debt are Credit Risk; Limited Liquidity & Price Risk; Limited Recourse, Delinquency and Credit Risk; Risks due to possible prepayments and charge-offs and Risk of co-mingling of funds.

**Applicable only to PineBridge Quarterly Interval Fund – Series I & PineBridge Quarterly Interval Fund – Series II**

**Risk Associated with Listing of units:**

Although units are listed on Exchanges, there can be no assurance that an active secondary market will develop or be maintained. Trading on Exchanges may be halted because of market conditions or if the market authorities or SEBI are of the view that trading in the units is not advisable. There can be no assurance that the requirements of the market necessary to maintain the listing of the units will continue to be met or will remain unchanged. The AMC and the Trustees will not be liable for delay in trading of units on Exchanges due to the occurrence of any event beyond their control. The AMC may also decide to delist the units from a particular exchange, provided that the units are listed at least on one Exchange. Currently, units of PineBridge Quarterly Interval Fund – Series I / Series II are listed on NSE.

**RISK MITIGATION MEASURES**

For Equity Schemes (Pinebridge India Equity Fund And Pinebridge Infrastructure & Economic Reform Fund)

PineBridge Investments equity team follows a comprehensive equity investment process. Our core investment philosophy is pure bottom up stock selection which means that we spend considerable time in understanding the company's business prospects, evaluate its management and determine a fair valuation before buying it.

We recognize that financial metrics to evaluate opportunities will vary based on the stage of the company's development. Therefore, as a process, we categorize our investment universe into four categories of stocks based on the company's development stage (economic growth cycles), test the company's valuations, do the consensus check with the sell side analysts and finally rank the company. In short this process ensures a comprehensive review of all the companies in the investment universe. It reduces ad-hoc decision making and ensures

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discipline as no company can be bought in the portfolio if not researched and covered in our investment universe.

There are systems and procedures in place to monitor Regulatory and internal limits on a regular basis.

Further, the internal Investment committee meets regularly to provide overall guidance for the investment management process. The committee periodically reviews investment strategies & philosophy and adherence to scheme objectives and restrictions.

For Debt Schemes (PineBridge India Liquid Fund, PineBridge India Total Return Bond Fund, PineBridge India Short Term Fund, PineBridge Quarterly Interval Fund – Series I & PineBridge Quarterly Interval Fund – Series II)

The investment team of the AMC takes an active view on the key drivers affecting interest rate scenario. Investment views / decisions will be based on an analysis of macroeconomic and other relevant factors to estimate the direction of interest rates and level of liquidity, in an attempt to optimize the risk adjusted returns on the portfolio. Portfolios are rebalanced on a dynamic basis as per the objectives of the relevant scheme to optimize returns whilst managing risks at all points in time.

The credit quality of the portfolio is maintained and monitored using in-house research capabilities as well as inputs from external sources such as independent credit rating agencies.

Whilst all risks such as interest rate risk, liquidity risk, default risk, reinvestment risk etc cannot be eliminated, they may be minimized through diversification, research and effective use of hedging techniques. The AMC would incorporate adequate safeguards to minimize above mentioned risks in the portfolio construction and management process.

To achieve this, an internal Investment Committee meets regularly to provide overall guidance for the investment management process. The committee periodically reviews investment strategies & philosophy and adherence to scheme objectives and restrictions.

Applicable only for PineBridge India Liquid Fund, PineBridge India Total Return Bond Fund, PineBridge India Short Term Fund: The liquidity is normally maintained by investing in securities that would result in staggering the maturity profile of the portfolio, and by maintaining appropriate levels of cash from time to time. In addition, a significant portion of the portfolio investments are made in debt instruments that have reasonable secondary market activity.

For Fund of Funds Scheme (PineBridge World Gold Fund)

The scheme predominantly invests in units of Falcon Gold Equity Fund, a mutual fund domiciled in Zurich. The portfolio of the underlying fund is diversified across stocks, countries and thus across number of currencies. This diversification ensures liquidity, minimizes concentration risk in the portfolio and reduces currency risk to some extent.

#### DIRECT PLAN

Pursuant to SEBI Circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, PineBridge Mutual Fund has introduced a separate plan for direct investments [i.e. investments not routed through an AMFI Registration Number (ARN) Holder ("Distributor")] with effect from January 1, 2013.

Direct Plan is a plan where investors shall purchase / subscribe to Units in a scheme with the Mutual Fund through direct investments.

All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Schemes are eligible to subscribe under Direct Plan of the respective schemes. Investments under Direct Plan can be made through various modes offered by the Mutual Fund for investing directly with the Mutual Fund {except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors}.

#### Existing Investments:

Investors wishing to transfer their accumulated unit balance held under Standard Plan (through lumpsum / systematic investments made with or without Distributor code) to Direct Plan will have to switch / redeem their investments and apply under Direct Plan.

#### How to apply:

Investors subscribing under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form e.g. "**Scheme Name - Direct Plan**". Investors should also indicate "Direct" in the ARN column of the application form.

#### Investments through systematic routes:

- In case of Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP), etc. registered prior to January 1, 2013 without any distributor code under the Standard Plan, installments falling on or after January 1, 2013 will automatically be processed under the Direct Plan.
- Investors who had registered for SIP prior to January 1, 2013 with distributor code and wish to invest their future installments into the Direct Plan shall make a written request to the Mutual Fund in this behalf. The Mutual Fund will take at least 15 days to process such requests. Intervening installments will continue in the Standard Plan. In case of (a) and (b) above, the terms and conditions of the existing registered enrolment shall continue to apply.
- In case of STP (registered with Distributor Code) which was registered under the Standard Plan prior January 1, 2013, the future installments shall continue under the Standard Plan. In case such investors wish to invest under the Direct Plan through this facility, they would have to cancel their existing enrolment and **register afresh for the same**.

#### Redemption requests:

Where units under a scheme are held under both Standard and Direct Plans and the redemption / switch request pertains to the Direct Plan, the same must clearly be mentioned on the request (along with the folio number), failing which the request would be processed from the Standard Plan. However, where units under the requested option are held only under one plan, the request would be processed under such plan.

#### APPLICABLE NAV FOR CONTINUOUS REPURCHASE AND SALE

PineBridge India Liquid Fund	
For Purchase	For Redemption
<p>The closing NAV of the day immediately preceding the day of acceptance of application will be applicable if:</p> <ol style="list-style-type: none"> <li>Purchase application is accepted before the cut-off time i.e. 2:00 p.m. and</li> <li>Funds for the entire amount of Purchase applications are credited to the designated bank account(s) of the Mutual Fund before the cut-off time i.e. 2:00 p.m. without availing any credit facility whether intra-day or otherwise.</li> </ol> <p>The closing NAV of the day immediately preceding the next business day will be applicable if:</p> <ol style="list-style-type: none"> <li>Purchase application is received after the cut-off time i.e. 2:00 p.m. <b>and / or</b></li> <li>Funds are credited to designated bank account(s) of the Mutual Fund after the cut-off time i.e. 2:00 pm without availing any credit facility whether intra-day or otherwise.</li> </ol> <p>The closing NAV of the day immediately preceding the day on which the funds are available for utilization by the Scheme will be applicable if, the Purchase application is received at a Designated Collection Centre on a Business Day, irrespective of the time of acceptance of applications, where the funds are not available for utilization on the day of receipt of application.</p>	<p>Valid applications received</p> <ol style="list-style-type: none"> <li>Upto 3:00 p.m. (cut-off time) on a Business Day, the closing NAV of the day immediately preceding the next Business Day will be applicable.</li> <li>After 3:00 p.m. (cut-off time) on Business Day, the closing NAV of the next Business Day will be applicable.</li> </ol>

PineBridge India Equity Fund, PineBridge Infrastructure & Economic Reform Fund, PineBridge World Gold Fund, PineBridge India Total Return Bond Fund and PineBridge India Short Term Fund	
For Purchase	For Redemption
<p><b>Investments more than or equal to ₹ 2 lakhs</b></p> <p>The closing NAV of the Business Day will be applicable if:</p> <ol style="list-style-type: none"> <li>Purchase application is accepted before the cut-off time i.e. 3:00 p.m. and</li> <li>Funds for the entire amount of Purchase applications are credited to the designated bank account(s) of the Mutual Fund before the cut-off time i.e. 3:00 p.m. without availing any credit facility whether intra-day or otherwise.</li> </ol> <p>The closing NAV of the next Business Day will be applicable if:</p> <ol style="list-style-type: none"> <li>Purchase application is received after the cut-off time i.e. 3:00 p.m. <b>and / or</b></li> <li>Funds are credited to designated bank account(s) of the Mutual Fund after the cut-off time i.e. 3:00 p.m. without availing any credit facility whether intra-day or otherwise.</li> </ol> <p><b>Investments less than ₹ 2 lakhs</b></p> <p>The closing NAV of the Business Day shall be applicable if Purchase application is accepted before the cut-off time i.e. 3:00 p.m.</p> <p>The closing NAV of next business day shall be applicable if the Purchase application is received after the cut-off time i.e. 3:00 p.m.</p>	<p>Valid applications received</p> <ol style="list-style-type: none"> <li>Upto 3:00 p.m. (cut-off time) on a Business Day, the NAV of such Business Day</li> <li>After 3:00 p.m. (cut-off time) on Business Day, the NAV of following Business Day</li> </ol>

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PineBridge Quarterly Interval Fund – Series I & II	
For Purchase	For Redemption
<p><b>Investments more than or equal to ₹ 2 lakhs</b></p> <p>The closing NAV of the Specified Transaction Period (i.e. 95th or 96th day) will be applicable if:</p> <ol style="list-style-type: none"> <li>Purchase application is accepted before the cut-off time i.e. 3:00 p.m. during the STP period and</li> <li>Funds for the entire amount of Purchase application are credited to the designated bank account(s) of the Mutual Fund before the cut-off time i.e. 3:00 p.m. without availing any credit facility whether intra-day or otherwise.</li> </ol> <p>The closing NAV of the next business day shall be applicable provided such day has been declared as a Specified Transaction Period (applicable only for applications received on the 95th day) if :</p> <ol style="list-style-type: none"> <li>Purchase application is received after the cut-off time i.e. 3:00 p.m. on the 95th day of the STP and / or</li> <li>Funds are credited to designated bank account(s) of the Mutual Fund after the cut-off time i.e. 3:00 p.m. on the 95th day of the STP without availing any credit facility whether intra-day or otherwise.</li> </ol> <p>Otherwise, the amount shall be refunded.</p> <p><b>Investments less than ₹ 2 lakhs</b></p> <p>The closing NAV of such Business Day shall be applicable if Purchase application is accepted before the cut-off time i.e. 3:00 p.m. as long as it is within the Specified Transaction Period (i.e. 95th and 96th day respectively)</p> <p>The closing NAV of next business day shall be applicable if the Purchase application is received after the cut-off time i.e. 3:00 p.m. as long as it is within the Specified Transaction Period (i.e. 95th and 96th day respectively)</p>	<p>Valid applications received during the STP period:</p> <ol style="list-style-type: none"> <li>Upto 3:00 p.m., NAV of the Specified Transaction Period (i.e. 95th and 96th day).</li> <li>After 3:00 p.m., NAV of the next business day shall be applicable provided such day has been declared as a Specified Transaction Period for the fund (applicable only for applications received on the 95th day).</li> </ol>

#### Switches

Valid applications for 'switch-out' shall be treated as applications for Redemption and valid applications for 'switch-in' shall be treated as applications for Purchase and the provisions of the Cut-off time and the Applicable NAV mentioned above as applicable to Purchase and Redemption shall be applied respectively to the 'switch-in' and 'switch-out' applications.

#### WAIVER OF ENTRY LOAD

Pursuant to SEBI Circular dated June 30, 2009 no entry load will be charged for purchase / additional purchase / switch-in accepted by the Fund with effect from August 01, 2009. Similarly, no entry load will be charged with respect to applications for registrations under systematic investment plans/systematic transfer plans accepted by the Fund with effect from August 01, 2009. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

#### TREATMENT OF EXIT LOAD

Pursuant to SEBI circular dated September 13, 2012, exit load, if any collected by the scheme shall be credited to the scheme(s) net of service tax with effect from October 1, 2012.

#### DESPATCH OF REPURCHASE (REDEMPTION) PAYMENT

Within 10 business days of the receipt of the redemption request at any official point of acceptance of PineBridge Mutual Fund.

Redemption proceeds may be paid by way of EFT/NEFT/RTGS/any other manner in the investor's bank account specified in the Registrar and Transfer Agent's record as per the instructions of the Unit holders.

#### DIVIDEND POLICY

The Trustee may decide to distribute dividend subject to the availability of distributable surplus as calculated in accordance with the Regulations and if such distributable surplus is adequate for distribution in the opinion of the Trustee. The Trustee's decision with regard to availability and adequacy, rate, timing and frequency of distribution shall be final. The dividend will be due to only those Unit Holders whose names appear in the Register of Unit Holders in the Dividend option of the Scheme on the record date which will be announced in advance. Dividend proceeds may be paid by way of dividend warrants/payorders/cheques/EFT/NEFT/RTGS/any other manner in the investor's bank account as updated in the Registrar and Transfer Agent's record as per the instructions of the Unit holders. The AMC shall dispatch to the Unit holders, the dividend warrants/cheques/payorders or remit EFT/NEFT/RTGS within 30 days of the date of declaration of dividend.

Specific to ALL schemes except for PineBridge Quarterly Interval Fund - Series I / Series II: The Unit Holders have the option of receiving the dividend or reinvesting the same. The dividend will be reinvested at the ex-dividend NAV.

Specific to PineBridge Quarterly Interval Fund - Series I / Series II: In case dividend is declared by the Trustees, the record date for the same shall be the first day of the STP period. In case such a day is a non-business day, then dividend shall be declared on the next business day. Dividend re-investment option is not available in these schemes and hence, dividend when declared shall be compulsorily paid out.

For Liquid and Debt schemes/plan/option having frequency of dividend distribution from daily upto monthly, notice to the unitholders shall not be issued.

#### NAME OF THE TRUSTEE COMPANY

PineBridge Investments Trustee Company (India) Private Limited

#### DAILY NET ASSET VALUE (NAV) PUBLICATION

- PineBridge India Liquid Fund:**  
The NAV will be declared on all Calendar Days and will be published in two newspapers.
- PineBridge India Equity Fund, PineBridge Infrastructure & Economic Reform Fund, PineBridge India Total Return Bond Fund, PineBridge India Short Term Fund and PineBridge Quarterly Interval Fund - Series I / Series II:**  
The NAV will be declared on all Business Days and will be published in two newspapers.
- PineBridge World Gold Fund:**  
The NAV for any business day (T day) will be available on the next Business Day (T + 1 day) on or before 5.00 p.m. and will be published in two newspapers.

NAV can also be viewed on [www.pinebridge.in](http://www.pinebridge.in) and [www.amfiindia.com](http://www.amfiindia.com). You can also call us at 1800 200 3444.

#### FOR INVESTOR GRIEVANCES, PLEASE CONTACT:

##### Registrar & Transfer Agent

- CAMS Unit - PineBridge Mutual Fund  
Computer Age Management Services Private Limited  
No. 4, Nehru Nagar West, Kalapatti Main Road, Civil Aerodrome Post, Coimbatore – 641 014  
Email: [enq\\_a@camsonline.com](mailto:enq_a@camsonline.com)

##### Asset Management Company

- Mr. Nilesh Chonkar - (Investor Relations Officer)  
PineBridge Investments Asset Management Company (India) Private Limited  
203, 2<sup>nd</sup> Floor, Peninsula Tower, Peninsula Corporate Park, Ganpatrao Kadam Marg, Lower Parel, Mumbai – 400 013.  
Tel: (022) 4093 0001, Fax: (022) 4093 0210,  
Email: [India.investorcare@pinebridge.com](mailto:India.investorcare@pinebridge.com)

#### UNIT HOLDERS' INFORMATION

**A. Consolidated Account Statement (CAS):** CAS contain details of all the transactions\*\* carried out by the investor across all schemes of all mutual funds during the month. CAS includes the holding at the end of the month including transaction charges paid to the distributor. CAS for each calendar month shall be forwarded on or before 10th of the succeeding month. CAS shall be sent to the unit holders in the first instance by e-mail where available else by post. For the purpose of consolidating data for CAS, PAN will be used to identify unique investors across mutual funds. CAS will be sent to the email id registered with any of the Mutual Funds. In the event the account has more than one registered holder, the first named Unit holder shall receive the CAS/account statement. In case of a specific request received from the Unit holders, the AMC/Fund will provide the account statement to the investors within 5 Business Days from the receipt of such request.

In case of folios where no transaction has taken place during the half year period ended every September and March, CAS shall be sent to all such unit holders by email (where available) / post on or before 10th of the succeeding month. The half yearly CAS will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical.

Due to this regulatory change, AMC shall now cease to send physical fund specific Account Statement to the unitholders after every financial transaction including systematic transactions as was the practice earlier. However, on acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request will be sent to the Unit holders registered e-mail address and/ or mobile number. Investors are requested to register their email ids/mobile number in order to receive immediate confirmation i.e. within 5 business days of transaction processing.

\*\*The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions.

Unit holders who have not provided PAN details to the Mutual Fund, shall not receive CAS since the consolidation with the rest of the Mutual Funds is done based on PAN. Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. Such unit holders shall receive a monthly account statement from the AMC in respect of the transactions carried out in the schemes of the Fund during the month.

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<b>Distributor Care</b>	(City Code) 60000344*	Email: <a href="mailto:india.distributorcare@pinebridge.com">india.distributorcare@pinebridge.com</a>	<b>SMS</b>	TRUST to 56767

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## B. Account Statement:

For those investors who cannot receive CAS due to non availability of PAN for consolidation, an account statement will be sent by electronic mail / ordinary post under following circumstances:

- For transactions during the NFO, each Unit Holder shall receive an account statement stating the number of Units purchased not later than 5 business days from the closure of the NFO period.
- For ongoing financial transactions including SIPs, an account statement shall be issued on a monthly basis on or before 10th day of the succeeding month by way of email to the registered email address where available, else a physical statement will be sent by post.
- In case of folios where no transaction has taken place during the half year period ended every September and March, an account statement shall be sent to all such unit holders by email (where available) / post on or before 10th of the succeeding month.

If the Unit holder so desires, non-transferable unit certificates will be issued within 5 business days of the receipt of request for the certificate.

In case of units held in demat mode, the statement of holding will be sent by the respective Depository Participant. The demat statement given by the Depository Participant (DP) would be deemed as adequate compliance with the requirement of the statement of account as specified in SEBI Circular No. SEBI / IMD / Cir No. 11 / 183204/ 2009 dated November 13, 2009.

Any discrepancy in the CAS / Account Statement / Unit Certificate should be brought to the notice of the Fund/AMC immediately. The processing of the transaction and contents of the CAS / Account Statement / Unit Certificate will be deemed to be correct if no error is reported within 30 days from the date of CAS / Account Statement / Unit Certificate.

## C. Other Communication:

Copies of the annual report of the Scheme as at the end of each financial year (March 31st) or an abridged summary thereof will be mailed to all Unit Holders as soon as possible but not later than 4 months from the closure of the relevant financial year.

The AMC shall disclose the full portfolio (along with ISIN) of the schemes as on the last day of the month on the website of the AMC on or before the tenth day of the succeeding month in a userfriendly and downloadable format (preferably in a spreadsheet).

The AMC will disclose details of the portfolio of the Scheme every 6 months by either sending a complete statement to all the Unit Holders or by publishing such statement, by way of advertisement, in one national English daily newspaper circulating in the whole of India and in a newspaper published in the language of region where the Head Office of the Mutual Fund is situated.

The Fund shall before the expiry of one month from the close of each half year (March 31st and September 30th) host a soft copy of its unaudited financial results on the website of the AMC and that of AMFI and publish an advertisement disclosing the hosting of such financial results on the website. Such advertisement shall be published in one national English daily newspaper circulating in the whole of India and in a newspaper published in the language of region where the Head Office of the Mutual Fund is situated within one month from the end of each half-year.

As an eco-friendly initiative, investors who have provided email IDs would mandatorily receive the Annual Report / other statutory reports through Electronic Communication / Mode only. In case of a specific request received from the Unit holders, the AMC/ Fund will provide the reports / documents to the investors by post.

## D. Dematerialization and Rematerialization:

### Dematerialisation of Units

Unit Holders have an option to hold units in dematerialized mode. To hold units in dematerialized mode, the unit holders need to have a beneficiary account with a Depository Participant of a Depository. For application of units in dematerialized mode, investors are requested to fill in all the details required in the application form under the section titled "Depository Account Details". For units already held, an application for converting units into dematerialized mode has to be made to the AMC / RTA through the respective Depository Participant by filling in "Demat Request Form" (DRF).

### Rematerialisation of Units

Rematerialisation of Units can be carried out in accordance with the provisions of SEBI (Depositories and Participants) Regulations, 1996 as may be amended from time to time. Unit holders are allowed to reconvert the units held in demat mode to physical holding. In order to do this, the unit holder has to make an application through their Depository Participant to the AMC / RTA. Upon conversion of units into physical mode, the AMC will send an account statement to the investor confirming the same.

## TRANSACTION CHARGES

**Transaction charges are applicable on purchase(s) / subscription(s) of ₹ 10,000/- and above and will be deducted from the investment amount where the distributor of the investor has opted to receive them. The details of the same are mentioned below:**

Type of Investor	Transaction Charge(s) (For Purchase / Subscription of ₹10,000/- and above)
First Time Mutual Fund Investor	₹ 150/-
Investor other than First Time Mutual Fund Investor	₹ 100/-

**Transaction charges in case of investments through SIP shall be deducted only if the total commitment (i.e. amount per SIP installment x No. of installments) amounts to ₹ 10,000/- and above. The Transaction Charges shall be deducted in 4 installments.**

**However, transaction charges shall not be deducted for:**

- Purchases/subscriptions for an amount less than ₹ 10,000/-;
- Transaction other than purchases / subscriptions relating to new inflows such as Switch / Systematic Transfer Plan etc.;
- Purchases/subscriptions made directly with the Fund (i.e. not through any distributor/agent) i.e. Direct Investments;
- Transactions carried out through the stock exchange(s)

**With effect from November 01, 2012, distributors have an option to either receive or not receive transaction charges based on product category. Accordingly, transaction charges may be deducted only from investment amounts in products where the respective distributor of the investor has opted to receive transaction charges.**

**The transaction charge shall be deducted by the AMC from the subscription amount and paid to the distributor; and the balance shall be invested. The statement of account will clearly state the amount of gross subscription, transaction charge and net investment and mention the number of units allotted against the net investment.**

## TAX FOR INVESTORS (UNITHOLDER)

Investors are advised to refer to the details in the Statement of Additional Information (SAI) and also independently refer to their tax advisor.

Investor Care	1800-200-3444	Email: india.investorcare@pinebridge.com	Website	www.pinebridge.in
Distributor Care	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	SMS	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.



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<b>Investor Care</b>	1800-200-3444	Email: <a href="mailto:india.investorcare@pinebridge.com">india.investorcare@pinebridge.com</a>	<b>Website</b>	<a href="http://www.pinebridge.in">www.pinebridge.in</a>
<b>Distributor Care</b>	(City Code) 60000344*	Email: <a href="mailto:india.distributorcare@pinebridge.com">india.distributorcare@pinebridge.com</a>	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIIN)	Time Stamp No. (For office use only)
ARN - 2111	Kindly affix your Sub Broker ARN stamp		E-029541	

I / We hereby confirm that the EUIIN box has been intentionally left blank by me / us as this is an "execution-only" transaction without any interaction or advice by the employee / relationship manager / sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee / relationship manager / sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

**Transaction Charges (Please tick as applicable. Refer to KIM)**

☐ I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS  
(₹ 150/- will be deducted as transaction charge on Subscription of ₹10,000/- and more)

☐ I AM AN EXISTING INVESTOR IN MUTUAL FUNDS  
(₹ 100/- will be deducted as transaction charge per Subscription of ₹10,000/- and more)

**Transaction charges will be applicable to the investors for purchase transaction through Distributor / Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.**

"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor."

**1. For Existing Unitholders (If you have an existing folio no. with KYC, please mention the number here and proceed directly to section 5)**

Folio No.  Unitholder's Name

**2. For New Investors**

First / Sole Applicant  Mr.  Ms.  M/s.

Guardian  Mr.  Ms.  M/s.   
(in case First / Sole Applicant is minor)

Second Applicant  Mr.  Ms.  M/s.

Third Applicant  Mr.  Ms.  M/s.

POA Holder  Mr.  Ms.  M/s.

Date of Birth           (Mandatory for minor) Relationship with Minor ☐ Natural Guardian ☐ Court Appointed Legal Guardian

Applicant	PAN (Enclose self / broker attested PAN Card Proof)	TAX STATUS (Please ✓)	KYC Compliant Proof (Please ✓)	Photo Identification Proof for Micro SIP / Investment (Please ✓)
Sole / First Applicant	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
Second Applicant	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
Third Applicant	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
Guardian	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
POA Holder	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>

**Proof of Date of Birth** (Mandatory in case of minor) ☐ Birth Certificate ☐ School Certificate ☐ Passport ☐ Others

Status of First / Sole Applicant (Please ✓) ☐ Minor through Guardian ☐ HUF ☐ Company ☐ AOP / BOI ☐ Partnership firm ☐ Body Corporate  
☐ Trust ☐ Society ☐ Bank / FI ☐ Proprietorship ☐ PEP (Politically Exposed Person) ☐ Others

**MODE OF HOLDING (Please ✓) ☐ Anyone or Survivor ☐ Joint (Default if not opted for, in case of more than one applicant)**

In case of Non-individual, Unitholder(s) to sign as per the Authorised Signatory List (ASL)

Complete Address for Correspondence

City  State  PIN

Overseas Address (Mandatory in case of NRI / FII Applicants) **Note: Non-Resident Indians residing in the United States of America & Canada cannot invest.**

City  State  Country  Pin/Zip

Tel. (Off./Res) (ISD) (STD)  Mobile\* (ISD) (STD)

Email ID

If Email ID is provided, unitholder shall receive all communication by Email.

\*For receiving SMS alerts.

**3. Depository Account Details (Applicable only if you wish to hold units in demat form, else skip. Please read instruction for more details)**

Depository Name (Please ✓)	<input type="checkbox"/> National Securities Depository Limited (NSDL)	<input type="checkbox"/> Central Depository Services (India) Limited (CDSL)
Depository Participant's Name (DP)	<input type="text"/>	<input type="text"/>
DP ID	<input type="text"/>	<input type="text"/>
Beneficiary Account Number	<input type="text"/>	16 Digit Beneficiary Account Number to be mentioned above

**ACKNOWLEDGEMENT SLIP**

Received from Mr. / Ms. / M/s.  Sr. No.

Scheme /Option

Bank / Branch

Cheque / DD No.  Amount (₹)

Time Stamp No.  
(Office Use Only)

Account No.																Account type (✓ to select)	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR											
Bank Name																																
Branch																City											PIN					
IFSC Code																(11 digit code on your cheque)																
MICR Code (9 digit code on your cheque leaf)																																
Document attached (Any one)	<input type="checkbox"/> Cancelled cheque leaf with Name pre-printed <input type="checkbox"/> Bank Statement <input type="checkbox"/> Pass Book <input type="checkbox"/> Bank Certificate																															
All Payout will be processed through EFT/NEFT/RTGS. In case you wish to receive a Cheque/DD, Please Tick <input type="checkbox"/>																																

Payable at par at all ABC Bank Ltd in India

Date \_\_\_\_\_

Pay \_\_\_\_\_ Or bearer

Rupees \_\_\_\_\_

Rs. \_\_\_\_\_

**ABC Bank Ltd**  
 198, Mahatma Gandhi Road, Mumbai - 400 001

IFSC ABS0000125

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**Scheme Name** \_\_\_\_\_

**Plan\*** ☐ Standard ☐ Direct | **Option\*** ☐ Growth ☐ Bonus ☐ Dividend Re-investment ☐ Dividend Payout ☐ Frequency

☐ **LUMP SUM INVESTMENT** **Payment Mode:** ☐ Cheque ☐ DD ☐ RTGS ☐ NEFT ☐ Funds Transfer

Cheque/DD/RTGS/NEFT No.	Date of Cheque/DD/RTGS/NEFT			D	D	M	M	Y	Y	Y	Y
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Amount Rs. (i)		DD charges Rs. (ii)		Total Amount Rs. (i) + (ii)	
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Bank Name		Branch	
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Bank A/c. No.  **Account Type** (Please ✓) ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR

NRI / FII investors please enclose (✓ as applicable) ☐ Account Debit ☐ Foreign Inward Remittance Certificate ☐ Others

**Documents enclosed to avoid Third Party Payment Rejection, where applicable (Refer to KIM for further details)**

☐ Bank Certificate for DD ☐ Third Party Declarations

☐ **SYSTEMATIC INVESTMENT PLAN (SIP)** ☐ **MICRO SIP**

(I) ☐ **SIP through ECS** (II) ☐ **SIP through Post Dated Cheques**

☐ **Systematic Investment Plan (SIP)** (Through Post Dated Cheques) ☐ **Micro SIP**

Frequency (Please ✓): ☐ Monthly ☐ Quarterly SIP/Micro SIP Date : ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All four dates Installment Amount ₹

Enrolment Period From    /   To    /   Cheque No(s). From  To  No. of Cheques

Drawn on (Bank / Branch Name)	
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(Please fill up the SIP / Micro SIP Auto Debit (ECS) form enclosed in the KIM and submit it together with this Application Form)

☐ I/We wish to nominate the below ☐ \*I/We do not wish to avail of the nomination facility for my investment

	Nominee	Name of Guardian (in case of Minor)	Relationship with Applicant	Signature of Guardian	Percentage of Investment Allocation (%)
<b>Nominee 1</b>					
Address					
<b>Nominee 2</b>					
Address					
<b>Nominee 3</b>					
Address					

**For Demat Unitholders** - Please note that nomination details need not be filled. This will be picked from the Depository Account details mentioned in point no. 3

I/We have read and understood the contents of the SID/SA/KIM of the above Scheme of PineBridge Mutual Fund including the sections on "Who cannot invest" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/ purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We am/ are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise PineBridge Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / PineBridge Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We understand that all plans of respective schemes will have common portfolio. However, the returns under each plan are expected to vary on account of specified expense ratio under the relevant plan. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transactions. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We declare that I/We do not hold PineBridge Mutual Fund responsible for the redemption/dividend credit going to the wrong bank account. I/We declare that the information given in this application form is correct, complete and truly stated.

**EUN:** I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction

**APPLICABLE FOR NRIs:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin, not a resident of US / Canada and that I/We have remitted funds from abroad through approved banking channels or from funds in my / our NRE / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our NRE/FCNR Account.

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

<input type="checkbox"/> Name, Address are correctly mentioned	<input type="checkbox"/> Full scheme name, plan, option is mentioned	<input type="checkbox"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
<input type="checkbox"/> Email ID / Mobile number are mentioned	<input type="checkbox"/> SIP ECS Form enclosed for SIP Investment	<input type="checkbox"/> Additional documents provided in case of specific exceptional Third Party payments
<input type="checkbox"/> PAN / KYC requirements are enclosed	<input type="checkbox"/> Nomination facility opted	<input type="checkbox"/> Company Documents in case of Corporates
<input type="checkbox"/> Complete Bank details provided	<input type="checkbox"/> Form is signed by all applicants	

<b>Investor Care</b>	1800-200-3444	Email: <a href="mailto:india.investorcare@pinebridge.com">india.investorcare@pinebridge.com</a>	<b>Website</b>	<a href="http://www.pinebridge.in">www.pinebridge.in</a>
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<b>Distributor Care</b>	(City Code) 60000344* Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767
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\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I / We hereby confirm that the EUIN box has been intentionally left blank by me / us as this is an "execution-only" transaction without any interaction or advice by the employee / relationship manager / sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee / relationship manager / sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

**Transaction Charges (Please tick as applicable. Refer to KIM)**
☐ I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS

(₹ 150/- will be deducted as transaction charge on Subscription of ₹10,000/- and more)

☐ I AM AN EXISTING INVESTOR IN MUTUAL FUNDS

(₹ 100/- will be deducted as transaction charge per Subscription of ₹10,000/- and more)

Transaction charges will be applicable to the investors for purchase transaction through Distributor / Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.

"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor."

**1. For Existing Unitholders (If you have an existing folio no. with KYC, please mention the number here and proceed directly to section 5)**

Folio No.  Unitholder's Name 
**2. For New Investors**

First / Sole Applicant Mr. Ms. M/s. 

Guardian Mr. Ms. M/s.   
(in case First / Sole Applicant is minor)

Second Applicant Mr. Ms. M/s. 

Third Applicant Mr. Ms. M/s. 

POA Holder Mr. Ms. M/s. 

Date of Birth  (Mandatory for minor) Relationship with Minor ☐ Natural Guardian ☐ Court Appointed Legal Guardian

Applicant	PAN (Enclose self / broker attested PAN Card Proof)	TAX STATUS (Please ✓)	KYC Compliant Proof (Please ✓)	Photo Identification Proof for Micro SIP / Investment (Please ✓)
Sole / First Applicant	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
Second Applicant	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
Third Applicant	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
Guardian	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>
POA Holder	<input type="text"/>	<input type="checkbox"/> Resident <input type="checkbox"/> Non Resident	<input type="checkbox"/>	<input type="checkbox"/>

**Proof of Date of Birth** (Mandatory in case of minor) ☐ Birth Certificate ☐ School Certificate ☐ Passport ☐ Others *Please Specify*

Status of First / Sole Applicant (Please ✓) ☐ Minor through Guardian ☐ HUF ☐ Company ☐ AOP / BOI ☐ Partnership firm ☐ Body Corporate ☐ Trust ☐ Society ☐ Bank / FI ☐ Proprietorship ☐ PEP (Politically Exposed Person) ☐ Others *Please Specify*

**MODE OF HOLDING** (Please ✓) ☐ Anyone or Survivor ☐ Joint (Default if not opted for, in case of more than one applicant)

In case of Non-individual, Unitholder(s) to sign as per the Authorised Signatory List (ASL)

Complete Address for Correspondence

City  State  PIN

Overseas Address (Mandatory in case of NRI / FII Applicants) **Note: Non-Resident Indians residing in the United States of America & Canada cannot invest.**

City  State  Country  Pin/Zip

Tel. (Off./Res) (ISD) (STD)  Mobile\* (ISD) (STD)

Email ID

If Email ID is provided, unitholder shall receive all communication by Email.

\*For receiving SMS alerts.

**3. Depository Account Details (Applicable only if you wish to hold units in demat form, else skip. Please read instruction for more details)**

Depository Name (Please ✓)	<input type="checkbox"/> National Securities Depository Limited (NSDL)	<input type="checkbox"/> Central Depository Services (India) Limited (CDSL)
Depository Participant's Name (DP)		
DP ID	I N <input type="text"/>	O R <input type="text"/>
Beneficiary Account Number	16 Digit Beneficiary Account Number to be mentioned above	

**ACKNOWLEDGEMENT SLIP**

Received from Mr. / Ms. / M/s.  Sr. No.

Scheme /Option

Bank / Branch

Cheque / DD No.  Amount (₹)

Time Stamp No.  
(Office Use Only)

Account No.																Account type (✓ to select)	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR											
Bank Name																																
Branch																City											PIN					
IFSC Code																(11 digit code on your cheque)																
MICR Code (9 digit code on your cheque leaf)																																
Document attached (Any one)	<input type="checkbox"/> Cancelled cheque leaf with Name pre-printed <input type="checkbox"/> Bank Statement <input type="checkbox"/> Pass Book <input type="checkbox"/> Bank Certificate																															
All Payout will be processed through EFT/NEFT/RTGS. In case you wish to receive a Cheque/DD, Please Tick <input type="checkbox"/>																																

Payable at par at all ABC Bank Ltd in India

Date \_\_\_\_\_

Pay \_\_\_\_\_ Or bearer

Rupees \_\_\_\_\_

Rs. \_\_\_\_\_

**ABC Bank Ltd**  
 198, Mahatma Gandhi Road, Mumbai - 400 001

IFSC ABS0000125

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**Scheme Name** \_\_\_\_\_

**Plan\*** ☐ Standard ☐ Direct | **Option\*** ☐ Growth ☐ Bonus ☐ Dividend Re-investment ☐ Dividend Payout ☐ Frequency

☐ **LUMPSUM INVESTMENT** **Payment Mode:** ☐ Cheque ☐ DD ☐ RTGS ☐ NEFT ☐ Funds Transfer

Cheque/DD/RTGS/NEFT No.	Date of Cheque/DD/RTGS/NEFT			D	D	M	M	Y	Y	Y	Y
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Amount Rs. (i)	DD charges Rs. (ii)	Total Amount Rs. (i) + (ii)
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Bank Name		Branch	
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Bank A/c. No.  **Account Type** (Please ✓) ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR

NRI / FII investors please enclose (✓ as applicable) ☐ Account Debit ☐ Foreign Inward Remittance Certificate ☐ Others

**Documents enclosed to avoid Third Party Payment Rejection, where applicable (Refer to KIM for further details)**

☐ Bank Certificate for DD ☐ Third Party Declarations

☐ **SYSTEMATIC INVESTMENT PLAN (SIP)** ☐ **MICRO SIP**

(I) ☐ **SIP through ECS** (II) ☐ **SIP through Post Dated Cheques**

☐ **Systematic Investment Plan (SIP)** (Through Post Dated Cheques) ☐ **Micro SIP**

Frequency (Please ✓): ☐ Monthly ☐ Quarterly SIP/Micro SIP Date : ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All four dates Installment Amount ₹

Enrolment Period From	M	M	/	Y	Y	To	M	M	/	Y	Y	Cheque No(s). From		To		No. of Cheques	
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Drawn on (Bank / Branch Name)	
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(Please fill up the SIP / Micro SIP Auto Debit (ECS) form enclosed in the KIM and submit it together with this Application Form)

☐ I/We wish to nominate the below ☐ \*I/We do not wish to avail of the nomination facility for my investment

	Nominee	Name of Guardian (in case of Minor)	Relationship with Applicant	Signature of Guardian	Percentage of Investment Allocation (%)
<b>Nominee 1</b>					
Address					
<b>Nominee 2</b>					
Address					
<b>Nominee 3</b>					
Address					

**For Demat Unitholders** - Please note that nomination details need not be filled. This will be picked from the Depository Account details mentioned in point no. 3

I/We have read and understood the contents of the SID/SA/KIM of the above Scheme of PineBridge Mutual Fund including the sections on "Who cannot invest" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/ purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We am/ are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise PineBridge Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / PineBridge Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We understand that all plans of respective schemes will have common portfolio. However, the returns under each plan are expected to vary on account of specified expense ratio under the relevant plan. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transactions. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We declare that I/We do not hold PineBridge Mutual Fund responsible for the redemption/dividend credit going to the wrong bank account. I/We declare that the information given in this application form is correct, complete and truly stated.

**EUN:** I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction

**APPLICABLE FOR NRIs:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin, not a resident of US / Canada and that I/We have remitted funds from abroad through approved banking channels or from funds in my / our NRE / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our NRE/FCNR Account.

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

<input type="checkbox"/> Name, Address are correctly mentioned	<input type="checkbox"/> Full scheme name, plan, option is mentioned	<input type="checkbox"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
<input type="checkbox"/> Email ID / Mobile number are mentioned	<input type="checkbox"/> SIP ECS Form enclosed for SIP Investment	<input type="checkbox"/> Additional documents provided in case of specific exceptional Third Party payments
<input type="checkbox"/> PAN / KYC requirements are enclosed	<input type="checkbox"/> Nomination facility opted	<input type="checkbox"/> Company Documents in case of Corporates
<input type="checkbox"/> Complete Bank details provided	<input type="checkbox"/> Form is signed by all applicants	

<b>Investor Care</b>	1800-200-3444	Email: <a href="mailto:india.investorcare@pinebridge.com">india.investorcare@pinebridge.com</a>	<b>Website</b>	<a href="http://www.pinebridge.in">www.pinebridge.in</a>
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<b>Distributor Care</b>	(City Code) 60000344* Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767
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\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.



## INSTRUCTIONS FOR COMPLETING THE COMMON APPLICATION FORM

Please read the SID and the Key Information Memorandum carefully before filling up the application form. Investors are deemed to have read and accepted the terms subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification.

### General instructions

- Please complete the form legibly
- in black ink or any dark coloured ink,
- in the English language,
- in BLOCK CAPITALS

Please fill in the fields marked as 'MANDATORY' to prevent rejection of your Application Form.

Please refer to Instructions in detail to ensure that the necessary information and attachments are made available. This will help to avoid processing delays and / or rejection of your Application.

As a safety measure against fraudulent instructions, investors are advised to countersign any cancellation/corrections.

In order to protect the investors' interests and assist in tackling the problem of mis-selling associated with advisory transactions, investors are advised to mention the AMFI Identity Number of the Distributor's representative (employee / relationship manager / sales person) interacting with him/her.

**Note on EUIN:** Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

### APPLICANT INFORMATION

- Existing Unitholders:** Please fill Section I ("For Existing Unitholders") and go directly to Section 5 ("Type of Investment") of the Application Form.
- Applications for Individuals:** Please write your name in the sequence of First Name, Middle Name and Last Name. Please do not abbreviate any name.
- Applications for Non-Individuals:** Companies, Trusts, Partnership firms, Societies or any other association should write the full name. Sole-proprietorship concerns should apply in the name of the sole-proprietor.
- Please fill in your date of birth as this may be required to establish your identity in your future communication with us.
- Non-Resident Indians residing in the United States of America and Canada cannot invest in any of the Schemes of PineBridge Mutual Fund.
- Overseas address is mandatory for NRI/FII Applicants. In absence of the overseas address, the application may be rejected.
- Know Your Customer (KYC):** KYC is mandatory for ALL investments irrespective of the amount. (for details on KYC refer to the institutions appearing in the following pages)
- In case of KYC Compliant investors the Registrar and Transfer Agent (RTA) will capture the Investor address (both Indian and foreign), nationality, occupation, income details, date of birth and document provided as proof of identity from CVL data. This information will not be taken from the application form. These fields cannot be modified by RTA once the unitholder becomes KYC compliant.
- In case of Micro SIP/Investments [Investments in mutual fund schemes (including through SIPs) upto ₹ 50,000/- per year per investor i.e. aggregate of installments (SIP plus lumpsum investments) in a rolling 12 month period or in a financial year shall be referred to as 'Micro SIP / Investments'] please provide photo identification documents for all holders as listed below:
  - Voter Identity Card, (ii) Driving License, (iii) Government / Defense identification card, (iv) Passport, (v) Photo Ration Card, (vi) Photo Debit Card, (vii) Employee ID cards issued by companies registered with Registrar of Companies, (viii) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, (ix) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, (x) Senior Citizen / Freedom Fighter ID card issued by Government, (xi) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, (xii) Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by Central Recordkeeping Agency (NSDL), (xiii) Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFO

The Photo Identification document has to be current and valid and also either self attested or attested by an ARN holder.

The Photo Identification documents are in lieu of PAN. However, investors may choose to provide self-attested / attested by broker PAN card copy as a proof.

Please note that all the subsequent SIP Installments may get rejected if the Investor does not meet the above requirements.

### EMAIL COMMUNICATION

Applicants having Email address shall receive Email communication from us in lieu of printed communication. This will also help us resolve your queries more promptly. The email statement is password protected considering the investors interest. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

### BANK ACCOUNT DETAILS

Applicants should provide the name of the bank, branch address, account type and account no. of the Sole/First Applicant. As per SEBI guidelines, **it is mandatory for investors to mention their bank account details in the Application Form.**

Please also provide the following details:

- The 9-digit MICR (Magnetic Ink Character Recognition) number appearing to the right of the cheque number on the bottom white strip of a cheque leaf.
- The 11 digit IFSC (Indian Financial System Code) that is being given by some of the banks on the cheques, if not available, you could check with your local bank branch.

The above information will help us in the future for secure transfer of your redemption and dividend payouts via the various electronic mode of transfers that are available in the banking system.

We are currently offering Direct Credit facility with the following Banks: AXIS Bank, Citibank N.A., Deutsche Bank AG, HDFC Bank Ltd., HSBC, ICICI Bank Ltd., IDBI Ltd., Kotak Mahindra Bank, Standard Chartered Bank and The Royal Bank of Scotland NV. Investors having Bank Accounts with any of the above mentioned Banks will receive Redemption & Dividend proceeds via Direct Credit to their respective bank accounts.

### Multiple Bank Account Registrations:

In compliance with AMFI Best Practice Guidelines Circular No.17/2010-11 dated October 22, 2010, PineBridge Mutual Fund offers its investors the facility to register multiple bank accounts in their folios to receive redemption / dividend proceeds (Form enclosed).

Salient features of the multiple Bank Account Registration facility are as below:

- Individual and Hindu Undivided Family (HUF) investors will be allowed to register 5 bank accounts and non-individual investors will be allowed to register up to 10 bank accounts
- Multiple Bank Registration Form will allow investors to do the following:
  - Registering Multiple Bank Accounts
  - Registering Default Bank Account.
  - Deleting of Registered Bank Account

The unit holder can choose any one of the registered bank accounts as default bank account. However, in case a unit holder does not specify the default bank account, the Fund reserves the right to designate any of the registered bank accounts as default bank account. Unit holders may also note that the registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription.

For the registration of the bank accounts, original of any one of the following documents or originals should be produced for verification at AMC branch or copy should be attested by the Bank:

- A cancelled original cheque leaf of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque
- Copy of bank statement
- Bank passbook with current entries not older than 3 months which contain the details of the account such as name and address of the customer, bank account number, bank branch and address, MICR and IFSC code of the branch
- Original bank letter issued by the bank on the letterhead confirming the bank account holder with the account details, duly signed and stamped by the Branch Manager

The AMC may insist for proof of old bank account or proof of identity of the investor, while effecting a change of bank account.

In case the names are not pre-printed on the cheque, the customer can submit a certificate from the bank or the bank account statement or a copy of the bank pass book.

Further, AMC may insist for proof of investment such as copy of acknowledgement of investment, debit entry in pass book, counterfoil of the dividend warrant/payorder or Statement of Account (issue date more than 2 years old)\*/ Membership Advice/ certificate from where the investment has been converted / merged to the present scheme, if applicable. \*Account statement issued on current date shall not be treated as investment proof.

The above documents will also be required for change in bank account mandate submitted by the investor. In case such bank account is already closed, a duly signed and stamped original letter from such bank on the letter head of bank, confirming the closure of said account.

### Discontinuation of Change of Bank Account Mandate along with redemption/dividend proceeds facility.

In compliance with AMFI Best Practice Guidelines Circular No.17/2010-11 dated October 22, 2010, consequent to introduction "Multiple Bank Accounts Facility," the existing facility of redemption/dividend proceeds with change of bank mandate is discontinued by the Fund w.e.f. November 15, 2010. New bank accounts can only be registered using the designated "Multiple Bank Account Registration Form". Further please note the following important points in this regard:

<b>Investor Care</b>	1800-200-3444	Email: india.investorcare@pinebridge.com	<b>Website</b>	www.pinebridge.in
<b>Distributor Care</b>	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

- (i) Proceeds of any redemption/dividend will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing
- (ii) Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption/dividend payment request for receiving redemption/dividend proceeds. If no registered bank account is mentioned, default bank account will be used
- (iii) If unit holder(s) provide a new and unregistered bank mandate or change of bank mandate request with a specific redemption/dividend payment request (with or without necessary supporting documents) such bank account may not be considered for payment of redemption/dividend proceeds, or the Fund may withhold the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

Valid change of bank mandate requests with supporting documents will be processed within ten business days of necessary documents reaching the office of RTA and any financial transaction request received in the interim will be carried based on previous details only. Investors should note that the entire activity of verification of cooling period cases and release of redemption payment shall be carried out within the period of 10 working days from the date of redemption.

**Safe mode of writing cheque:** As a best practice, it is recommended to investors that the subscription / purchase payment instruments such as cheque(s) / demand drafts/ pay orders be drawn in favor of the scheme name followed by name of the first holder or his PAN No. or existing Folio No.

Example; "Scheme Name – First Holder's Name" or "Scheme Name – First Holder's PAN No." or "Scheme Name – First Holder's Folio No."

**The investors should cross their cheques as "Account Payee Only".**

#### INVESTMENT & PAYMENT DETAILS

- a) Please specify whether the source of payment is from First / Sole Holder's Bank Account or Third Party Bank Account.
- b) Returned cheques may not be presented again for collection and the accompanying application may be rejected.
- c) The following mode of payments is not valid and applications accompanied by such payments will be rejected:
  - i) Outstation cheques
  - ii) Cash / Money Order / Postal Order
  - iii) Post-dated cheques
  - iv) Multiple cheques for investments in one scheme or single cheque for investments in multiple schemes.
  - v) Multiple application with a single consolidate cheque
- d) If you are from a city not serviced by an Investor Service Centre, you may submit a Demand Draft payable at the place where you will submit the form.
- e) Acceptance of Demand Draft (DD) issued from Investor's Bank Account for subscription of units:

Investors may purchase DDs from his bank account by submitting any one of the following proofs along with the application form and other relevant documents:

- A proof of debit to the investor's bank account in the form of a bank manager's certificate with details of account holder's name, bank account number and PAN as per bank records, if available; or
- A copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available; or
- A copy of the passbook/bank statement evidencing the debit for issuance of a DD

- f) Acceptance of Demand Draft (DD) issued by the Bank against Cash:

Investors are hereby intimated that while procuring a DD against Cash, following documents shall be mandatory:

- Banker's certificate for issuance of a DD against cash, stating the investor's name, investor's bank account number and his PAN as per bank record, if available
- However, it must be ensured that bank account number of the investor mentioned in point nos. e & f above is the same as the one of the registered bank account mandate(s) with the fund or the bank details mentioned in the application form.

- g) Following default Plan/options will be applied in case of no information, ambiguity or discrepancy in the scheme details of the application form.

#### Default Plan:

- In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.
- In case where application is received for Standard Plan without Distributor code or "Direct" is mentioned in the ARN Column, the application will be processed under Direct Plan.

#### Default Options / Default Sub-Option (for Dividend Option):

- For PineBridge India Equity Fund and PineBridge Infrastructure & Economic Reform Fund:

- Option - Growth option,
- Sub-Option - Dividend Reinvestment
- For PineBridge India Liquid Fund and PineBridge India Total Return Bond Fund:
  - Option - Growth,
  - Sub-Option - Dividend Reinvestment with Monthly frequency.
- PineBridge India Short Term Fund:
  - Option - Growth
  - Sub-Option - Dividend Reinvestment with Monthly frequency.
- PineBridge World Gold Fund:
  - Option - Growth option,
  - Sub-Option - Dividend Reinvestment.
- PineBridge Quarterly Interval Fund - Series I / Series II:
  - Option - Growth
  - Sub-Option - Dividend Payout

#### Restriction on Acceptance of Third Party Payments for all subscriptions

Pursuant to AMFI Best Practice Guidelines Circular No. 135/BP/16/10 - 11 dated August 16, 2010, investment / subscription made through Third Party Payment(s)\* are not being accepted with effect from November 15, 2010.

- i) **PineBridge Mutual Fund shall not accept subscription applications accompanied with "Third Party payments".** Exceptions are allowed for the following cases subject to submission of requisite documentation / declarations:

- o Payment by Parents/Grand-Parents/related persons\*\* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- (each regular purchase or per SIP installment). However the restriction of ₹ 50,000/- as mentioned above, will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in the folio.
- o Payment by Employer on behalf of employee under Systematic Investment Plans or lump sum / one-time subscription through Payroll deductions.
- o Custodian on behalf of an FII or a client.

\*When a payment is from a bank account other than that of the beneficiary investor, the same is referred to as a "Third Party payment". It is further clarified that in case of mutual fund subscriptions, the first unit holder is considered as the beneficiary investor, even if there are joint unit holders. In case of payments from a bank account jointly held, the first holder of the mutual fund subscription has to be one of the joint holders of the bank account from which the payment is made.

\*\*"Related Person" means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.

- ii) Investors submitting their applications through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units may be rejected / not processed / refunded:

- (a) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party. In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC Acknowledgement Letter to the application form.
- (b) Submission of a separate, complete and valid **'Third Party Payment Declaration Form'** from the investors (guardian in case of minor) and the person making the payment i.e. third party. The said Declaration Form shall, *inter-alia*, contain the details of the bank account from which the payment is made and the relationship with the investor(s). Please contact the nearest Investor Service Centre (ISC) of PineBridge Mutual Fund or visit our website [www.pinebridge.in](http://www.pinebridge.in) for the said Declaration Form.

For more details and forms, please refer to our website: [www.pinebridge.in](http://www.pinebridge.in)

#### Permanent Account Number (PAN) DETAILS

PAN is mandatory for all Investments. Investors to provide copy of PAN card which is self-attested / attested by broker along with the application form, irrespective of investment amount.

Micro SIP/ Investments investors to provide any one of the photo identification document (list available in the form) in lieu of the PAN card.

#### PREVENTION OF MONEY LAUNDERING

In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under and the guidelines/ circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds are required to formulate and implement a Client Identification Programme, and to verify and maintain the record of identity and address(es) of investors.

The AMC has tied up with various KRAs who act as record keeping agencies and share the documents with all the SEBI registered intermediaries.

As a token of having verified the identity and address and for efficient retrieval of records, the Central Agency will issue a KYC compliance letter to each investor who submits an application and the prescribed documents to the Central Agency.

KYC formalities under the PMLA and the related guidelines issued by SEBI must be completed by all investors intending to invest in units of mutual funds. Please refer to the paragraph titled "How to Apply" in the SID for the process to complete KYC formalities.

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<b>Distributor Care</b>	(City Code) 60000344*	Email: <a href="mailto:india.distributorcare@pinebridge.com">india.distributorcare@pinebridge.com</a>	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

On performing the due diligence, if the AMC has reasons to believe that there is any failure on the part of the investor to provide required documentation, information, etc., the AMC shall have absolute discretion to freeze the folios / accounts of the investor(s), reject any application(s) for allotment of Units and / or effect mandatory redemption of investments of the investor(s) at the applicable NAV subject to payment of applicable exit load.

The AMC shall also undertake scrutiny of all transactions of investors. If the AMC has any reason to believe that any transaction is suspicious in nature as regards money laundering, the AMC shall have absolute discretion to report such transactions as suspicious to FIU - IND and / or to any regulatory authority. The AMC is also obliged to act in a manner as directed by such an authority. This may result in providing all such additional information as required by the authority and could also include freezing the folios of the investor(s), and effect mandatory redemption of investments of the investor(s) at the applicable NAV subject to payment of applicable exit load.

#### KNOW YOUR CUSTOMER (KYC)

Pursuant to the SEBI KYC Registration Agency (KRA) Regulations, 2011, with effect from January 01, 2012, all investors are required to follow the new KYC compliance procedure as mentioned below while making any investments with PineBridge Mutual Fund ("the Fund"):

- Fill up and sign the KYC application form (for individual investors or non-individual investors as appropriate) available on the Fund's website
- At the time of transacting with the Fund, submit, **in person**, the completed KYC application form along with all the necessary documents as mentioned in the KYC application form with any of the offices of the Registrar and Transfer Agent of the Fund, ISCs of the Fund and distributors (qualified as per note below); and
- Obtain a temporary acknowledgement for submission of all the documents and completion of "In-Person Verification" ("IPV");

*Note : As per the SEBI circular MIRS/D/Cir-26/2011 dated December 23, 2011, it is mandatory for SEBI registered intermediaries to carry out IPV of any investor dealing with a SEBI registered intermediary. On behalf of a mutual fund, the Asset Management Companies, its authorized Registrar and Transfer Agents and distributors who comply with the certification process of National Institute of Securities Market or Association of Mutual Funds in India and have undergone the process of "Know Your Distributors" are authorised to carry out the IPV. Unless the IPV process is completed, the investor will not be considered as KYC compliant under the new KYC compliance procedure and hence will not be permitted to make any investment in the Fund;*

*For investors proposing to invest with the Fund directly (i.e. without being routed through any distributor), IPV done by a scheduled commercial bank may also be relied upon by the Fund.*

Once all the documents are verified by a "KYC Registration Agency" (KRA), they will send the investor a letter within 10 working days from the date of receipt of necessary documents by them from the Fund or its Registrar and Transfer Agent informing the investor either about compliance by the investor of the new KYC compliance procedure ("confirmation letter") or any deficiency in submission of details or documents. On the basis of the temporary acknowledgement or the confirmation letter the investor would be eligible to deal with any of the SEBI registered intermediaries as mentioned in the above mentioned SEBI circulars. Mutual fund investors, who have completed their KYC requirement for mutual funds through CDSL Ventures Ltd. ("CVLMF") on or before December 31, 2011, are hereby informed that their existing KYC details have been uploaded by CVLMF in the KYC Registration Agency "KRA" system ("CVL-KRA"). All such investors are required to submit "Additional Information"\* and complete the In Person Verification ("IPV") process, even though their current status as per the CVL-KRA reflects as "MF-VERIFIED by CVLMF".

From December 1, 2012, any first time investor in the schemes of PineBridge Mutual Fund will be required to fulfill the above requirements. Existing investors of PineBridge Mutual Fund may continue to invest with us (additional purchases, etc) if their existing KYC status as available in the CVL-KRA reflects as "MF-VERIFIED by CVLMF". However, all existing investors are requested to submit the Additional Information and complete the IPV process in case the same has not been done yet.

\*Additional Information is the missing / not available KYC information (minimum standard KYC information) as prescribed by SEBI.

In case of Individuals, the Additional Information requirements are as follows:

1. Father's / Spouse's Name
2. Marital Status
3. Nationality
4. Gross Annual Income or Net worth as on recent date

Individual investors are requested to fill in the 'KYC Details Change Form' issued by CVL-KRA or any other KRA. The duly filled form along with the IPV can be submitted to any of the SEBI registered intermediaries.

In case of Non Individuals, KYC needs to be done afresh due to significant changes in KYC requirements.

KYC compliance shall also include: (i) the constituted Power of Attorney (PoA) holder, in case of investments through a PoA; (ii) Guardian in case of minor applicant; and (iii) each of the applicants, in case of application in joint names.

#### NOMINATION DETAILS

An Investor has the facility to nominate one or more person(s) to receive the Units upon his / her death, subject to the completion of necessary formalities. The option to nominate is limited to a maximum 3 nominees. The percentage of allocation to these nominees should be clearly mentioned in the nomination form. In case the percentage of allocation is not indicated in the form, then by default the investments will be equally divided between all the nominees.

Any new folio / account with a sole or single mode of holding must have a nomination. **Investors who do not wish to nominate must sign a separate confirmation for their non-intention to nominate in the Application Form.**

Nomination can be made only by individuals on their own behalf, either singly or jointly. In case the folio has joint holders, all joint holders are required to sign the request for nomination / cancellation of nominee(s), irrespective of the mode of holding status being Anyone or Survivor or Joint. Every new nomination for a folio / account will overwrite the existing nomination.

Only the following categories of Indian residents can be nominated: (a) individuals; (b) minors through parent / legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office.

In case where an investor nominates anyone under the category of "Who cannot invest" as mentioned in the SID of the Schemes, the AMC shall reject the nomination form while the valid Application Form will be accepted and processed. At the time of transfer of Units to the nominee, if based on the documents submitted for such transfer, the nominee(s) fall under the category of "Who cannot invest" as specifically mentioned in the SID of the Schemes, the Units proposed to be transferred shall be compulsorily redeemed immediately after transfer and the redemption proceeds will be paid to the nominee(s) in the manner stated in the SID.

A nomination in respect of Units will be treated as rescinded upon the Redemption of the Units. Cancellation of a nomination can be made only by the investors who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC / Fund shall not be under any obligation to transfer the Units in favour of the nominee(s).

The transfer of Units / payment to the nominee(s) of the redemption proceeds shall be valid and effectual against any demand made upon the Fund / AMC / Trustee and shall discharge the Fund / AMC / Trustee of all liability towards the estate of the deceased investor and his / her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased investor's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

#### DECLARATION AND SIGNATURES

- a) All signatures should be in English or any Indian language. Thumb impressions should be of the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate.
  - b) Applications by minors should be signed by their guardians. In the case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.
  - c) Authorised officials should sign the form under their official designation and company seal. A list of authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment must be submitted.
  - d) In case of an application under a Power of Attorney or by a limited company, body corporate, registered society, trust or partnership etc., the relevant Power of Attorney duly notarized or the relevant resolution or authority to make the application as the case may be or a duly certified copy thereof, along with the memorandum and articles of association/bye-laws must be lodged along with the Application Form at an Investor Service Centre or at the Collection Centres.
- Further, the AMC may require that a certified copy of the Trust Deed or the Partnership Deed be lodged at the Investor Service Centre or at the Collection Centre. The signature in the Application Form, then, needs to clearly indicate that the signature is on your behalf by the Constituted Attorney.

#### TERMS & CONDITIONS FOR CREDIT OF UNITS IN DEMAT ACCOUNT

All details required in the form are mandatory. Please read the instructions carefully for filling the application form.

- a) Applicants should fill in all details clearly.
- b) The sequence of the names of investors mentioned in this form should match with that of the Demat account held with the Depository Participant.
- c) It is mandatory for applicants to provide their PAN/KYC Status. This is necessary due to verification of demat account for the credit of units. In case the PAN/KYC is not provided by applicants, the application is liable to be rejected.
- d) Applicants are advised to mention clearly their demat account details with depositories and in block letters.
- e) The demat account details, as provided by the investor will be considered for credit of units in dematerialized form.
- f) Applicants are also advised to attach a copy of a document like demat transaction cum holding statement / client Identification master that provides the applicants' name and demat account details, in support of the mentioned demat account and verification.
- g) In case Demat account details are not provided or are incomplete or the details do not match with the records as per Depository (ies), units will be allotted in physical form.
- h) The units will be credited to the depository account as mentioned by the applicant; only after the payment has been realized to the satisfaction of the AMC and due verification of applicants' details with depositories has been done.
- i) Applicants are advised to check whether the scheme/plan/option in which they wish to invest in has a facility for holding units in demat mode. This facility is currently not available under the Daily & Weekly Dividend of Debt & Liquid Schemes.
- j) Investor may submit their non-financial transaction such as change of bank, nomination, etc. to their DP.
- k) Unitholders holding units in demat form would receive a demat statement given by the Depository Participant (DP).

<b>Investor Care</b>	1800-200-3444	Email: india.investorcare@pinebridge.com	<b>Website</b>	www.pinebridge.in
<b>Distributor Care</b>	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

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**This Form is to be used by Existing Investors for the purpose of**

☐ Additional Purchase ☐ SIP ☐ Micro SIP (Please ✓ whichever is applicable)

Sr. No.

Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
 "Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor"  
**Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.**

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

**Existing Unitholders Information**

First Unitholder Existing Folio No.

KYC is mandatory for ALL investments irrespective of the amount.

**PAN & KYC Details**

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

PAN No.\*

PAN No.\*

PAN No.\*

☐ KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)

☐ KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)

☐ KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)

\*Mandatory - Enclose self/broker attested PAN Card Copy.

Photo Identification\*\*

Photo Identification\*\*

Photo Identification\*\*

\*\* Photo Identification mandatory - please refer to Micro SIP related information.

**Additional Purchase**

Scheme Name Plan Standard ☐ Direct ☐ Option

Investment Amount DD Charges (if applicable) Net Amount (A/c Payee-Cheque / DD Amount)

Cheque/DD No. Drawn on (Bank / Branch Name)

Cheque / DD Date Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others Please specify

☐ **Systematic Investment Plan (SIP) (Through Post Dated Cheques)**

☐ **\* Micro SIP**

Frequency (Please ✓): ☐ Monthly ☐ Quarterly SIP/Micro SIP Date: ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All four dates Installment Amount ₹

Enrolment Period From To Cheque No(s). From To No. of Cheques

Drawn on (Bank / Branch Name)

\* SIPs upto ₹ 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 month period or in a financial year shall be referred to as 'Micro SIP'.

**Payment Bank Details (Mandatory for Additional Purchase / SIP)**

**Source of Payment (from where the above investment is made)**

**First / Sole Holder's Bank Account** ☐ **Mandatory (any one):** ☐ Cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate **OR**

**Third Party's Bank Account**

**Relationship with the Holder:** ☐ Parents/Grand-Parents/related persons ☐ Employer on behalf of employee ☐ Custodian on behalf of an FII or a client

**Mandatory documents required:** ☐ KYC Acknowledgement Letter ☐ Third Party Declaration Form

Document attached (Any one) ☐ Cancelled cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

**Declaration & Signatures**

I/We have read and understood the contents of the SID/SAI/KIM of the above Scheme of PineBridge Mutual Fund including the sections on "Who cannot invest" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/ purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am / are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise PineBridge Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / PineBridge Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We understand that all plans of respective schemes will have common portfolio. However, the returns under each plan are expected to vary on account of specified expense ratio under the relevant plan. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We declare that I/We do not hold PineBridge Mutual Fund responsible for the redemption/dividend credit going to the wrong bank account. I/We declare that the information given in this application form is correct, complete and truly stated.

**EUIIN:** I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**APPLICABLE FOR NRIs:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin, not a resident of US / Canada and that I/We have remitted funds from abroad through approved banking channels or from funds in my / our NRE / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our NRE/FCNR Account.

If the investment is being made by a Constituted Attorney, please furnish Name of Power of Attorney Holder (POA) in respect of each applicant below:

Name	POA Holder for Applicant 1	POA Holder for Applicant 2	POA Holder for Applicant 3
Address			
PAN No.*			
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)
Photo Identification**	Photo Identification**	Photo Identification**	Photo Identification**

\*Mandatory - Enclose self/broker attested PAN Card Copy.

\*\*Photo Identification Copy for Micro SIP only.

**SIGNATURE(S)**

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

**Acknowledgement Slip (To be filled in by the Investor)**

Existing Folio No. Date

Received from

☐ SIP/ ☐ Micro SIP : Installment Amount (₹)

Total Cheques Cheque Nos.

☐ Additional Purchase : Amount (₹)

Cheque No.

Time Stamp No.  
(Office Use Only)

**Investor Care**

1800-200-3444

Email: india.investorcare@pinebridge.com

**Website**

www.pinebridge.in

**Distributor Care**

(City Code) 60000344\*

Email: india.distributorcare@pinebridge.com

**SMS**

TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.



This Form is to be used by Existing Investors for the purpose of ☐ Redemption ☐ Switch ☐ STP ☐ SWP  
☐ SIP / STP / SWP Cancellation ☐ Change of Contact Details (Please ✓ whichever is applicable)

Sr. No.

Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
 "Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor"  
**Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.**

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

## Existing Unitholders Information

First Unitholder Existing Folio No.

KYC is mandatory for ALL investments irrespective of the amount.

## Redemption

Scheme Plan Option

Amount ₹ Amount ₹ (In Words)

No. of Units ☐ OR ☐ All Units (Please ✓) (Please mention either Amount or Units)

☐ Default Bank Account in this folio ☐ Any of the Registered Bank Account in this folio ☐ Please pay Proceeds via RTGS/NEFT

Bank Details: Bank Name Bank Account No. IFSC Code

## Switch

From Scheme (Transferor) Plan Option

To Scheme (Transferee) Plan Option

Please transfer (Please ✓) ☐ ₹ ☐ OR ☐ Units ☐ OR ☐ All Units

## Systematic Transfer Plan (STP)

From Scheme (Transferor) Plan Option

To Scheme (Transferee) Plan Option

Please transfer ☐ Fixed Amount ₹ ☐ OR Frequency: ☐ All Four Dates ☐ Fortnightly ☐ Monthly

(Please ✓) ☐ Capital Appreciation STP Date: ☐ 1st ☐ 7th ☐ 14th ☐ 21st

Enrolment Period From M M / Y Y To M M / Y Y

STP shall not be executed if amount is less than ₹ 1000/- (To be submitted 5 business days before the 1<sup>st</sup> due date of Transfer)

## Systematic Withdrawal Plan (SWP)

From Scheme Plan Option

(Please ✓) ☐ Fixed Amount ₹ ☐ OR ☐ Capital Appreciation SWP Date: ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All Four Dates

Enrolment Period From M M / Y Y To M M / Y Y

SWP shall not be executed if amount is less than ₹ 1000/-. Frequency - Monthly (To be submitted 5 business days before the 1<sup>st</sup> due date of Withdrawal)

## SIP / STP / SWP Cancellation

I/We would like to discontinue ☐ SIP ☐ STP ☐ SWP Effective Date: ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All Four Dates

Month Year

**SIP Cancellation** - To be submitted within 30 days from the next SIP effective date. **STP Cancellation** - To be submitted within 30 days from the next STP effective date.

**SWP Cancellation** - To be submitted within 30 days from the next SWP effective date

## Change of Contact Details

☐ Update my Mobile No. ☐ Update my Email ID\*

\* I would like to receive information by email in lieu of physical mail

## Declaration & Signatures

I/We have read and understood the contents of the SID/SAI/KIM of the above Scheme of PineBridge Mutual Fund including the sections on "Who cannot invest" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/ purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am / are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise PineBridge Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / PineBridge Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We understand that all plans of respective schemes will have common portfolio. However, the returns under each plan are expected to vary on account of specified expense ratio under the relevant plan. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We declare that I/We do not hold PineBridge Mutual Fund responsible for the redemption/dividend credit going to the wrong bank account. I/We declare that the information given in this application form is correct, complete and truly stated.

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**APPLICABLE FOR NRIs:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin, not a resident of US / Canada and that I/We have remitted funds from abroad through approved banking channels or from funds in my / our NRE / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our NRE/FCNR Account.

**SIGNATURE(S)**

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

If the investment is being made by a Constituted Attorney, please furnish Name of Power of Attorney Holder (POA) in respect of each applicant below:			
Name	POA Holder for Applicant 1	POA Holder for Applicant 2	POA Holder for Applicant 3
Address			
PAN No.*			
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)
Photo Identification**	Photo Identification**	Photo Identification**	Photo Identification**

\*Mandatory - Enclose self/broker attested PAN Card Copy.

\*\*Photo Identification Copy for Micro SIP only.

## Acknowledgement Slip (To be filled in by the Investor)

Existing Folio No. Date

Received from

☐ Redemption: Amount (₹) ☐ OR Units

☐ Switch : Amount (₹) ☐ OR Units

☐ SWP : ☐ Fixed Amount (₹) ☐ OR ☐ Capital Appreciation

☐ STP : ☐ Fixed Amount (₹) ☐ OR ☐ Capital Appreciation

☐ SIP/STP/SWP Cancellation ☐ Change of Contact Details

Time Stamp No.  
(Office Use Only)

**This Form is to be used by Existing Investors for the purpose of**

☐ Additional Purchase ☐ SIP ☐ Micro SIP (Please ✓ whichever is applicable)

Sr. No.

Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
 "Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor"  
**Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.**

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

**Existing Unitholders Information**

First Unitholder Existing Folio No.

KYC is mandatory for ALL investments irrespective of the amount.

**PAN & KYC Details**

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

PAN No.\*

PAN No.\*

PAN No.\*

☐ KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)

☐ KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)

☐ KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)

\*Mandatory - Enclose self/broker attested PAN Card Copy.

Photo Identification\*\*

Photo Identification\*\*

Photo Identification\*\*

\*\* Photo Identification mandatory - please refer to Micro SIP related information.

**Additional Purchase**

Scheme Name Plan Standard ☐ Direct ☐ Option

Investment Amount DD Charges (if applicable) Net Amount (A/c Payee-Cheque / DD Amount)

Cheque/DD No. Drawn on (Bank / Branch Name)

Cheque / DD Date Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others Please specify

☐ **Systematic Investment Plan (SIP) (Through Post Dated Cheques)**

☐ **\* Micro SIP**

Frequency (Please ✓): ☐ Monthly ☐ Quarterly SIP/Micro SIP Date: ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All four dates Installment Amount ₹

Enrolment Period From To Cheque No(s). From To No. of Cheques

Drawn on (Bank / Branch Name)

\* SIPs upto ₹ 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 month period or in a financial year shall be referred to as 'Micro SIP'.

**Payment Bank Details (Mandatory for Additional Purchase / SIP)**

**Source of Payment (from where the above investment is made)**

**First / Sole Holder's Bank Account** ☐ **Mandatory (any one):** ☐ Cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate **OR**

**Third Party's Bank Account**

**Relationship with the Holder:** ☐ Parents/Grand-Parents/related persons ☐ Employer on behalf of employee ☐ Custodian on behalf of an FII or a client

**Mandatory documents required:** ☐ KYC Acknowledgement Letter ☐ Third Party Declaration Form

Document attached (Any one) ☐ Cancelled cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

**Declaration & Signatures**

I/We have read and understood the contents of the SID/SAI/KIM of the above Scheme of PineBridge Mutual Fund including the sections on "Who cannot invest" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/ purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am / are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise PineBridge Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / PineBridge Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We understand that all plans of respective schemes will have common portfolio. However, the returns under each plan are expected to vary on account of specified expense ratio under the relevant plan. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We declare that I/We do not hold PineBridge Mutual Fund responsible for the redemption/dividend credit going to the wrong bank account. I/We declare that the information given in this application form is correct, complete and truly stated.

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**APPLICABLE FOR NRIs:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin, not a resident of US / Canada and that I/We have remitted funds from abroad through approved banking channels or from funds in my / our NRE / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our NRE/FCNR Account.

If the investment is being made by a Constituted Attorney, please furnish Name of Power of Attorney Holder (POA) in respect of each applicant below:

Name	POA Holder for Applicant 1	POA Holder for Applicant 2	POA Holder for Applicant 3
Address			
PAN No.*			
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)
Photo Identification**	Photo Identification**	Photo Identification**	Photo Identification**

\*Mandatory - Enclose self/broker attested PAN Card Copy.

\*\*Photo Identification Copy for Micro SIP only.

**SIGNATURE(S)**

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

**Acknowledgement Slip (To be filled in by the Investor)**

Existing Folio No. Date

Received from

☐ SIP/ ☐ Micro SIP : Installment Amount (₹)

Total Cheques Cheque Nos.

☐ Additional Purchase : Amount (₹)

Cheque No.

Time Stamp No.  
(Office Use Only)

<b>Investor Care</b>	1800-200-3444	Email: india.investorcare@pinebridge.com	<b>Website</b>	www.pinebridge.in
<b>Distributor Care</b>	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

This Form is to be used by Existing Investors for the purpose of ☐ Redemption ☐ Switch ☐ STP ☐ SWP  
☐ SIP / STP / SWP Cancellation ☐ Change of Contact Details (Please ✓ whichever is applicable)

Sr. No.

Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
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**Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.**

**SIGNATURE(S)**

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

## Existing Unitholders Information

First Unitholder Existing Folio No.

KYC is mandatory for ALL investments irrespective of the amount.

## Redemption

Scheme Plan Option

Amount ₹ Amount ₹ (In Words)

No. of Units ☐ OR ☐ All Units (Please ✓) (Please mention either Amount or Units)

☐ Default Bank Account in this folio ☐ Any of the Registered Bank Account in this folio ☐ Please pay Proceeds via RTGS/NEFT

Bank Details: Bank Name Bank Account No. IFSC Code

## Switch

From Scheme (Transferor) Plan Option

To Scheme (Transferee) Plan Option

Please transfer (Please ✓) ☐ ₹ ☐ OR ☐ Units ☐ OR ☐ All Units

## Systematic Transfer Plan (STP)

From Scheme (Transferor) Plan Option

To Scheme (Transferee) Plan Option

Please transfer ☐ Fixed Amount ₹ ☐ OR Frequency : ☐ All Four Dates ☐ Fortnightly ☐ Monthly

(Please ✓) ☐ Capital Appreciation STP Date : ☐ 1st ☐ 7th ☐ 14th ☐ 21st

Enrolment Period From M M / Y Y To M M / Y Y

STP shall not be executed if amount is less than ₹1000/- (To be submitted 5 business days before the 1<sup>st</sup> due date of Transfer)

## Systematic Withdrawal Plan (SWP)

From Scheme Plan Option

(Please ✓) ☐ Fixed Amount ₹ ☐ OR ☐ Capital Appreciation SWP Date : ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All Four Dates

Enrolment Period From M M / Y Y To M M / Y Y

SWP shall not be executed if amount is less than ₹1000/-. Frequency - Monthly (To be submitted 5 business days before the 1<sup>st</sup> due date of Withdrawal)

## SIP / STP / SWP Cancellation

I/We would like to discontinue ☐ SIP ☐ STP ☐ SWP Effective Date : ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ All Four Dates

Month Year

**SIP Cancellation** - To be submitted within 30 days from the next SIP effective date. **STP Cancellation** - To be submitted within 30 days from the next STP effective date.

**SWP Cancellation** - To be submitted within 30 days from the next SWP effective date

## Change of Contact Details

☐ Update my Mobile No. ☐ Update my Email ID\*

\* I would like to receive information by email in lieu of physical mail

## Declaration & Signatures

I/We have read and understood the contents of the SID/SAI/KIM of the above Scheme of PineBridge Mutual Fund including the sections on "Who cannot invest" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/ purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am / are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise PineBridge Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / PineBridge Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We understand that all plans of respective schemes will have common portfolio. However, the returns under each plan are expected to vary on account of specified expense ratio under the relevant plan. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We declare that I/We do not hold PineBridge Mutual Fund responsible for the redemption/dividend credit going to the wrong bank account. I/We declare that the information given in this application form is correct, complete and truly stated.

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**SIGNATURE(S)**

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

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Name	POA Holder for Applicant 1	POA Holder for Applicant 2	POA Holder for Applicant 3
Address			
PAN No.*			
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)
Photo Identification**	Photo Identification**	Photo Identification**	Photo Identification**

\*Mandatory - Enclose self/broker attested PAN Card Copy.

\*\*Photo Identification Copy for Micro SIP only.

## Acknowledgement Slip (To be filled in by the Investor)

Existing Folio No. Date

Received from

☐ Redemption: Amount (₹) OR Units

☐ Switch : Amount (₹) OR Units

☐ SWP : ☐ Fixed Amount (₹) OR ☐ Capital Appreciation

☐ STP : ☐ Fixed Amount (₹) OR ☐ Capital Appreciation

☐ SIP/STP/SWP Cancellation ☐ Change of Contact Details

Time Stamp No.  
(Office Use Only)

## INSTRUCTIONS FOR COMPLETING THE COMMON TRANSACTION FORM

- The Common Transaction Form is to be used by Existing Investors for the purpose of Additional Purchase, SIP, Micro SIP (thru Post Dated Cheques), Redemption, Switch, STP, SWP, SIP/STP/SWP Cancellation & Change of Contact Details.
- Please mention your folio number, name & put your signature (as per the mode of holding) on the same side where you have filled up the form. In case you have filled up both sides of the Common Transaction Form; you need to sign on both sides of the form.
- As a safety measure against fraudulent instructions, investors are advised to counter-sign any cancellation/corrections. Investors are advised to update the AMFI Identity Number of the Distributor's representative interacting with him/her.
- Additional purchase/Redemption/Switch - Please ensure that you meet the minimum amount criteria of the Scheme/Plan.
- Please write the Cheque / DD in favor of the specific scheme followed by name of the first holder or his PAN No. or existing Folio No. (For example: "Scheme Name – First Holder's Name" or "Scheme Name – First Holder's PAN No." or "Scheme Name – First Holder's Folio No.")
- The investors should cross their cheques as "Account Payee Only".
- Payment details - please ensure that you fill in the details in this section. In case of third party payment please fill the Third Party Declaration Form available on our website : [www.pinebridge.in](http://www.pinebridge.in).
- Redemption & Switch - Please mention either the amount or units for redemption & Switch. Please mention Switch In/Destination of Scheme/Plan for Switches.
- In case Bank Account is not specified, redemption proceeds will be paid to the existing Default Bank Account
- Redemption payout will be processed through Direct Credit/RTGS/NEFT subject to availability of complete and correct information. In the absence of complete information for ETF facility, a cheque will be issued and dispatched.
- Please furnish a self-attested/attested by broker copy of the PAN Card for all investments.
- KYC is mandatory for all investments irrespective of the amount. Please enclose KYC Acknowledgement Letter along with the application form.
- Address will be updated as per KRA records as submitted by the investor to KRA/CVL

## COMMON INFORMATION FOR SYSTEMATIC INVESTMENT PLAN (SIP), SYSTEMATIC TRANSFER PLAN (STP) AND SYSTEMATIC WITHDRAWAL PLAN (SWP)

1. Please check for availability of SIP/SWP/STP Facility in the respective Scheme.
2. Minimum amount for each SIP is ₹1,000/- for PineBridge India Equity Fund, PineBridge Infrastructure & Economic Reform Fund, PineBridge World Gold Fund, PineBridge India Total Return Bond Fund and PineBridge India Short Term Fund.
3. Minimum Amount for each STP & SWP is ₹ 1,000/- for all the Schemes.
4. SIP/STP/SWP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st / All four dates.
5. In case of ambiguity in the SIP/STP/SWP dates in the application form, then 7th will be treated as default option date.
6. Existing Investors are required to submit only the Common Transaction Form indicating the existing Folio Number and investment details in the SIP/STP/SWP section.
7. You can choose to discontinue any of these facilities by giving 30 days written notice to the Registrar.
8. If you are a new investor, investing in a Scheme / Plan using the Systematic Investment Plan/Systematic Transfer Plan/Systematic Withdrawal Plan, please fill in all the sections in the Common Application Form except the section titled "Investment & Payment Details" alongwith the Common Transaction Form.  
The investment details must be indicated in the SIP/STP/SWP Section of the Common Transaction Form.
9. Please furnish a self-attested/attested by broker copy of the PAN Card along with the Application Form/Transaction Form.
10. Payment details - please ensure that you fill in the details in this section. In case of third party payment please fill the Third Party Declaration Form.
11. In case the STP & SWP account balance is nil during the ongoing tenure of STP / SWP, then such STP / SWP will be discontinued automatically with immediate effect.

## INSTRUCTIONS FOR SYSTEMATIC INVESTMENT PLAN (SIP) & MICRO SIP

1. Please select SIP or Micro SIP in the form.
2. All Cheques should be of the same date of month / quarter and of the same amount.
3. You can opt for SIP in the Scheme on a monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount.
4. In case "All four dates" is selected, minimum 6 cheques for each date should be given i.e. minimum 24 cheques should be given.
5. In case of SIP ECS, please fill out SIP / Micro SIP Auto Debit (ECS) Form.
6. A separate SIP Form must be filled for each Scheme / Plan. Please mention your folio number and name on reverse of the Cheque.
7. In case of Micro SIP investors, please provide any one of the photo identification documents (list available in the form). Please mention the document identification number for e.g. driving license number, passport number, etc.

## INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

1. SWP Form should be submitted atleast 5 business days before SWP start date.
2. You can opt for SWP for a fixed amount or appreciation.
3. For investors availing the withdrawal of 'appreciation' option, where in any month, there is no appreciation or the appreciation is less than ₹ 1,000/-, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried out due to lack of appreciation, the SWP will be terminated at the end of the specified period.

## INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)

1. STP Form should be submitted atleast 5 business days before STP start date.
2. The unitholder has to fulfill the following criteria in order to avail of the Systematic Transfer Plan -
  - a) A Unit Holder has to have a minimum balance of ₹ 25,000/- in a Liquid scheme or
  - b) ₹ 10,000 in a non-liquid scheme (in a particular folio) or
  - c) the minimum amount as stated in the SID of the respective transferor scheme, whichever is higher.
3. You can opt for STP for a fixed amount or appreciation on a weekly (on all four STP dates) / fortnightly / monthly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option.
4. STP has to be for minimum of SIX transactions / transfers irrespective of frequency.
5. For investors availing the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than ₹ 1,000/-, switch as mentioned above, will not be carried out. Even if transfer is not carried out due to lack of appreciation, the STP will be terminated at the end of the specified period.

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Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor"

**SIGNATURE(S)** \_\_\_\_\_ Sole / First Applicant / Guardian / POA \_\_\_\_\_ Second Applicant / POA \_\_\_\_\_ Third Applicant / POA

**Transaction Charges (please tick as applicable. Refer to KIM)**

☐ I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS  
(₹ 150/- will be deducted as transaction charge on Subscription of ₹10,000/- and more)

☐ I AM AN EXISTING INVESTOR IN MUTUAL FUNDS  
(₹ 100/- will be deducted as transaction charge per Subscription of ₹10,000/- and more)

Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.

**KYC is mandatory for ALL investments irrespective of the amount.**

### 1. For Existing Unitholders

First Unitholder	Second Applicant	Third Applicant
Sole / First Applicant / Guardian		
PAN No.*	PAN No.*	PAN No.*
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)
Photo Identification**	Photo Identification**	Photo Identification**

\* Mandatory - Enclose self/broker attested PAN Card Copy. \*\* Photo Identification Copy for Micro SIP only - please refer to instruction.

### 2. For New Investor - Applicant's Details (Mandatory)

Sole / First Applicant

### 3. ☐ Systematic Investment Plan (SIP) Details OR ☐ Micro SIP Details (please ✓ whichever is applicable)

Scheme Name

**Plan**

☐ Standard ☐ Direct ☐ Growth\* ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus

SIP Frequency (Please ✓) ☐ Monthly\* OR ☐ Quarterly SIP Date (Please ✓) ☐ 1<sup>st</sup> OR ☐ 7<sup>th</sup>\* OR ☐ 14<sup>th</sup> OR ☐ 21<sup>st</sup> OR ☐ All four dates

Installment Amount (₹) ₹

First SIP Cheque No. Dated DD / MM / YYYY

Drawn on (Bank / Branch Name)

SIP Auto Debit Period (The first Auto Debit should be at least 30 days after the first SIP transaction date) Start Date From MM / YYYY End Date<sup>(1)</sup> To MM / YYYY (Last Installment)

<sup>(1)</sup> If No End Date is specified SIP will continue for 50 years.

\* Default Option will be applied in case of no information, ambiguity or discrepancy.

### 4. Payment Bank Details

Source of Payment (from which the above investment is made)

☐ First / Sole Holder's Bank Account ☐ Mandatory (any one): ☐ Cheque leaf with name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

**Third Party's Bank Account** ☐

**Relationship with the Holder:** ☐ Parents/Grand-Parents/related persons ☐ Employer on behalf of employee ☐ Custodian on behalf of an FII or a client

**Mandatory documents required:** ☐ KYC Acknowledgment Letter ☐ Third Party Declaration Form

Document attached (Any one) ☐ Cancelled cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

### 5. Particulars of Bank Account (From which money will be debited)

Account holder name as in Bank Account Bank name

Account No. Branch Address

City MICR Code M A N D A T O R Y (This is a 9 Digit Number next to your Cheque Number)

For NRI / FII Investors, please indicate account type of your remittance (please ✓) ☐ NRE ☐ NRO ☐ FCNR ☐ Others Please specify

Enclosed (please ✓) ☐ Account Debit ☐ Foreign Inward Remittance Certificate ☐ Others

I/We hereby, declare that the particulars given above are correct and express my/our willingness to make payments referred above through direct debit / participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold PineBridge Mutual Fund, their Investment Manager - PineBridge Investments Asset Management Company (India) Private Limited or any of their appointed service providers or representatives responsible. I/We will also inform PineBridge Investments Asset Management Company (India) Private Limited about any changes in my/our bank account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We have read and agreed to the terms and conditions mentioned overleaf. **EUIN:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction

**SIGNATURE(S) (ALL ACCOUNT HOLDERS MUST SIGN HERE)**

First Account Holder's Signature (As in Bank Records) Second Account Holder's Signature (As in Bank Records) Third Account Holder's Signature (As in Bank Records)

**FOR OFFICE USE ONLY (Not to be filled in by investor)**

Recorded on DD / MM / YYYY by DD / MM / YYYY

Credit A/c. No.

### 6. Authorisation of Bank Accountholder(s) (to be signed by the Accountholder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) and that my/our payment towards investment in PineBridge Mutual Fund shall be made from my/our below-mentioned bank account number with your bank. I/We hereby authorise PineBridge Investments Asset Management Company (India) Private Limited - Investment Manager to PineBridge Mutual Fund, acting through their authorised service providers and representatives carrying this ECS Mandate Form to get it verified and executed. I/We hereby further authorise PineBridge Investments Asset Management Company (India) Private Limited (Investment Manager to PineBridge Mutual Fund), acting through their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) for collection of SIP payments:

**NAME(S) & SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS**

Account Number	Name of First Account Holder (As in Bank Records)	Name of Second Account Holder (As in Bank Records)	Name of Third Account Holder (As in Bank Records)

## TERMS AND CONDITIONS FOR SIP / MICRO SIP WITH AUTO DEBIT (ECS) FACILITY

SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

### List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

Agra, Ahmedabad, Allahabad, Amritsar, Anand, Aurangabad, Bengaluru, Baroda, Bhavnagar, Bhopal, Bhubaneswar, Calicut, Chandigarh, Chennai, Cochin, Dehradun, Delhi, Dhanbad, Gorakhpur, Guwahati, Gwalior, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Kanpur, Kolhapur, Kolkata, Lucknow, Mumbai, Nagpur, Nasik, Panjim, Patna, Pune, Raipur, Rajkot, Ranchi, Solapur, Surat, Trichur, Trivendrum, Varanasi

1. SIP Auto Debit (ECS) is offered to investors having bank accounts in cities mentioned above.
2. The cities in the above list may be modified/updated/changed/removed at any time in future entirely at the discretion of PineBridge Investments Asset Management Company (India) Private Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued and communication to that effect will be sent to the investor.
3. Investors to select the option of SIP or Micro SIP as applicable.
4. As a safety measure against fraudulent instructions, investors are advised to countersign any cancellation/corrections. Investors are advised to update the AMFI Identity Number of the Distributor's representative interacting with him/her.
5. KYC is mandatory for SIP applications.
6. Existing Investors are required to submit only the SIP / Micro SIP Auto Debit (ECS) Form indicating the existing Folio Number and investment details in the SIP section.
7. SIP Facility is available on specified dates of the month: viz. 1<sup>st</sup> / 7<sup>th</sup> / 14<sup>th</sup> / 21<sup>st</sup> / All four dates
8. During ongoing purchase your first SIP can be for any day of the month. Your second and subsequent SIPs installments are available only on the above specified dates of the month with a minimum gap of at least 30 days between first and second SIP installment. In case the chosen date turns out to be a non-business day for the scheme; the SIP will be processed on the immediately following business day.
9. The SIP should be for a minimum of 6 months or quarters. In case "All four dates" are selected, SIP will be registered for a minimum of six months for each date.
10. If no end date is specified, SIP will be considered for 50 years.
11. If the SIP preferences are not indicated or in case of discrepancy or ambiguity, the default option shall be as under:  
SIP Frequency - Monthly, SIP date - every 7th of the month.
12. The SIP cheque should be drawn on the same bank account which is to be registered for ECS (debit). The bank account provided for ECS (debit) should participate in local MICR clearing.
13. Please write the Cheque in favor of the specific scheme followed by name of the first holder or his PAN No. or existing Folio No. (For example: "Scheme Name – First Holder's Name" or "Scheme Name – First Holder's PAN No." or "Scheme Name – First Holder's Folio No."). The investors should cross their cheques as "Account Payee Only".
14. If three consecutive SIP's fail, the SIP will automatically stand terminated and a communication to that effect will be sent to the investor.
15. Any request for a Modification/Cancellation of SIP shall be processed within 15 days on receipt of written request. All such request, should be accompanied by:
  - a. A new SIP form duly filled in and carrying the revised/new SIP request details, or
  - b. A written and signed confirmation for discontinuing the existing SIP.
16. If you are a new investor, investing in a Scheme / Plan using the Systematic Investment Plan, please fill in all the sections in the Common Application Form. The investment details must be indicated in the SIP Auto Debit (ECS) Form.
17. Please furnish a self-attested/attested by broker copy of the PAN Card along with the Application Form/Transaction Form.
18. In case of Micro SIP (SIPs upto ₹ 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 month period or in a financial year shall be referred as 'Micro SIP') please provide photo identification documents for all holders as listed below:
  - (a) Voter Identity Card, (b) Driving License, (c) Government / Defense identification card, (d) Passport, (e) Photo Ration Card, (f) Photo Debit Card, (g) Employee ID cards issued by companies registered with Registrar of Companies, (h) Photo Identification issued by Bank Managers of Scheduled Commercial Banks /Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, (i) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, (j) Senior Citizen / Freedom Fighter ID card issued by Government, (k) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, (l) Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by Central Recordkeeping Agency (NSDL), (m) Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFOThe Photo Identification document has to be current and valid and also either self attested or attested by an ARN holder.  
The Photo Identification documents are in lieu of PAN card copy. However, investor may choose to provide self-attested copy of PAN as a proof.  
Please note that all the subsequent SIP Installments may get rejected if the Investor does not meet the above requirements.
19. Investors will not hold PineBridge Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS/ local holidays/incomplete or incorrect instructions from the applicant.
20. PineBridge Mutual Fund reserves the right not to represent any mandate for SIP auto debit facility if the registration could not be affected in time for reasons beyond control.
21. PineBridge Mutual Fund, its registrars and other service providers shall not be responsible and liable for any transaction failures, due to rejection of the transaction by your bank/branch or its refusal to register the SIP mandate.
22. Please refer the Key Information Memorandum and the Scheme Information Document for Applicable NAV, Redemptions, Risk Factors, Load and other information on the respective Scheme before investing.



Broker/Distributor	Sub Broker Name & Code	Internal code for Sub-Broker/Employee	Employee Unique ID. No. (EUIN)	Time Stamp No. (For office use only)
<b>ARN -</b> Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp		AMFI Identity Number	

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor"

**SIGNATURE(S)** \_\_\_\_\_ Sole / First Applicant / Guardian / POA \_\_\_\_\_ Second Applicant / POA \_\_\_\_\_ Third Applicant / POA

**Transaction Charges (please tick as applicable. Refer to KIM)**

☐ I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS  
(₹ 150/- will be deducted as transaction charge on Subscription of ₹10,000/- and more)

☐ I AM AN EXISTING INVESTOR IN MUTUAL FUNDS  
(₹ 100/- will be deducted as transaction charge per Subscription of ₹10,000/- and more)

Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.

**KYC is mandatory for ALL investments irrespective of the amount.**

### 1. For Existing Unitholders

First Unitholder	Second Applicant	Third Applicant
Sole / First Applicant / Guardian		
PAN No.*	PAN No.*	PAN No.*
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)
Photo Identification**	Photo Identification**	Photo Identification**

\* Mandatory - Enclose self/broker attested PAN Card Copy. \*\* Photo Identification Copy for Micro SIP only - please refer to instruction.

### 2. For New Investor - Applicant's Details (Mandatory)

Sole / First Applicant

### 3. ☐ Systematic Investment Plan (SIP) Details OR ☐ Micro SIP Details (please ✓ whichever is applicable)

Scheme Name

**Plan**

☐ Standard ☐ Direct ☐ Growth\* ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus

SIP Frequency (Please ✓) ☐ Monthly\* OR ☐ Quarterly SIP Date (Please ✓) ☐ 1<sup>st</sup> OR ☐ 7<sup>th</sup>\* OR ☐ 14<sup>th</sup> OR ☐ 21<sup>st</sup> OR ☐ All four dates

Installment Amount (₹) ₹

First SIP Cheque No. Dated DD / MM / YYYY

Drawn on (Bank / Branch Name)

SIP Auto Debit Period (The first Auto Debit should be at least 30 days after the first SIP transaction date) Start Date From MM / YYYY End Date<sup>(1)</sup> To MM / YYYY (Last Installment)

<sup>(1)</sup> If No End Date is specified SIP will continue for 50 years.

\* Default Option will be applied in case of no information, ambiguity or discrepancy.

### 4. Payment Bank Details

Source of Payment (from which the above investment is made)

☐ First / Sole Holder's Bank Account ☐ Mandatory (any one): ☐ Cheque leaf with name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

OR

☐ Third Party's Bank Account

**Relationship with the Holder:** ☐ Parents/Grand-Parents/related persons ☐ Employer on behalf of employee ☐ Custodian on behalf of an FII or a client

**Mandatory documents required:** ☐ KYC Acknowledgment Letter ☐ Third Party Declaration Form

Document attached (Any one) ☐ Cancelled cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

### 5. Particulars of Bank Account (From which money will be debited)

Account holder name as in Bank Account Bank name

Account No. Branch Address

City MICR Code M A N D A T O R Y (This is a 9 Digit Number next to your Cheque Number)

For NRI / FII Investors, please indicate account type of your remittance (please ✓) ☐ NRE ☐ NRO ☐ FCNR ☐ Others Please specify

Enclosed (please ✓) ☐ Account Debit ☐ Foreign Inward Remittance Certificate ☐ Others

I/We hereby, declare that the particulars given above are correct and express my/our willingness to make payments referred above through direct debit / participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold PineBridge Mutual Fund, their Investment Manager - PineBridge Investments Asset Management Company (India) Private Limited or any of their appointed service providers or representatives responsible. I/We will also inform PineBridge Investments Asset Management Company (India) Private Limited about any changes in my/our bank account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/We have read and agreed to the terms and conditions mentioned overleaf. **EUIN:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction

**SIGNATURE(S) (ALL ACCOUNT HOLDERS MUST SIGN HERE)**

First Account Holder's Signature (As in Bank Records) Second Account Holder's Signature (As in Bank Records) Third Account Holder's Signature (As in Bank Records)

**FOR OFFICE USE ONLY (Not to be filled in by investor)**

Recorded on DD / MM / YYYY by DD / MM / YYYY

Credit A/c. No.

### 6. Authorisation of Bank Accountholder(s) (to be signed by the Accountholder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) and that my/our payment towards investment in PineBridge Mutual Fund shall be made from my/our below-mentioned bank account number with your bank. I/We hereby authorise PineBridge Investments Asset Management Company (India) Private Limited - Investment Manager to PineBridge Mutual Fund, acting through their authorised service providers and representatives carrying this ECS Mandate Form to get it verified and executed. I/We hereby further authorise PineBridge Investments Asset Management Company (India) Private Limited (Investment Manager to PineBridge Mutual Fund), acting through their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) for collection of SIP payments:

**NAME(S) & SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS**

Account Number	Name of First Account Holder (As in Bank Records)	Name of Second Account Holder (As in Bank Records)	Name of Third Account Holder (As in Bank Records)

## TERMS AND CONDITIONS FOR SIP / MICRO SIP WITH AUTO DEBIT (ECS) FACILITY

SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

### List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

Agra, Ahmedabad, Allahabad, Amritsar, Anand, Aurangabad, Bengaluru, Baroda, Bhavnagar, Bhopal, Bhubaneswar, Calicut, Chandigarh, Chennai, Cochin, Dehradun, Delhi, Dhanbad, Gorakhpur, Guwahati, Gwalior, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Kanpur, Kolhapur, Kolkata, Lucknow, Mumbai, Nagpur, Nasik, Panjim, Patna, Pune, Raipur, Rajkot, Ranchi, Solapur, Surat, Trichur, Trivendrum, Varanasi

1. SIP Auto Debit (ECS) is offered to investors having bank accounts in cities mentioned above.
2. The cities in the above list may be modified/updated/changed/removed at any time in future entirely at the discretion of PineBridge Investments Asset Management Company (India) Private Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued and communication to that effect will be sent to the investor.
3. Investors to select the option of SIP or Micro SIP as applicable.
4. As a safety measure against fraudulent instructions, investors are advised to countersign any cancellation/corrections. Investors are advised to update the AMFI Identity Number of the Distributor's representative interacting with him/her.
5. KYC is mandatory for SIP applications.
6. Existing Investors are required to submit only the SIP / Micro SIP Auto Debit (ECS) Form indicating the existing Folio Number and investment details in the SIP section.
7. SIP Facility is available on specified dates of the month: viz. 1<sup>st</sup> / 7<sup>th</sup> / 14<sup>th</sup> / 21<sup>st</sup> / All four dates
8. During ongoing purchase your first SIP can be for any day of the month. Your second and subsequent SIPs installments are available only on the above specified dates of the month with a minimum gap of at least 30 days between first and second SIP installment. In case the chosen date turns out to be a non-business day for the scheme; the SIP will be processed on the immediately following business day.
9. The SIP should be for a minimum of 6 months or quarters. In case "All four dates" are selected, SIP will be registered for a minimum of six months for each date.
10. If no end date is specified, SIP will be considered for 50 years.
11. If the SIP preferences are not indicated or in case of discrepancy or ambiguity, the default option shall be as under:  
SIP Frequency - Monthly, SIP date - every 7th of the month.
12. The SIP cheque should be drawn on the same bank account which is to be registered for ECS (debit). The bank account provided for ECS (debit) should participate in local MICR clearing.
13. Please write the Cheque in favor of the specific scheme followed by name of the first holder or his PAN No. or existing Folio No. (For example: "Scheme Name – First Holder's Name" or "Scheme Name – First Holder's PAN No." or "Scheme Name – First Holder's Folio No."). The investors should cross their cheques as "Account Payee Only".
14. If three consecutive SIP's fail, the SIP will automatically stand terminated and a communication to that effect will be sent to the investor.
15. Any request for a Modification/Cancellation of SIP shall be processed within 15 days on receipt of written request. All such request, should be accompanied by:
  - a. A new SIP form duly filled in and carrying the revised/new SIP request details, or
  - b. A written and signed confirmation for discontinuing the existing SIP.
16. If you are a new investor; investing in a Scheme / Plan using the Systematic Investment Plan, please fill in all the sections in the Common Application Form. The investment details must be indicated in the SIP Auto Debit (ECS) Form.
17. Please furnish a self-attested/attested by broker copy of the PAN Card along with the Application Form/Transaction Form.
18. In case of Micro SIP (SIPs upto ₹ 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 month period or in a financial year shall be referred as 'Micro SIP') please provide photo identification documents for all holders as listed below:
  - (a) Voter Identity Card, (b) Driving License, (c) Government / Defense identification card, (d) Passport, (e) Photo Ration Card, (f) Photo Debit Card, (g) Employee ID cards issued by companies registered with Registrar of Companies, (h) Photo Identification issued by Bank Managers of Scheduled Commercial Banks /Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, (i) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, (j) Senior Citizen / Freedom Fighter ID card issued by Government, (k) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, (l) Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by Central Recordkeeping Agency (NSDL), (m) Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFOThe Photo Identification document has to be current and valid and also either self attested or attested by an ARN holder.  
The Photo Identification documents are in lieu of PAN card copy. However, investor may choose to provide self-attested copy of PAN as a proof.  
Please note that all the subsequent SIP Installments may get rejected if the Investor does not meet the above requirements.
19. Investors will not hold PineBridge Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS/ local holidays/incomplete or incorrect instructions from the applicant.
20. PineBridge Mutual Fund reserves the right not to represent any mandate for SIP auto debit facility if the registration could not be affected in time for reasons beyond control.
21. PineBridge Mutual Fund, its registrars and other service providers shall not be responsible and liable for any transaction failures, due to rejection of the transaction by your bank/branch or its refusal to register the SIP mandate.
22. Please refer the Key Information Memorandum and the Scheme Information Document for Applicable NAV, Redemptions, Risk Factors, Load and other information on the respective Scheme before investing.

## MULTIPLE BANK ACCOUNTS REGISTRATION FORM

Please strike off the unused sections to avoid unauthorised use. Use separate forms for different folios.

<b>Folio No.</b> (For Existing Unit Holders) _____	<b>OR Application No</b> (for New Unit Holders) _____	<b>Permanent Account Number (PAN)</b> <table border="1" style="width: 100%; height: 20px; border-collapse: collapse;"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
<b>Name of Sole / First Unit Holder</b> _____												

### A - ADDITION OF BANK ACCOUNTS

Please register my/our following bank accounts for all investments in my/our folio. I/we understand that I/we can choose to receive payment proceeds in any of these accounts, by making a specific request in my/our redemption request. I/We understand that the bank accounts listed below shall be taken up for registration in my/our folio in the order given below and the same shall be registered only if there is a scope to register additional bank accounts in the folio subject to a maximum of five in the case of individuals and ten in the case of non individuals.

☞ For each Bank Account, Investors should produce original for verification or submit originals of the documents mentioned below.

<b>Account No.</b>											
<b>Bank Name</b>											
<b>Account Type</b>	Tick (✓) <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Other _____										
<b>City</b>									<b>Pin Code</b>		
<b>MICR Code ^</b>											
<b>IFSC Code ^ ^</b>											
Document attached (Any one) <input type="checkbox"/> Cancelled cheque with name pre-printed <input type="checkbox"/> Bank Statement <input type="checkbox"/> Pass Book <input type="checkbox"/> Bank Certificate											

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### B - DEFAULT BANK ACCOUNT

From among the bank accounts registered with you or mentioned above, please register the following bank account as a Default Bank Account into which future redemption and/or dividend proceeds, if any of the above mentioned folio will be paid:

<b>Bank Account No.</b>										
<b>Bank Name</b>										

<b>Declaration and Signatures</b>	I / We have read and understood the terms and conditions of bank accounts registration and agree to abide by the same. I / We understand that my / our request will be executed only if it is duly filled with all required details and is duly signed as per the mode of holding and necessary documents are attached as applicable, failing which the request will be rejected. I / We shall not hold PineBridge Investments Asset Management Company (India) Private Limited (AMC) and the Registrar and other service providers liable for any loss due to delay or request not being executed due to incomplete / incorrect information / documentation.
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SIGNATURES (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)		
Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder

### C - BANK ACCOUNT DELETION FORM

<b>Folio No.</b> (For Existing Unit Holders) _____	<b>Permanent Account Number (PAN)</b> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<b>Name of Sole / First Unit Holder</b> _____	

Please delete the following Bank accounts as registered accounts for my/our above folio:

<b>Bank Account No.</b>															
<b>Bank Name</b>															

<b>Bank Account No.</b>															
<b>Bank Name</b>															

<b>Bank Account No.</b>															
<b>Bank Name</b>															

<b>Bank Account No.</b>															
<b>Bank Name</b>															

**Deletion of a default bank account is not permitted unless the investor mentions another registered bank account as a default account in Part B of this Form**

<b>Declarations and Signatures</b>
I / We have read and understood the terms and conditions of bank accounts registration and agree to abide by the same. I / We understand that my / our request will be executed only if it is duly filled with all required details and is duly signed as per the mode of holding and necessary documents are attached as applicable, failing which the request will be rejected. I / We shall not hold PineBridge Investments Asset Management Company (India) Private Limited (AMC) and the Registrar and other service providers liable for any loss due to delay or request not being executed due to incomplete / incorrect information / documentation.

<b>SIGNATURES (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)</b>		
Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder

### Instructions and Terms and Conditions

1. This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new). Individuals/HuF can register upto 5 different bank accounts for a folio by using this form. Non-individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts, please use extra copies of this form.
2. Please enclose a cancelled cheque leaf for each of such banks accounts. This will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which cancelled cheque leaf is provided. Accounts not matching with such cheque leaf thereof will not be registered.
3. If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, bank account statement or pass book giving the name, address and the account number should be enclosed. If photocopies are submitted, investors must produce original for verification.
4. Bank account registration/deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
5. The first/sole unit holder in the folio should be one of the holders of the bank account being registered.
6. The investors can change the default bank account by submitting this form. In case multiple bank accounts are opted for registration as default bank account, the mutual fund retains the right to register any one of them as the default bank account.
7. A written confirmation of registration of the additional bank account details will be dispatched to you within 10 calendar days of receipt of such request.
8. If any of the registered bank accounts are closed/ altered, please intimate the AMC in writing of such change with an instruction to delete/alter it from of our records.
9. The Bank Account chosen as the primary/default bank account will be used for all Redemption payouts/ Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
10. If request for redemption received together with a change of bank account or before verification and validation of the new bank account, the redemption request would be processed to the currently registered default (old) bank account.
11. If in a folio, purchase investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/ NRO/ NRE.
12. The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
13. This form can be submitted along with the Purchase/Subscription application or as a standalone request for addition/deletion/default bank mandate details.
14. Kindly submit the duly filled and signed form at the nearest AMC/CAMS Investor Service Centers.

### REGISTERED OFFICE ADDRESS

203, Peninsula Tower, 2nd Floor, Peninsula Corporate Park, G. K. Marg, Lower Parel, Mumbai - 400 013.  
 Tel. No. : (91 22) 4093 0000 Fax No. : (91 22) 4093 0077

<b>Investor Care</b>	1800-200-3444	Email: india.investorcare@pinebridge.com	<b>Website</b>	www.pinebridge.in
<b>Distributor Care</b>	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.

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### A - ADDITION OF BANK ACCOUNTS

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<b>Account No.</b>										
<b>Bank Name</b>										
	<b>Branch</b>									
<b>Account Type</b>	Tick (✓) <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Other _____									
<b>City</b>										
<b>MICR Code ^</b>										
	<small>^ 9 digit code on your cheque next to the cheque no.</small>									
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	<small>^ ^ 11 digit code on your cheque leaf</small>									
Document attached (Any one) <input type="checkbox"/> Cancelled cheque with name pre-printed <input type="checkbox"/> Bank Statement <input type="checkbox"/> Pass Book <input type="checkbox"/> Bank Certificate										

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203, 2nd Floor, Peninsula Tower, Peninsula Corporate Park, Ganpatrao Kadam Marg, Lower Parel, Mumbai - 400 013.  
 Tel. No. : (91 22) 4093 0000 Fax No. : (91 22) 4093 0077

<b>Investor Care</b>	1800-200-3444	Email: india.investorcare@pinebridge.com	<b>Website</b>	www.pinebridge.in
<b>Distributor Care</b>	(City Code) 60000344*	Email: india.distributorcare@pinebridge.com	<b>SMS</b>	TRUST to 56767

\* Available at our Ahmedabad, Bengaluru, Chennai, Mumbai, New Delhi and Pune branches.



**Ahmedabad:** 101, Sampada Complex, Behind A. K. Patel House, Near Mithakali Six Roads, Navrangpura, Ahmedabad - 380009. Phone: 079 6000 0344, 99740 13010. **Bengaluru:** Unit No. 510, 5th Floor, Prestige Centre Point, #7, Edward Road (Parallel to Cunningham Road), Bengaluru - 560 052. Phone: 080 6000 0344, 41473386. **Chennai:** #14, (Old No.124/1), Heena Panna Complex, Flat No.12, 3rd Floor, G. N. Chetty Road, (Off. Habibullah Road) T. Nagar, Chennai - 600 017. Phone: 044 60000344, 044 43561946. **Mumbai:** 203, 2nd Floor, Peninsula Tower, Peninsula Corporate Park, Ganpatrao Kadam Marg, Lower Parel, Mumbai - 400013, Phone: 022 6000 0344, 40930001. **New Delhi:** 910, 9th Floor, Prakash Deep Building, 7 Tolstoy Marg, Connaught Place, New Delhi - 110 001. Phone: 011 6000 0344, 43593204. **Pune:** 304, Business Guild, Law College Road, Opp. Krishna Dining Hall, Pune - 411004. Phone: 020 6000 0344, 66401000.

### CAMS SERVICE CENTRES

**Agartala:** : Advisor Chowmuhan (Ground Floor) Krishnanagar Agartala Agartala Tripura 799001 **Agra:** : No. 8, II Floor Maruti Tower Sanjay Place Agra Uttarpradesh 282002 **Ahmedabad:** : 402-406, 4th Floor - Devpath Building Off C G Road Behind Lal Bungalow Ellis Bridge Ahmedabad Gujarat 380 006 **Ahmednagar:** : 203-A, Mutha Chambers Old Vasant Talkies Market Yard Road Ahmednagar Ahmednagar Maharashtra 414 001 **Ajmer:** : AMC No. 423/30 Near Church Brahampuri, Opp T B Hospital Jaipur Road Ajmer Rajasthan 305001 **Akola:** : Opp. RLT Science College Civil Lines Akola Maharashtra 444001 **Aligarh:** : City Enclave, Opp. Kumar Nursing Home Ramghat Road Aligarh Uttar Pradesh 202001 **Allahabad:** : 30/2, A&B, Civil Lines Station Besides Vishal Mega Mart Strachey Road Allahabad Uttarpradesh 211001 **Alleppey:** : Doctor's Tower Building Door No. 14/2562, 1st floor North of Iorn Bridge Near Hotel Arcadia Regency Alleppey Kerala 688 001 **Alwar:** : 256A, Scheme No: I, Arya Nagar Alwar Rajasthan 301001 **Amaravati:** : 81, Gulsham Tower, 2<sup>nd</sup> Floor Near Panchsheel Talkies Amaravati Maharashtra 444601 **Ambala:** : Opposite PEER Bal Bhavan Road Ambala Ambala Haryana 134003 **Amritsar:** : SCO - 18J, 'C' BLOCK RANJIT AVENUE Amritsar Punjab 140001 **Anand:** : 101, A.P. Tower, B/H, Sardhar Gunj Next to Nathwani Chambers Anand Gujarat 388001 **Anantapur:** : 15-570-33, I Floor Pallavi Towers Anantapur Anantapur Andhra Pradesh 515 001 **Andheri:** : CTS No 411 Citipoint, Gundivali, Teli Gali Above C.T. Chatwani Hall Andheri Andheri Maharashtra 400069 **Ankleshwar:** : Shop No - F -56 First Floor, Omkar Complex Opp Old Colony, Nr Valia Char Rasta GIDC Ankleshwar- Bharuch Gujarat 393002 **Asansol:** : Block - G I<sup>st</sup> Floor P C Chatterjee Market Complex Rambandhu Talab P O Ushagram Asansol West Bengal 713303 **Aurangabad:** : Office No. 1, 1st Floor Amodi Complex Juna Bazar Aurangabad Maharashtra 431001 **Balasore:** : B C Sen Road Balasore Orissa 756001 **Bangalore:** : Trade Centre, 1st Floor 45, Dikensen Road ( Next to Manipal Centre ) Bangalore Karnataka 560 042 **Bareilly:** : F-62-63, Butler Plaza Civil Lines Bareilly Bareilly Uttar Pradesh 243001 **Basti:** : Office no 3, 1st Floor Jamia Shopping Complex ,(Opposite Pandey School) Station Road Basti Uttar Pradesh 272002 **Belgaum:** : 1st Floor, 221/2A/1B Vaccine Depot Road Near 2nd Railway gate, Tilakwadi, Belgaum Karnataka 590006 **Bellary:** : 60/5, Mullangi Compound Gandhinagar Main Road ( Old Gopalswamy Road) Bellary Karnataka 583101 **Berhampur:** : First Floor, Upstairs of Aaroon Printers Gandhi Nagar Main Road Orissa Berhampur Orissa 760001 **Bhagalpur:** : Krishna, I Floor Near Mahadev Cinema Dr.R.P.Road Bhagalpur Bhagalpur Bihar 812002 **Bharuch (parent: Ankleshwar TP):** : F-108, Rangoli Complex Station Road Bharuch Bharuch Gujarat 392001 **Bhatinda:** : 2907 GH, GT Road Near Zila Parishad BHATINDA BHATINDA Punjab 151001 **Bhavnagar:** : 305-306, Sterling Point Waghawadi Road OPP. HDFC BANK Bhavnagar Gujarat 364002 **Bhilai:** : 209 , Khichariya Complex Opp IDBI Bank Nehru Nagar Square Bhilai Chhattisgarh 490020 **Bhilwara:** : Indraparstha tower Second floor Shyam ki sabji mandi Near Mukharji garden Bhilwara Rajasthan 311001 **Bhopal:** : Plot no 10, 2nd Floor Alankar Complex Near ICICI Bank MP Nagar, Zone II Bhopal Madhya Pradesh 462011 **Bhubaneswar:** : Plot No - 111, Varaha Complex Building 3rd Floor, Station Square Kharvel Nagar, Unit 3 Bhubaneswar Orissa 751 001 **Bhuj:** : Data Solution, Office No:17 I st Floor Municipal Building Opp Hotel Prince Station Road Bhuj - Kutch Gujarat 370001 **Bhusawal (Parent: Jalgaon TP):** : 3, Adelaide Apartment Christain Mohala, Behind Gulshan-E-Iran Hotel Amardeep Talkies Road Bhusawal Bhusawal Maharashtra 425201 **Bikaner:** : F 4,5 Bothra Complex Modern Market Bikaner Bikaner Rajasthan 334001 **Bilaspur:** : Beside HDFC Bank Link Road Bilaspur Bilaspur Chattisgarh 495 001 **Bokaro:** : Mazzanine Floor F-4, City Centre, Sector 4, Bokaro Steel City Bokaro Jharkhand 827004 **Burdwan:** : 399, G T Road Basement of Talk of the Town Burdwan West Bengal 713101 **Calicut:** : 29/97G 2nd Floor Gulf Air Building Mavoor Road Arayidathupalam, Calicut Kerala 673016 **Chandigarh:** : Deepak Tower SCO 154-155, 1st Floor Sector 17-C Chandigarh Punjab 160 017 **Chennai:** : Ground Floor No.178/10, Kodambakkam High Road Opp. Hotel Palmgrove Nungambakkam Chennai Tamil Nadu 600 034 **Chennai (OMR):** : Ground Floor 148 Old Mahabalipuram Road Okkiyam, Thuraipakkam Chennai Tamil Nadu 600097 **Chhindwara:** : Office No - 1, Parasia Road Near Mehta Colony Chhindwara Madhya Pradesh 480 001 **Chittorgarh:** : 3 Ashok Nagar Near Heera Vatika Chittorgarh Rajasthan 312001 **Cochin:** : Ittoop's Imperial Trade Center Door No. 64/5871 - D, 3<sup>rd</sup> Floor M. G. Road (North) Cochin Kerala 682 035 **Coimbatore:** : Old # 66 New # 86, Lokamanya Street (West) Ground Floor R.S.Puram Coimbatore Tamil Nadu 641 002 **Cuttack:** : Near Indian Overseas Bank Cantonment Road Mata Math Cuttack Orissa 753001 **Darbhangha:** : Shahi Complex, 1st Floor Near RB Memorial hospital, V.I.P. Road, Benta Laheriasarai Darbhanga Darbhanga Bihar 846001 **Davenegere:** : 13, 1st Floor, Akkamahadevi Samaj Complex Church Road P.J.Extension Devengere Karnataka 577002 **Dehradun:** : 204/121 Nari Shilp Mandir Marg Old Connaught Place Dehradun Uttaranchal 248001 **Deoghar:** : S S M Jalan Road Ground floor Opp. Hotel Ashoke Caster Town Deoghar Jharkhand 814112 **Dhanbad:** : Urmila Towers Room No: 111 (1st Floor) Bank More Dhanbad Jharkhand 826001 **Dharmapuri:** : 16A/63A, Pidamaneri Road Near Indoor Stadium Dharmapuri Dharmapuri Tamil Nadu 636 701 **Dhule:** : H. No. 1793 / A, J.B. Road Near Tower Garden Dhule Maharashtra 424 001 **Durgapur:** : City Plaza Building 3rd floor City Centre Durgapur West Bengal 713 216 **Erode:** : 197, Seshaiyer Complex Agraharam Street Erode Tamil Nadu 638001 **Faizabad:** : 64 Cantonment Near GPO Faizabad Faizabad Uttar Pradesh 224001 **Faridhabad:** : B-49, 1st Floor Nehru Ground Behind Anupam Sweet House NIT Faridhabad Haryana 121001 **Gandhidham:** : Plot No. 261, 1st Floor, Sector 1A Om Mandap Galli Gandhidham Gujarat 370 201 **Ghaziabad:** : 113/6 I Floor Navyug Market Gazhiabad Uttarpradesh 201001 **Goa:** : No.108, 1st Floor, Gurudutta Bldg Above Weekender M G Road Panaji (Goa) Goa 403 001 **Gondal (Parent Rajkot):** : A/177, Kailash Complex, Opp Khedut, Décor GONDAL Gujarat 360 311 **Gorakhpur:** : Shop No. 3, Second Floor, The Mall Cross Road, A.D. Chowk Bank Road Gorakhpur Uttarpradesh 273001 **Gulbarga:** : Pal Complex, 1st Floor Opp. City Bus Stop, SuperMarket Gulbarga Gulbarga Karnataka 585 101 **Guntur:** : Door No 5-38-44 5/I BRODIPET Near Ravi Sankar Hotel Guntur Andhra Pradesh 522002 **Gurgaon:** : SCO - 16, Sector - 14, First floor Gurgaon Haryana 122001 **Guwahati:** : A.K. Azad Road, Rehabari Guwahati Assam 781008 **Gwalior:** : G-6 Global Apartment Kailash Vihar Colony Opp. Income Tax Office, City Centre Gwalior Madhya Pradesh 474002 **Haldia:** : 2nd Floor, New Market Complex 2nd Floor, New Market Complex Durgachak Post Office, Purba Medinipur District, Haldia Haldia West Bengal 721 602 **Haldwani:** : Durga City Centre Nainital Road Haldwani Haldwani Uttarakhand 263139 **Hazaribag:** : Municipal

Market Annanda Chowk Hazaribagh Hazaribagh Jharkhand 825301 **Himmatnagar** : D-78 First Floor New Durga Bazar Near Railway Crossing Himmatnagar Himmatnagar Gujarat 383 001 **Hisar** : 12, Opp. Bank of Baroda Red Square Market Hisar Hisar Haryana 125001 **Hoshiarpur** : Near Archies Gallery Shimla Pahari Chowk Hoshiarpur Hoshiarpur Punjab 146 001 **Hosur** : Shop No.8 J D Plaza OPP TNEB Office Royakotta Road Hosur Tamil Nadu 635109 **Hubli** : No.204 - 205, 1st Floor ' B ' Block, Kundagol Complex Opp. Court, Club Road Hubli Karnataka 580029 **Hyderabad** : 208, II Floor Jade Arcade Paradise Circle Secunderabad Andhra Pradesh 500 003 **Indore** : 101, Shalimar Corporate Centre 8-B, South tukogunj, Opp.Greenpark Indore Madhya Pradesh 452 001 **Jabalpur** : 8, Ground Floor, Datt Towers Behind Commercial Automobiles Napier Town Jabalpur Madhya Pradesh 482001 **Jaipur** : R-7, Yudhisthir Marg ,C-Scheme Behind Ashok Nagar Police Station Jaipur Rajasthan 302 001 **Jalandhar** : 367/8, Central Town Opp. Gurudwara Diwan Asthan Jalandhar Punjab 144001 **Jalgaon** : Rustomji Infotech Services 70, Navipeth Opp. Old Bus Stand Jalgaon Maharashtra 425001 **Jalna** : Shop No 6, Ground Floor Anand Plaza Complex Bharat Nagar,Shivaji Putla Road Jalna Jalna Maharashtra 431 203 **Jammu** : JRDS Heights Lane Opp. S&S Computers Near RBI Building, Sector 14, Nanak Nagar Jammu J & K 180004 **Jamnagar** : 217/218, Manek Centre P.N. Marg Jamnagar Gujarat 361008 **Jamshedpur** : Millennium Tower, "R" Road Room No:15 First Floor, Bistupur Jamshedpur Jharkhand 831001 **Jaunpur** : 248, FORT ROAD Near AMBER HOTEL Jaunpur UTTAR PRADESH 222001 **Jhansi** : Opp SBI Credit Branch Babu Lal Kharkana Compound Gwalior Road Jhansi Uttarpradesh 284001 **Jodhpur** : 1/5, Nirmal Tower Ist Chopasani Road Jodhpur Rajasthan 342003 **Junagadh** : Circle Chowk, Near Choksi Bazar Kaman, Gujarat Junagadh Gujarat 362001 **Kadapa** : Bandi Subbaramaiah Complex D.No:3/1718, Shop No: 8 Raja Reddy Street Kadapa Kadapa Andhra Pradesh 516 001 **Kakinada** : No.33-1, 44 Sri Sathya Complex Main Road Kakinada Kakinada Andhra Pradesh 533 001 **Kalyani** : A - 1/50, Block - A, Dist Nadia Kalyani West Bengal 741235 **Kannur** : Room No.14/435 Casa Marina Shopping Centre Talap Kannur Kannur Kerala 670004 **Kanpur** : I Floor 106 to 108 CITY CENTRE Phase II 63/ 2, THE MALL Kanpur Uttarpradesh 208 001 **Karimnagar** : H.No.7-1-257, Upstairs S B H Mangammathota Karimnagar Karimnagar Andhra Pradesh 505 001 **Karnal (Parent :Panipat TP)** : 7, 1st Floor, Opp Bata Showroom Kunjapura Road Karnal Karnal Haryana 132001 **Karur** : 126 G, V.P.Towers, Kovai Road Basement of Axis Bank Karur Karur Tamil Nadu 639002 **Katni** : 1st FLOOR, GURUNANAK DHARMAKANTA Jabalpur Road BARGAWAN KATNI Madhya Pradesh 483 501 **Kestopur** : 148,Jessore Road Block -B (2nd Floor) Kolkata Kestopur West Bengal 700101 **Khammam** : Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, KHAMMAM Andhra Pradesh 507 001 **Kharagpur** : H.NO.291/1, WARD NO-15 MALANCHA MAIN ROAD OPPOSITE UCO BANK Kharagpur Kharagpur West Bengal 721301 **Kolhapur** : 2 B, 3rd Floor, Ayodhya Towers Station Road, Kolhapur Maharashtra 416001 **Kolkata** : Saket Building, 44 Park Street, 2nd Floor Kolkata West Bengal 700016 **Kollam** : Kochupilamoodu Junction Near VLC, Beach Road Kollam Kerala 691001 **Kota** : B-33 'Kalyan Bhawan Triangle Part, Vallabh Nagar Kota Rajasthan 324007 **Kottayam** : KMC IX / 1331 A Opp.: Malayala Manorama Railway Station Road Thekkummoottil Kottayam Kerala 686001 **Kumbakonam** : Jailani Complex 47, Mutt Street Kumbakonam Tamil Nadu 612001 **Kurnool** : H.No.43/8, Upstairs Uppini Arcade, N R Peta Kurnool Kurnool Andhra Pradesh 518 004 **Lucknow** : Off # 4,1st Floor, Centre Court Building, 3/c, 5 - Park Road, Hazratganj Lucknow Uttarpradesh 226 001 **Ludhiana** : U/ GF, Prince Market, Green Field Near Traffic Lights, Sarabha Nagar Pulli Pakhowal Road, Ludhiana Punjab 141 002 **Madurai** : # 1st Floor,278, North Perumal Maistry street Nadar Lane Madurai Tamil Nadu 625 001 **Malda** : Daxhinapan Abasan Opp Lane of Hotel Kalinga SM Pally Malda Malda West Bengal 732 101 **Mangalore** : No. G 4 & G 5, Inland Monarch Opp. Karnataka Bank Kadri Main Road, Kadri Mangalore Karnataka 575 003 **Manipal** : Trade Centre, 2nd Floor Syndicate Circle, Starting Point Manipal Karnataka 576104 **Mapusa (Parent ISC : Goa)** : Office no.CF-8, 1st Floor, Business Point Above Bicholim Urban Co-op Bank Angod Mapusa Mapusa Goa 403 507 **Margao** : Virginkar Chambers I Floor Near Kamath Milan Hotel, New Market Near Lily Garments, Old Station Road Margao Margao Goa 403 601 **Mathura** : 159/160 Vikas Bazar Mathura Uttarpradesh 281001 **Meerut** : 108 Ist Floor Shivam Plaza Opposite Eves Cinema, Hapur Road Meerut Uttarpradesh 250002 **Mehsana** : A/177, Kailash Complex,Opp Khedut ,Decor,GONDAL Mehsana Mehsana Gujarat 360311 **Moga** : Gandhi Road Opp Union Bank of India Moga Moga Punjab 142001 **Moradabad** : B-612 'Sudhakar' Lajpat Nagar Moradabad Uttarpradesh 244001 **Mumbai** : Rajabhadur Compound, Ground Floor Opp Allahabad Bank, Behind ICICI Bank 30, Mumbai Samachar Marg, Fort Mumbai Maharashtra 400 023 **Muzzafarpur** : Brahman toli, Durgasthan Gola Road Muzaffarpur Bihar 842001 **Mysore** : No.1, 1st Floor CH.26 7th Main, 5th Cross (Above Trishakthi Medicals) Saraswati Puram Mysore Karnataka 570009 **Nadiad (Parent TP: Anand TP)** : 8, Ravi Kiran Complex Ground Floor Nanakumbhnath Road Nadiad Nadiad Gujarat 387001 **Nagpur** : 145 Lendra New Ramdaspath Nagpur Maharashtra 440 010 **Namakkal** : 156A / 1, First Floor, Lakshmi Vilas Building Opp. To District Registrar Office, Trichy Road Namakkal Namakkal Tamil Nadu 637001 **Nasik** : Ruturang Bungalow, 2 Godavari Colony Behind Big Bazar, Near Boys Town School Off College Road Nasik Maharashtra 422005 **Navsari** : Dinesh Vasani & Associates 103 -Harekrishna Complex, above IDBI Bank, Nr. Vasant Talkies Chinnabai Road Navsari Gujarat 396445 **Nellore** : 97/56, I Floor Immadisetty Towers Ranganayakulapet Road, Santhapet, Nellore Andhra Pradesh 524001 **New Delhi** : 7-E, 4th Floor, Deen Dayal Research Institute Building Swami Ram Tirath Nagar,Near Videocon Tower Jhandewalan Extension New Delhi New Delhi 110 055 **Noida** : C-81,1st floor Sector - 2 Noida 201301 **Palakkad** : 10 / 688, Sreedevi Residency Mettupalayam Street Palakkad Palakkad Kerala 678 001 **Palanpur** : Tirupati Plaza 3rd Floor, Opp.Government T - 11 Opp.Government Quarter, College Road Palanpur Palanpur Gujarat 385 001 **Panipat** : 83, Devi Lal Shopping Complex Opp ABN Amro Bank, G.T.Road Panipat Haryana 132103 **Patiala** : 35, New Lal Bagh Colony Patiala Punjab 147001 **Patna** : G-3, Ground Floor Om Vihar Complex SP Verma Road Patna Bihar 800 001 **Pondicherry** : S-8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House) Pondicherry Pondicherry 605001 **Pune** : Nirmiti Eminence, Off No. 6, I Floor Opp Abhishek Hotel Mehendale Garage Road Erandawane Pune Maharashtra 411 004 **Rae Bareli** : 17, Anand Nagar Complex Rae Bareli Rae Bareli Uttar Pradesh 229001 **Raipur** : HIG,C-23 Sector - I Devendra Nagar, Raipur Chhattisgarh 492004 **Rajahmundry** : Door No: 6-2-12, 1st Floor Rajeswari Nilayam Near Vamsikrishna Hospital, Nyapathi Vari Street, T Nagar Rajahmundry Andhra Pradesh 533 101 **Rajapalayam** : No 59 A/1, Railway Feeder Road Near Railway Station Rajapalayam Rajapalayam Tamil Nadu 626117 **Rajkot** : Office 207 - 210, Everest Building Harihar Chowk Opp Shastri Maidan Limda Chowk Rajkot Gujarat 360001 **Ranchi** : 4, HB Road No: 206, 2nd Floor Shri Lok Complex H B Road Near Firayalal Ranchi Jharkhand 834001 **Ratlam** : Dafria & Co 18, Ram Bagh Near Scholar's School Ratlam Madhya Pradesh 457001 **Ratnagiri** : Kohinoor Complex Near Natya Theatre Nachane Road Ratnagiri Ratnagiri Maharashtra 415 639 **Rohtak** : 205, 2<sup>ND</sup> Floor, Blg. No. 2, Munjal Complex, Delhi Road, Rohtak Haryana 124001 **Roorkee** : 22 CIVIL LINES GROUND FLOOR HOTEL KRISH RESIDENCY Roorkee Roorkee Uttarakhand 247667 **Rourkela** : 1st Floor Mangal Bhawan Phase II Power House Road Rourkela Orissa 769001 **Sagar** : Opp. Somani Automobiles Bhagwanganj Sagar Sagar Madhya Pradesh 470 002 **Saharanpur** : I Floor, Krishna Complex Opp. Hathi Gate Court Road Saharanpur Saharanpur Uttar Pradesh 247001 **Salem** : No.2, I Floor Vivekananda Street, New Fairlands Salem Tamil Nadu 636016 **Sambalpur** : C/o Raj Tibrewal & Associates Opp.Town High School,Sansarak Sambalpur Orissa 768001 **Sangli (Parent: Kohlapur)** : Diwan Niketan 313, Radhakrishna Vasahat Opp. Hotel Suruchi, Near S.T. Stand Sangli Sangli Maharashtra 416416 **Satara** : 117 / A / 3 / 22, Shukrawar Peth Sargam Apartment Satara Maharashtra 415002 **Shahjahanpur** : Bijlipura,

Near Old Distt Hospital Near Old Distt Hospital Shahjahanpur Uttar Pradesh 242001 **Shimla** : I Floor, Opp. Panchayat Bhawan Main gate Bus stand Shimla Shimla Himachal Pradesh 171001 **Shimoga** : Nethravathi Near Gutti Nursing Home Kuvempu Road Shimoga Shimoga Karnataka 577 201 **Siliguri** : No 7, Swamiji Sarani, Ground Floor Ground Floor,Hakimpura Siliguri West Bengal 734001 **Sirsa** : Bansal Cienema Market Beside Overbridge Next to Nissan car showroom Hissar Road Sirsa Haryana 125055 **Sitapur** : Arya Nagar Near Arya Kanya School Sitapur Sitapur Uttar Pradesh 261001 **Solan** : 1st Floor, Above Sharma General Store Near Sanki Rest house The Mall Solan Solan Himachal Pradesh 173 212 **Solapur** : Flat No 109, 1st Floor A Wing, Kalyani Tower 126 Siddheshwar Peth Near Pangal High School Solapur Maharashtra 413001 **Sriganganagar** : 18 L Block Sri Ganganagar Sri Ganganagar Rajasthan 335001 **Srikakulam** : Door No 5 - 6 - 2, Punyapu Street Palakonda Road, Near Krishna Park Srikakulam Srikakulam Andhra Pradesh 532 001 **Sultanpur** : 967, Civil Lines Near Pant Stadium Sultanpur Uttar Pradesh 228 001 **Surat** : Plot No.629,2nd Floor, Office No.2-C/2-D Mansukhlal Tower, Beside Seventh Day Hospital Opp.Dhiraj Sons, Athwalines Surat Gujarat 395 001 **Surendranagar** : 2 M I Park, Near Commerce College Wadhwan City Surendranagar Surendranagar Gujarat 363035 **Thane** : 3rd Floor, Nalanda Chambers "B" Wing, Gokhale Road,Near Hanuman Temple Naupada Thane Maharashtra 400 602 **Thiruppur** : I(1), Binny Compound, II Street, Kumaran Road Thiruppur Tamil Nadu 641601 **Thiruvalla** : Central Tower, Above Indian Bank, Cross Junction Thiruvalla Kerala 689101 **Tinsukia** : Dhawal Complex Ground Floor, Durgabari Rangagora Road,Near Dena Bank Tinsukia Assam 786125 **Tirunelveli** : I Floor, Mano Prema Complex 182 / 6, S.N High Road Tirunelveli Tamil Nadu 627001 **Tirupathi** : Door No : 18-I-597 Near Chandana Ramesh Showroom Bhavani Nagar Tirupathi Andhra Pradesh 517 501 **Trichur** : Room No. 26 & 27 DEE PEE PLAZA Kokkalai Trichur Kerala 680001 **Trichy** : No 8, I Floor, 8th Cross West Extn Thillainagar Trichy Tamil Nadu 620018 **Trivandrum** : R S Complex Opposite of LIC Building Pattom PO Trivandrum Kerala 695004 **Tuticorin** : 4B / A-16 Mangal Mall Complex Ground Floor, Mani Nagar Tuticorin Tuticorin Tamil Nadu 628003 **Udaipur** : 32 Ahinsapuri Fatehpura Circle Udaipur Rajasthan 313004 **Ujjain** : 123, 1st Floor, Siddhi Vinayaka Trade Centre Saheed Park, Ujjain Madhya Pradesh 456 010 **Unjha (Parent: Mehsana)** : 10/11, Maruti Complex, Opp. B R Marbles, Highway Road Unjha Unjha Gujarat 384 170 **Vadodara** : 103 Aries Complex BPC Road, Off R.C. Dutt Road Alkapuri Vadodara Gujarat 390 007 **Valsad** : 3rd floor Gita Nivas, opp Head Post Office Halar Cross Lane Valsad Gujarat 396001 **Vapi** : 215-216, Heena Arcade, Opp. Tirupati Tower, Near G.I.D.C Char Rasta Vapi Vapi Gujarat 396195 **Varanasi** : C-28/142-2A Near Teliya Bagh Crossing Teliya Bagh Varanasi Uttarpradesh 221002 **Vaso(Parent Goa)** : No DU 8, Upper Ground Floor Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank Vasco da gama 403802 **Vellore** : No.1, Officer's Line 2nd Floor, MNR Arcade Opp. ICICI Bank, Krishna Nagar Vellore Tamil Nadu 632 001 **Vijayawada** : 40-1-68, Rao & Ratnam Complex Near Chennupati Petrol Pump M.G Road, Labbipet Vijayawada Andhra Pradesh 520 010 **Visakhapatnam** : 47/9 / 17, 1st Floor 3rd Lane , Dwaraka Nagar Visakhapatnam Andhra Pradesh 530 016 **Warangal** : F13, 1st Floor BVSS Mayuri Complex Opp. Public Garden, Lashkar Bazaar Hanamkonda Warangal Andhra Pradesh 506001 **Yamuna Nagar** : 124-B/R Model Town Yamunanagar Yamuna Nagar Haryana 135 001 **Yavatmal** : Pushpam, Tilakwadi Opp. Dr. Shrotri Hospital Yavatmal Yavatmal Maharashtra 445 001

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